

# Carbon dynamics in the Amazonian Basin: Integration of eddy covariance and ecophysiological data with a land surface model



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## ABSTRACT

Information contained in eddy covariance flux tower data has multiple uses for the development and application of global land surface models, such as evaluation/validation, calibration and process parameterization for carbon stocks and fluxes. In this study, we combine Large Scale Biosphere–Atmosphere Experiment in Amazonia (LBA) project data collected from a network of eddy covariance flux towers deployed across the Amazonian basin to improve the carbon stocks and flux estimated by a land surface model, the Integrated Science Assessment Model (ISAM). We evaluate the key model parameters for carbon allocation factors, maintenance respiration as well as the autotrophic respiration using the LBA site measurements. Our model results show that the total biomass ranges from  $0.7 \text{ kg C m}^{-2} \text{ yr}^{-1}$  for the pasture site to  $20.8 \text{ kg C m}^{-2} \text{ yr}^{-1}$  for the forest site. The ISAM estimates for GPP and NPP are well within the uncertainty range of the site measurement data. Also, the results revealed that all, but one forest site have lower net primary productivity (NPP) to gross primary productivity (GPP) ratio ( $\text{NPP}/\text{GPP} = 0.4$  compared to the savanna and the pasture sites ( $\text{NPP}/\text{GPP} = 0.5$ ). This is because savanna and the pasture sites experienced the longest dry season and plants growing in such environmental conditions have stronger efficiency to store carbon compared to forests. The forest evergreen site (Km67) has a higher measured  $\text{NPP}/\text{GPP}$  ratio (0.5), because of higher carbon accumulation. Soil carbon is lowest for the pasture site (Km77) ( $7.2 \text{ kg C m}^{-2} \text{ yr}^{-1}$ ) and highest for the forest site (Km34) ( $12.8 \text{ kg C m}^{-2} \text{ yr}^{-1}$ ). The model results suggest that all the forest sites are a net sink for atmospheric  $\text{CO}_2$ , while the savanna (PDG) and pasture (FNS) sites are neutral and another pasture site (Km77) is net source for atmospheric  $\text{CO}_2$ . Meanwhile, the model results highlight the importance of the LBA site data to improve the model performance for the tropical Amazon region. The study also suggest the need for a network of long-term monitoring plans to measure changes in the vegetation and soil carbon biomass at the local and regional levels. Such programs will be necessary to make reliable global carbon emissions estimates.

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## 1. Introduction

The Amazonian forests play a key role in the global carbon cycle, contributing to about 30% of the global biomass and terrestrial productivity (Beer et al., 2010; Houghton et al., 2001; Malhi and Grace, 2000; Melillo et al., 1996). The increase in air temperature, and shift in precipitation intensity and duration due to climate change has altered carbon fluxes from the Amazonian rainforests (Botta et al., 2002). Some studies suggested that  $\text{CO}_2$  fertilization might

have led to an increase in carbon uptake by about  $3 \text{ Pg C yr}^{-1}$  in undisturbed forests (Houghton et al., 2000; Saleska et al., 2003). In contrast, ecosystem modeling studies suggest that due to climate variability, the Amazon basin is a net source during the drier and warmer El Niño and net sink during the wetter and cooler La Niña cycle (Asner et al., 2000; Baker et al., 2008; Foley et al., 2002; Potter et al., 2001, 2009; Tian et al., 1998).

The landscape of Amazon is also changing dramatically due to human activities. Over the past few decades, increased demand for agricultural products due to rising population led to massive deforestation rates (Asner et al., 2005; Moran, 1993; Morton et al., 2005; Skole and Tucker, 1993). Deforestation can also lead to degradation in the ecosystem services such as lower water quality, spread of infectious diseases, and increases in tree mortality through an increase in fire frequency (Foley et al., 2007). It is expected that the rates of deforestation will continue to increase as more urbanization expands to the core of the forest (Laurance et al., 2001). Such

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**Notation**

Veg_frct	vegetation cover fraction
Cn <sub>leaf</sub>	carbon:nitrogen ratio for the leaves
Cn <sub>stem</sub>	carbon:nitrogen ratio for the stem
Cn <sub>roots</sub>	carbon:nitrogen ratio for the roots
V <sub>cmax</sub>	maximum carboxylation rate at 25 °C
k <sub>n</sub>	light extinction coefficient
k <sub>leaf</sub>	base respiration rate for leaves
K <sub>stem</sub>	base respiration rate for stem
K <sub>root</sub>	base respiration rate for roots
ω	allocation parameter
ε <sub>leaf</sub>	parameter controlling allocation to leaves
ε <sub>stem</sub>	parameter controlling allocation to stem
ε <sub>root</sub>	parameter controlling allocation to roots
k	allocation parameter
Y <sub>leaf</sub>	leaf life span
r <sub>wmax</sub>	maximum drought leaf loss rate
r <sub>tmax</sub>	maximum cold leaf loss rate
b <sub>w</sub>	parameter for leaf loss (drought)
b <sub>t</sub>	parameter for leaf loss (cold)
T <sub>cold</sub>	temperature threshold for leaf loss because of cold stress
Y <sub>stem</sub>	stem turnover rate
Y <sub>frroot</sub>	fine root turnover rate
Y <sub>croot</sub>	coarse root turnover rate
R <sub>leaf</sub>	maintenance respiration for leaves
R <sub>stem</sub>	maintenance respiration for stem
R <sub>root</sub>	maintenance respiration for roots
R <sub>mtotal</sub>	total maintenance respiration
R <sub>G</sub>	growth respiration
R <sub>auto</sub>	autotrophic respiration
C <sub>leaf</sub>	leaf carbon
C <sub>stem</sub>	stem carbon
C <sub>root</sub>	root carbon
Ø	leaf phenological status defined as the current fraction of maximum LAI
gt	temperature dependent function
T <sub>veg</sub>	vegetation temperature (°C)
T <sub>soil</sub>	soil temperature (°C)
GPP	gross primary productivity
NPP	net primary productivity
Allo_fact <sub>leaf</sub>	allocation factor for leaves
Allo_fact <sub>stem</sub>	allocation factor for stem
Allo_fact <sub>root</sub>	allocation factor for roots
L	light availability factor
ws	water stress factor
LAI	leaf area index
LAI_max	biome specific maximum LAI
T <sub>r</sub>	root temperature
Bio_tr	biome specific root temperature
Bio.trmin	biome specific minimum root temperature
daylen	biome specific day length

rapid changes in land use will lead to a massive carbon release from the soils, and vegetation speeding up the climate change. The forests and woodlands (e.g. Savannas) also exchange large amounts of water and energy with the atmosphere, and the loss of large areas of Amazonian forest due to land-use and land-use change could impact local and regional climates (Ramankutty et al., 2007).

Given the importance of the Amazon basin toward the regional and global budgets of carbon, energy, and water fluxes (Andreae et al., 2002; Costa and Foley, 2000; Foley et al., 2007; Werth and Avissar, 2002), an international scientific endeavor headed by Brazil

had led to the establishment of a Large Scale Biosphere-Atmosphere Experiment in Amazonia (LBA) (Avissar and Nobre, 2002). The main science objective of the LBA project is to understand the interactions between the atmosphere and climate change variability, in the Amazonian terrestrial ecosystems (Avissar et al., 2002). Such observational data from the LBA sites can substantially improve the understanding of the carbon exchange between the terrestrial ecosystem and the atmosphere and the detection of deficiencies in the land surface models (LSMs). For instance, several studies using LSMs have predicted a decline in tropical forest water and carbon fluxes during the dry season (Botta et al., 2002; Tian et al., 1998), while site measurements suggest the opposite (Von Randow et al., 2004; Saleska et al., 2003). Without constraining the models using site observations, LSMs would perhaps underestimate the global carbon fluxes and fail to detect the carbon dynamic of the tropical forests (Saleska et al., 2003). Therefore, these observations are crucial for the improvement of LSMs. Since climate change and variability affect the global terrestrial biogeophysical and biogeochemical cycles (Davidson et al., 2012; Malhi et al., 2008), LSMs with their complex and detailed biogeochemical and biogeophysical processes are important tools to gain better understanding of the interactions between the Amazon basin terrestrial ecosystem and environmental change.

In this paper, we take advantage of observational data from flux tower sites to present a methodology to calibrate and improve an LSM by identifying and modifying the key model carbon schemes in order to enhance the vegetation and the soil carbon estimates. We use the Integrated Science Assessment Model (ISAM) to show the feasibility of our approach and its relevance to other LSMs. This paper extends upon previous modeling application of the ISAM (Jain et al., 2009; Yang et al., 2009) by detailing the carbon dynamics of the LBA sites representing forests, savannas, and pastures ecosystems of the Amazonian basin. Notably, the version of ISAM used in this study contains detailed biogeophysical processes (Song et al., 2013) coupled into the biogeochemical component of ISAM. This extended version of the model is used to examine the interannual variability of carbon fluxes (Gross primary production (GPP), net primary production (NPP), autotrophic and heterotrophic respirations) and above and below ground biomass of eight LBA study sites in the Amazon basin (Table 1). The objective has been achieved by comparing the model estimated carbon fluxes with eight LBA project flux towers measurements. The study is designed to: (1) provide a brief description of the carbon dynamics of ISAM, (2) calibrate the model parameters using site data, (3) evaluate the model performance by comparing the model calculated carbon fluxes with eddy covariance flux towers measurements, and (4) evaluate the model estimated soil and vegetation carbon with published studies.

## 2. Methodology

### 2.1. ISAM description

The ISAM is one of the 23 models participating in the LBA project to study the responses of Amazon basin terrestrial ecosystems to environmental factors. The ISAM is a land surface model that is applied to examine the impacts of changing CO<sub>2</sub> in the atmosphere, fire, and land use change on terrestrial ecosystems functions (Jain et al., 1996; Jain and Yang, 2005; Jain et al., 2006). ISAM has also been used to describe the dynamic of the terrestrial biosphere carbon (Jain et al., 1996; Jain and Yang, 2005) and nitrogen (Jain et al., 2009; Yang et al., 2009). It was a part of the IPCC assessments of future climate change scenarios (Schimel et al., 1996; Prentice et al., 2001). The latest version of the ISAM has also participated in the Modeling and Synthesis Thematic Data Center (MAST-DC) study as

**Table 1**  
List of the eddy flux tower sites used in this study.

Site name	Biome type	Lat (°S)	Lon (°W)	Canopy height (m)	Climate	Years	Reference
Manaus (Km34)	Evergreen broadleaf forest	2.61	60.21	35	Dry season: July–September	2002–2005	Araújo et al. (2002)
Santarém (Km67)	Evergreen broadleaf forest	2.86	54.96	55	Dry season: July–November	2002–2004	Hutyra et al. (2007)
Santarém (Km83)	Evergreen broadleaf forest	3.02	54.97	35	Dry season: July–November	2001–2003	Da Rocha et al. (2004), Miller et al. (2004)
Reserva Jarú (RJA)	Evergreen broadleaf forest	10.08	61.93	35	Dry season: June–August	2000–2002	Von Randow et al. (2004)
Javaes River - Bananal Island (BAN)	Semi deciduous forest	9.82	50.16	16	Dry season: May–September	2004–2006	Borma et al. (2009)
Reserva Pe-de-Gigante (PDG)	Woody savanna	21.62	62.36	10	Dry season: April–September	2002–2003	Da Rocha et al. (2002)
Fazenda Nossa Senhora (FNS)	Pasture	10.76	62.36	0.5	Dry season: May–September	1999–2001	Andrae et al. (2002), Von Randow et al. (2004)
Santarém (Km77)	Pasture	3.02	54.89	0.6	Dry season: July–December	2001	Sakai et al. (2004)

part of the North American Carbon Program (NACP) to quantify and understand spatial and temporal distributions of carbon sources, sinks, and inventories by synthesizing NACP data and models, from sites to regional/continental scales (Huntzinger et al., 2012; Keenan et al., 2012; Richardson et al., 2012; Schaefer et al., 2012).

The land component of the ISAM used in this study simulates carbon, nitrogen, energy, and water fluxes at  $0.5^\circ \times 0.5^\circ$  spatial resolution and at multiple temporal resolutions ranging from half hourly to yearly. The ISAM has two main components: (1) a biogeochemical module where soil carbon, litter production, respiration, productivity, carbon, and stocks for different vegetation pools are estimated (Fig. 1) (Jain et al., 1996; Jain and Yang, 2005; Yang et al., 2009) and (2) a biogeophysical module representing sunlit-shaded photosynthesis schemes (Dai et al., 2004), energy, and soil/snow hydrology (Song et al., 2013; Oleson et al., 2008; Lawrence et al., 2011). In addition, ISAM biogeochemistry has a dynamic nitrogen cycle module that estimates nitrification, denitrification, leaching, and volatilization as well as nitrogen limitations on plant productivity (Fig. 1) (Yang et al., 2009; Jain et al., 2009).

The ISAM is composed of seven vegetation pools and eight litter and SOM pools (Fig. 1). Each grid cell is occupied by at least one of the twenty eight managed or unmanaged land cover types (primary and secondary forests, C3 and C4 grasses, C3 and C4 croplands, C3 and C4 pastures, shrubland, tundra, urban), and bare ground as described in Meiyappan and Jain (2012).

The ISAM utilizes Farquhar et al. (1980) C3 photosynthesis model as implemented by Collatz et al. (1991), and the Collatz et al. (1992) C4 photosynthesis model. The stomatal conductance model is a variant of the Ball-Berry stomatal conductance model (Ball et al., 1987; Collatz et al., 1991). The ISAM computes stomatal conductance and photosynthesis for sun and shaded leaves where leaf area index (LAI) is used to scale those variables from the leaf to the canopy level. GPP is modified through the feedback of nitrogen availability on carbon assimilation, and is obtained by dynamically comparing plant nitrogen demand and supply (McGuire et al., 1992).

GPP is allocated to leaves and the leaf NPP (GPP minus leaf maintenance and growth respirations) is allocated to stem, and roots. Maintenance respiration is calculated separately for leaves, stem, and root (coarse and fine) based on Sitch et al. (2003) and a temperature dependent  $Q_{10}$  factor (Arora, 2003). The growth respiration for each pool is assumed to be a fixed percentage (25%) of the difference between GPP and maintenance respiration (Sitch et al., 2003). Equations describing carbon allocation, maintenance respiration, and phenology key processes that determine NPP, litter production, autotrophic respiration, and above and below ground biomass have not yet been documented, and hence the equations describing these fluxes in the ISAM are given in Appendix A.

The phenology implemented in the ISAM is based on Arora and Boer (2005) and White et al. (1997), with some modifications to include a water stress factor that triggers senescence for herbaceous biomes (White et al., 1997) and the use of minimum LAI to indicate the start of the leaf fall period. The phenology for deciduous forest ecosystems has four stages: leaf onset which indicates the start of the growing season, normal growth, leaf fall, and a dormant, which indicates no leaf presence (Table A2 of the appendix). The evergreen forests are always on normal growth. The herbaceous biomes have three phenological stages during their growth, which are the same as the first three phenology stages of the deciduous forests. Unlike deciduous forests, herbaceous ecosystems do not enter the dormant stage and they maintain leaves as long as the environmental conditions permit. The transition from one stage of the phenology to another is triggered when certain environmental and physiological conditions are met (Arora and Boer, 2005).

Allocation to and from the eight vegetation pools produces dynamic vegetation that in turn produces litter fall and SOC. The

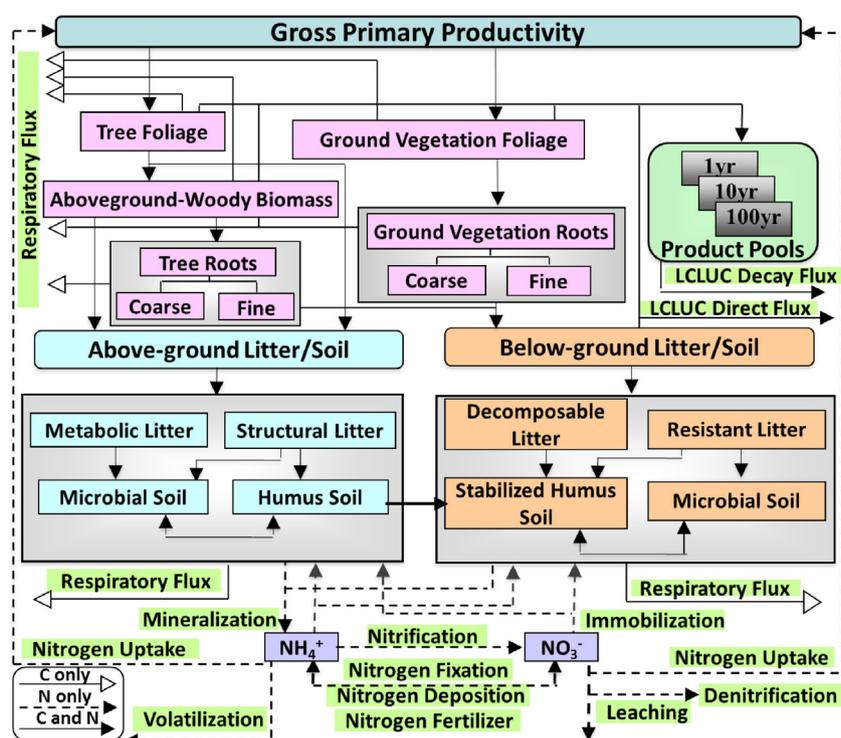


Fig. 1. Schematic diagram of all reservoirs and flows of carbon and nitrogen in the ISAM.

allocation of carbon to the seven vegetation pools is dependent on light, water and phenology stages of the canopy and follows the formulations of Friedlingstein et al. (1999) and Arora and Boer (2005) (see Appendix A), where during leaf onset carbon is allocated only to the leaves. During normal leaf growth, carbon is allocated to the seven vegetation carbon pools and it ceases to the leaves during leaf fall. The allocation of carbon to the vegetation pools is constrained due to adverse effects of limited availability of light, water, and nitrogen. Accordingly, the allocation in roots can help address the limited availability of water and nitrogen, while investments in stems tend to increase access to light.

The carbon allocation process is constrained by three conditions. First, for the cold deciduous trees all carbon is allocated only to the leaves during leaf onset. A second condition is that sufficient woody biomass must be available to support the mass of leaves. A third condition is to assure that the plant structure is preserved, meaning sufficient root and stem biomass must be built prior to support the leaf biomass.

The model estimates litter fall from leaves, stem and roots, which is a function of temperature, water stress, and turnover rates. The turnover time scale for leaves is biome dependent and varies from 0.75 year for savanna to 2 years for evergreen forest (Foley et al., 1996). The turnover rates for the stem vary from 2.5 years for savanna to 50 years for evergreen forest (Malhi et al., 2004) and, between 1 and 8 years for the roots.

## 2.2. Model calibration and spin-up

In this study, we use data from eight LBA flux tower sites: covering four tropical evergreen forest sites, one tropical deciduous forest, one savanna, one pasture, and one pasture/agricultural site (Table 1). The Santarém Km77 was originally a pasture site from September 2000 until November 2001, but was converted to a rice site in February 2002 (Sakai et al., 2004). We simulate this site as a pasture (January 2001–November 2001), discontinuing the model calculations after November 2001 because the flux measurements

are available until that month. The Javaes River–Bananal Island (BAN) site is a semi-deciduous site and it resembles the features of evergreen forests. Hence, we treat this site as tropical evergreen site similar to other modeling studies (e.g. Poulter et al., 2010). The readers are referred to de Gonçalves et al. (2012) for details about the site used in this study.

To improve the model estimated carbon pool sizes and fluxes for tropical forest sites, the carbon allocation factors and the maintenance respiration rates (values are provided in the appendix) are calibrated based on LBA site data. Following Malhi et al. (2011), the allocation factors are adjusted to have 35% of the carbon allocated each to the stem and leaf pools and 30% to the roots pools. The calibrated allocation factors are dynamic and their values can change with time depending on water and light stress factors (Arora and Boer, 2005). Studies suggest that maintenance respiration rates are different for different carbon pools (Amthor, 1984, 2000; Ryan et al., 1995). Therefore, different maintenance respiration rates for leaf, stem, and, root pools for each biome are introduced in the maintenance respiration equations (Table S2).

The ISAM parameters for carbon allocation, maintenance respiration, and turnover rates are parameterized using the measured data from tropical biome site Km34. We optimize the model by using trial and error method to find parameters that can achieve the minimum absolute difference between the observed and the estimated variables. The optimization is done for each biome type because the parameters are biome specific. In addition, measured parameters that are available from the literature are used directly without optimization. We evaluate the optimized model using four other tropical sites (Km67, Km83, RJA, and BAN). Similarly, we calibrate the above mentioned model parameters for the FNS pasture site and tested the model using the other pasture site Km77. Also, we run and evaluate the model performance for a woody savanna site PDG. We could not validate it with other LBA savanna sites, due to the lack of data. However, we compare our model results with other published measured studies (Grace et al., 2006). Finally, we also evaluate and compare our model results with site

**Table 2**Summary of ISAM estimated aboveground and belowground carbon ( $\text{Kg C m}^{-2} \text{yr}^{-1}$ ) reported as the mean for the study sites flux measurement period.

		Km34	Km67	Km83	RJA	BAN	PDG	FNS	Km77
$C_{\text{leaf}}$	ISAM	0.7	0.6	0.7	0.6	0.6	0.4	0.2	0.1
	Measurement	–	–	–	–	–	0.2–0.4 <sup>f</sup>	–	–
$C_{\text{stem}}$	ISAM	17.2	12.6	16.9	16.2	14.7	0.5	–	–
	Measurement	–	–	–	–	–	0.7–1.2 <sup>f</sup>	–	–
$C_{\text{root}}$	ISAM	2.9	2.2	2.8	2.7	2.4	1.0	1.2	0.6
	Measurement	–	–	–	–	–	–	–	–
AGB	ISAM	17.9	13.2	17.6	16.8	15.3	0.9	0.2	0.1
	Measurement	16.5 ± 5 <sup>a</sup>	14.8 ± 3 <sup>a</sup>	15.6 <sup>b</sup> 18.5–20.4 <sup>c</sup>	–	–	–	–	–
BGB	ISAM	2.9	2.2	2.8	2.7	2.4	1.0	1.2	0.6
	Measurement	3.8 ± 0.6 <sup>a</sup>	1.8 ± 0.7 <sup>b</sup>	–	–	–	0.7–1.1 <sup>d</sup>	–	–
Total Biomass	ISAM	20.8	16.4	20.4	19.5	17.7	1.9	1.4	0.7
	Measurement	20.3 ± 5.6 <sup>a</sup>	16.6 ± 3.6 <sup>a</sup>	19.9–21.8 ± 0.2 <sup>a</sup>	–	–	1.7–2.3 <sup>e</sup>	–	–

<sup>a</sup> Measurements data are from Malhi et al. (2009), unless indicated by a letter.<sup>b</sup> Measurement from Saleska et al. (2003).<sup>c</sup> Measurement from Miller et al. (2004).<sup>d</sup> Measurement from De Castro and Kaufman (1998).<sup>e</sup> Measurement from Da Rocha et al. (2002).<sup>f</sup> Measurements from Grace et al. (2006).

ecophysiological measurements for NPP, autotrophic respiration, heterotrophic respiration, biomass, soil carbon, and leaf litter.

The model is initialized using site level soil properties and site meteorological data and with the absence of  $\text{CO}_2$  and nitrogen disturbance. The spin-up is achieved by repeating the site meteorological data for the range of available years until the carbon and nitrogen pools reach the steady state, which takes about 700 years for the soil carbon. Next, the model is run for each site for the site measurement period.

### 3. Results

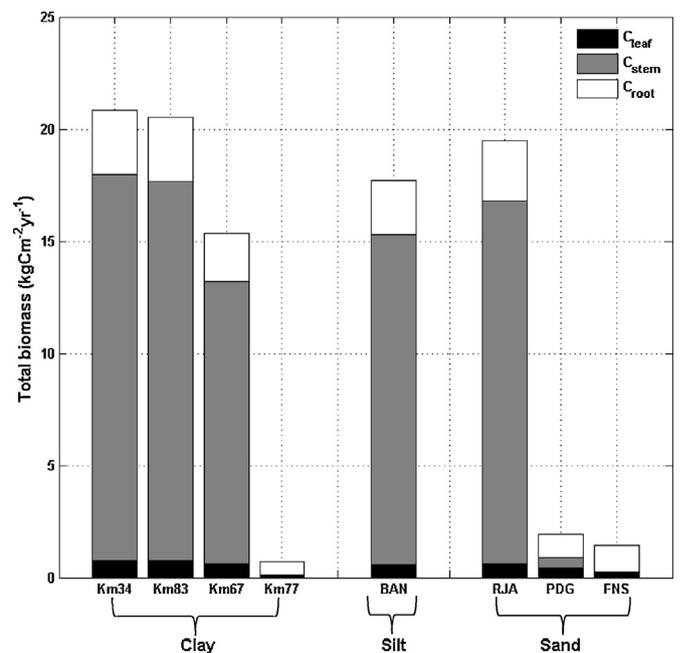
This study uses a network of meteorological and climate data from Amazon sites to drive the ISAM to calculate and compare the carbon fluxes with relevant observations of ecosystem fluxes. Specifically, this study takes advantage of the LBA project updated database from eight flux towers across a gradient of ecosystems within Amazon. The ISAM systematically calculates carbon fluxes and storage in the Amazon vegetation and soils.

#### 3.1. Biomass

The ISAM calculated aboveground biomass (AGB) ranges between  $0.2 \text{ kg C m}^{-2} \text{ yr}^{-1}$  for pasture and  $17.9 \text{ kg C m}^{-2} \text{ yr}^{-1}$  for tropical evergreen forest (Table 2). The AGB for the Km34, the Km67, and the Km83 sites are within the range of the site measured data. There are no measured data available for AGB for the RJA and BAN tropical evergreen sites, but the ISAM estimated AGB for this site falls within the range of Km34 and the Km67 sites (Table 3). The pasture (FNS and Km77) and the savanna (PDG) sites store more carbon in the roots ( $C_{\text{root}}$ ) than in the above ground and range from 0.7 to  $1.2 \text{ kg C m}^{-2} \text{ yr}^{-1}$  (Table 2). Below ground biomass (BGB) for the Km34 and Km67 sites is estimated to be between 2.2 and  $2.9 \text{ kg C m}^{-2} \text{ yr}^{-1}$  with BGB for the Km34 site being slightly lower than the measured value (Table 3). As expected, carbon in forest ecosystems is mostly stored in the stem ( $C_{\text{stem}}$ ), whereas for grassland in the roots (Fig. 2). On the other hand, ISAM estimated total biomass for the Km83 site ( $20.4 \text{ kg C m}^{-2} \text{ yr}^{-1}$ ) was within the range of measured total biomass (Table 2). The ISAM modeled total biomass for the other tropical sites ranges from 16.4 to  $20.8 \text{ kg C m}^{-2} \text{ yr}^{-1}$ , which is within the measured range of values of total biomass at the Km34, Km67 and Km83 sites (Table 3). The modeled total biomass for the savanna (PDG) site is  $1.9 \text{ kg C m}^{-2} \text{ yr}^{-1}$ , which falls within the measured value of total biomass, while total biomass for the pasture sites (FNS and Km77) are 1.4 and  $0.9 \text{ kg C m}^{-2} \text{ yr}^{-1}$  (Table 2), respectively. The variation

**Table 3**ISAM estimated soil carbon ( $\text{Kg C m}^{-2}$ ) for the LBA study sites reported as the mean for the study sites flux measurement period.

	Soil carbon (0–1 m)	
	ISAM	Measurement
Km34	12.8	12.7 <sup>a</sup>
Km67	12.6	12.0 <sup>a</sup>
Km83	12.2	7.8 ± 1.1–11.2 ± 1.3 <sup>b</sup>
RJA	10.0	–
BAN	10.2	–
PDG	8.3	8.3–8.9 <sup>c</sup>
FNS	10.3	10.0–12.0 <sup>c</sup> 10.0–10.8 <sup>d</sup>
Km77	7.2	–

<sup>a</sup> Measurements are from Malhi et al. (2009).<sup>b</sup> Measurements are from De carvalho Conceição Telles et al. (2003) for 1 m soil depth.<sup>c</sup> Measurements are from Fishers et al. (1994) for Savanna and pasture sites in Colombia.<sup>d</sup> Measurements are from Trumbore et al. (1995).**Fig. 2.** Component of total biomass for each site in  $\text{kg C m}^{-2} \text{ yr}^{-1}$  grouped with respect to soil types.

in the total biomass for the tropical evergreen sites is due to the fact that the soil characteristics vary with site. The sites with clay soil have higher biomass than the sites with silt or sandy soils. However, the tropical evergreen site Km67 is exceptional, which has been disturbed over the time and therefore has lower total biomass compared to other LBA forest sites (Fig. 2).

### 3.2. Soil carbon

The model estimated soil carbon for the top 1 m soil depth ranges between  $7.2 \text{ kg C m}^{-2}$  (Km77 site) and  $12.8 \text{ kg C m}^{-2}$  (Km34 site) (Table 3). The ISAM estimated soil carbon for the Km67 site is only 4.5% higher than the site measurement. The soil carbon estimates for the RJA and BAN forest sites are within the range of the site measurement for Km83. The estimated soil carbon for the savanna site (PDG) is at the lower end of the site measurement. The estimated soil carbon for the pasture site (FNS) ( $10.3 \text{ kg C m}^{-2}$ ) is higher than that of the savanna site, but the model estimated soil carbon for both of these sites are within the range of measured soil carbon (Table 3). The pasture site (Km77) soil carbon ( $7.2 \text{ kg C m}^{-2}$ ) is lower than the other pasture site (FNS) which can be related to difference in soil types and textures (Andreae et al., 2002; Keller et al., 2005) that determines the amount of carbon stored in the soil.

### 3.3. GPP

Overall, the ISAM GPP results are in good agreement with measurements (Table 4). For tropical evergreen sites, model estimated GPP vary between  $2.6$  and  $3.0 \text{ kg C m}^{-2} \text{ yr}^{-1}$  compared to flux tower measured values of  $2.7$ – $3.1 \text{ kg C m}^{-2} \text{ yr}^{-1}$  (Table 4). The GPP for the savanna and pasture sites are lower than the forest sites, because herbaceous ecosystems are less productive than forest ecosystems. The ISAM estimated GPP for the individual sites is within 15% of flux measurement values. When considering the large uncertainties in the measured flux GPP ( $\pm 5$ – $20\%$ ) that are related to errors in the partitioning of net ecosystem exchange (NEE) into ecosystem respiration and GPP and errors in energy balance closer (Hollinger and Richardson, 2005; Wilson et al., 2002), the model GPP estimates are within the uncertainty range of the measured flux GPP values.

The GPP for the FNS pasture site is about 3 times higher than the other pasture site (km77). One explanation for the large difference in productivity between these two pasture sites is that the productivity of the Km77 site is lower due to water and nutrient stresses (Sakai et al., 2004). Therefore, Km77 site LAI is almost half of that of the FNS site LAI.

To evaluate the model performance for the yearly changes in GPP, we compared the estimated GPP with site measurements. The model performances for all, but pasture site (FNS) for year 2001 are compared well with the measurements for all the measured years (Fig. 3). While exact cause of underestimation of GPP for the FNS pasture site GPP for year 2001 is unknown; we speculate this could be due to errors in some measured input variable.

### 3.4. Respiration

The ISAM estimated maintenance respiration for the leaf ( $R_{\text{leaf}}$ ) ( $0.4$ – $0.7 \text{ kg C m}^{-2} \text{ yr}^{-1}$ ), stem ( $R_{\text{stem}}$ ) ( $0.3$ – $0.4 \text{ kg C m}^{-2} \text{ yr}^{-1}$ ), and roots ( $R_{\text{root}}$ ) ( $0.3$ – $0.4 \text{ kg C m}^{-2} \text{ yr}^{-1}$ ) for tropical evergreen sites are within the range of measured values (Table 4). Leaf maintenance respiration rates are higher than stem and roots because of the high leaf turnover rates ( $0.75$ – $2$  years for the leaves compared to  $1$ – $50$  years for roots and stem; Table A1). The model estimated  $R_{\text{leaf}}$  is lower for the savanna (PDG) and the pasture (FNS) sites than the forest sites because of lower LAI (data not shown) and leaf carbon (Table 4).

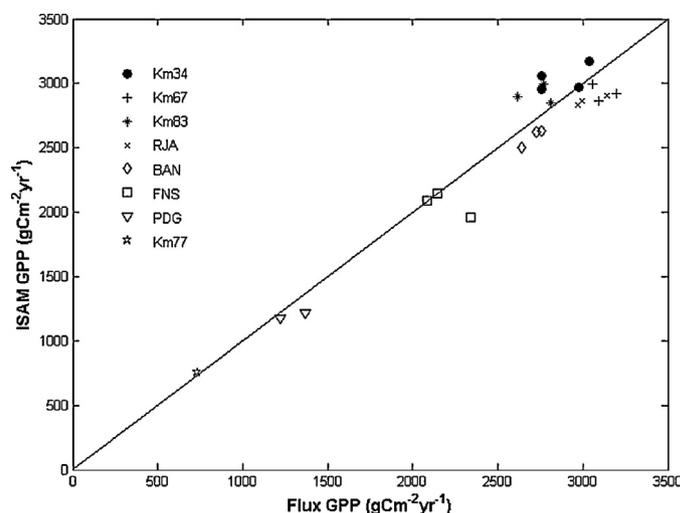


Fig. 3. Scatter plot for the relationship between ISAM estimated GPP and flux GPP per year for each site in  $\text{g C m}^{-2} \text{ yr}^{-1}$ . The solid line represents the 1:1 line.

Similarly, the ISAM estimated autotrophic respiration ( $R_{\text{auto}}$ ) (the sum of leaf, stem, roots, and growth respiration) for the tropical evergreen sites vary between  $1.5$  and  $2.0 \text{ kg C m}^{-2} \text{ yr}^{-1}$ , which fall within the range of measured  $R_{\text{auto}}$  for the Km34 and the Km67 sites (Table 4). The savanna (PDG) site and the pasture site (Km77) have the lowest  $R_{\text{auto}}$  ( $0.5 \text{ kg C m}^{-2} \text{ yr}^{-1}$ ), while pasture (FNS) site  $R_{\text{auto}}$  ( $1.0 \text{ kg C m}^{-2} \text{ yr}^{-1}$ ) is almost half of the forest sites, which could be attributed to lower GPP compared to the evergreen sites (Table 4).  $R_{\text{leaf}}$  for the tropical evergreen sites is about third of the total  $R_{\text{auto}}$  (Fig. 4). The  $R_{\text{root}}$  for the pasture sites (FNS) is the highest, which is about 50% of the total  $R_{\text{auto}}$ , while  $R_{\text{leaf}}$  leaf respiration accounts for about 10% of the total  $R_{\text{auto}}$  (Fig. 4). The model is able to capture the variability in the maintenance respiration for each of the vegetation pool of forest and herbaceous biomes. Model results show that the herbaceous sites (especially for the pasture sites) have higher  $R_{\text{root}}$ , whereas for the forest biomes  $R_{\text{leaf}}$  is the highest (Table 4).

The simulated soil respiration ( $R_{\text{hetro}}$ ) estimates for the tropical evergreen forests ( $0.9$ – $1.1 \text{ kg C m}^{-2} \text{ yr}^{-1}$ ) are also within the range of measured values (Table 4), except for the Km67 site. The ISAM estimated  $R_{\text{hetro}}$  for Km67 is lower than the measured fluxes, while leaf, stem, root respiration and the  $R_{\text{auto}}$  are within the range of

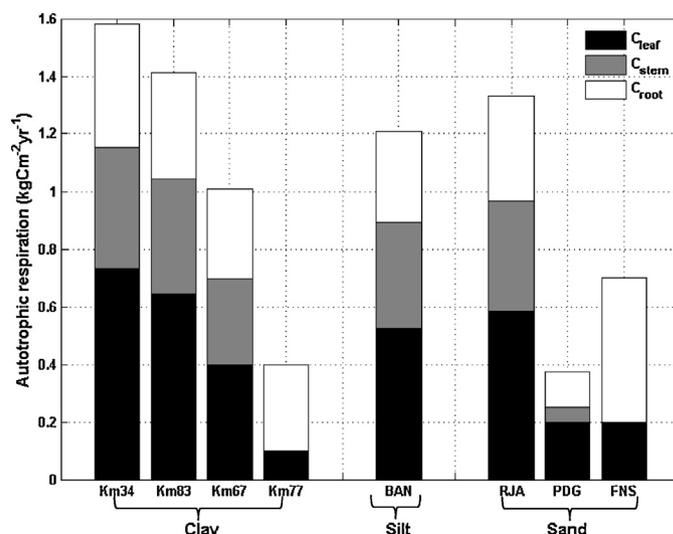


Fig. 4. Component of autotrophic respiration for each site in  $\text{kg C m}^{-2} \text{ yr}^{-1}$  grouped with respect to soil types.

**Table 4**  
Summary of ISAM estimated carbon fluxes ( $\text{kg C m}^{-2} \text{ yr}^{-1}$ ) reported as the mean for the study sites flux measurement period. Measurements for GPP are from eddy flux tower measurements; while the remaining variables are ecophysiological measurements from Malhi et al. (2009).

		Km34	Km67	Km83	RJA	BAN	PDG	FNS	Km77
GPP	ISAM	3.0	2.9	2.9	2.9	2.6	1.2	2.1	0.8
	Measurement	2.9	3.1	2.7	3.0	2.7	1.3	2.2	0.7
NPP	ISAM	1.1	1.4	1.1	1.1	1.0	0.6	1.1	0.4
	Measurement	$1.0 \pm 0.1$	$1.4 \pm 0.1$	–	–	–	–	–	–
$R_{\text{auto}}$	ISAM	2.0	1.5	1.8	1.7	1.5	0.6	1.0	0.4
	Measurement	$2.0 \pm 0.5$	$1.5 \pm 0.4$	–	–	–	–	–	–
$R_{\text{leaf}}$	ISAM	0.7	0.4	0.6	0.6	0.5	0.2	0.2	0.1
	Measurement	$1.0 \pm 0.4$	$0.7 \pm 0.4$	–	–	–	–	–	–
$R_{\text{stem}}$	ISAM	0.4	0.3	0.4	0.4	0.4	0.05	–	–
	Measurement	$0.4 \pm 0.1$	$0.4 \pm 0.1$	–	–	–	–	–	–
$R_{\text{root}}$	ISAM	0.4	0.3	0.4	0.4	0.3	0.1	0.5	0.3
	Measurement	$0.5 \pm 0.2$	$0.4 \pm 0.8$	–	–	–	–	–	–
$R_{\text{hetro}}$	ISAM	1.1	1.0	1.0	1.0	0.9	0.6	1.0	0.6
	Measurement	$1.0 \pm 0.1$	$1.5 \pm 0.1$	–	–	–	–	–	–
$R_{\text{total}}$	ISAM	3.1	2.5	2.8	2.7	2.4	1.2	2.0	1.0
	Measurement	$2.9 \pm 0.5$	$3.0 \pm 0.4$	–	–	–	–	–	–
NEE	ISAM	–0.1	0.4	0.1	0.2	0.2	0.0	0.1	–0.2
	Measurement	$0.5 \pm 0.2^a$	$1.4 \pm 3.8^b$	$0.5 \pm 0.1^a$	$–1.1^b$	–	–	–	–
NPP/GPP	ISAM	0.4	0.5	0.4	0.4	0.4	0.5	0.5	0.5
	Measurement	0.34	0.5	–	–	–	–	–	–

<sup>a</sup> Ecophysiological measurements.

<sup>b</sup> Gas exchange measurements.

site measurements. It is hypothesized that the Km67 site has experienced mortality disturbance (Malhi et al., 2009; Saleska et al., 2003), but this disturbance has not been quantified in the literature (Marcos Costa, pers. comm.). The inability to determine the right disturbance history over the measured time hinders the model ability to estimate  $R_{\text{hetro}}$ , because  $R_{\text{hetro}}$  is expected to increase following disturbance due to increased microbial respiration with vegetation growth (Concilio et al., 2006; Vargas and Allen, 2008).

The  $R_{\text{total}}$  for the tropical evergreen site, Km34 ( $3.1 \text{ kg C m}^{-2} \text{ yr}^{-1}$ ) is very similar to the measured  $R_{\text{total}}$ . There are no measured data available for the other forest sites, but the model estimated  $R_{\text{total}}$  is close to the measured values at Km34 and Km67 sites (Table 4). The ISAM estimated  $R_{\text{total}}$  for the savanna (PDG) and the pasture (FNS and Km77) sites are lower than the forest sites mainly because of lower  $R_{\text{auto}}$  for these two sites (Table 4). Examining the component ( $R_{\text{auto}}$  and  $R_{\text{hetro}}$ ) of total respiration, the ISAM estimated  $R_{\text{auto}}$  is about two third of the  $R_{\text{total}}$  for tropical evergreen sites and about half for the savanna (PDG) and the pasture (FNS and Km77) sites (Fig. 5), which are consistent with the measurement data.

### 3.5. NPP

The ISAM NPP estimates for tropical evergreen forest varies between  $1.0$  and  $1.4 \text{ kg C m}^{-2} \text{ yr}^{-1}$  which falls within the range of reported NPP values for the same biome (Table 4). The model estimated NPP for deciduous forest (BAN), savanna (PDG) and the two pasture sites (FNS and Km77) are  $1.0$ ,  $0.6$ ,  $1.1$ , and  $0.4 \text{ kg C m}^{-2} \text{ yr}^{-1}$ , respectively (Table 4).

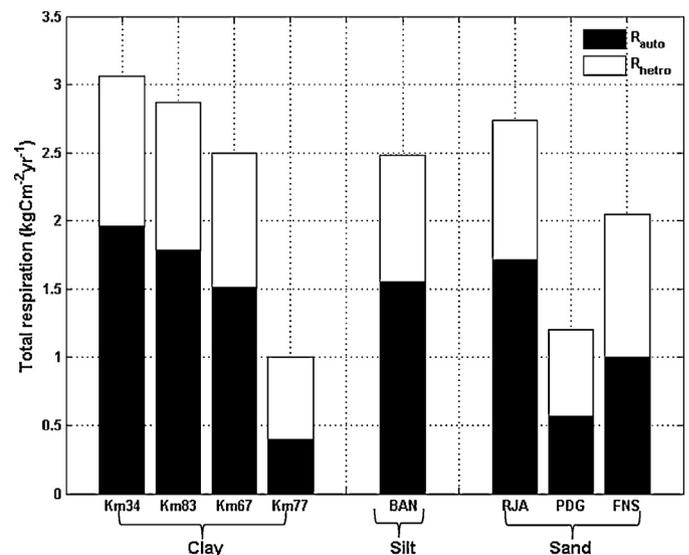
### 3.6. NPP: GPP ratio

The model estimated forest carbon use efficiency (NPP/GPP ratio) varies from  $0.4$  to  $0.5$  for the tropical evergreen sites, which is similar to the measured NPP/GPP ratio of  $0.34$  (for the Km34) and  $0.5$  (for the Km67). The Km67 site has a higher measured NPP/GPP ratio ( $0.5$ ) compared to other tropical evergreen sites, which suggest that this tropical evergreen site is accumulating more carbon than the other LBA tropical evergreen sites. Malhi et al. (2009) suggest that the enhanced NPP for the Km67 site is due to a shift in carbon allocation to wood production because GPP is similar to the other tropical evergreen forest sites. The savanna (PDG) and

the pasture (FNS and Km77) sites have higher NPP/GPP ratio of  $0.5$  (Table 4) mainly because of lower GPP and  $R_{\text{auto}}$ . Moreover, the savanna and the pasture sites experience the longest dry season and plants growing in such environmental conditions have stronger efficiency to store carbon as they have less plant material to sustain compared to forests (Zhang et al., 2009). Also, the transition from dry to wet climate leads to an increase in plant respiration which may cause a decrease in NPP.

### 3.7. Leaf litter

The modeled leaf litter is close to the measurements except for the Km67 site and range from  $0.2 \text{ kg C m}^{-2} \text{ yr}^{-1}$  to  $0.3 \text{ kg C m}^{-2} \text{ yr}^{-1}$  (Table 5). The leaf litter for the pasture site (FNS) ( $0.2 \text{ kg C m}^{-2} \text{ yr}^{-1}$ ) is at the higher end of the reported measurements, while for the other pasture site (Km77) the value ( $0.1 \text{ kg C m}^{-2} \text{ yr}^{-1}$ ) is at the lower end of the reported measurements. The difference between the model estimated leaf litter for the two pasture sites can be



**Fig. 5.** Component of total respiration for each site in  $\text{kg C m}^{-2} \text{ yr}^{-1}$  grouped with respect to soil types.

**Table 5**  
ISAM estimated leaf litter ( $\text{Kg C m}^{-2} \text{ yr}^{-1}$ ) for the LBA sites reported as the mean for the study sites flux measurement period.

Leaf litter	ISAM	
		measurements
Km34	0.3	$0.25 \pm 0.35^a$
Km67	0.3	$0.4^b$
Km83	0.3	$0.3^c$
RJA	0.3	–
BAN	0.3	–
PDG	0.2	–
FNS	0.2	$0.1–0.2^d$
Km77	0.1	–

<sup>a</sup> Measurement is the average of the three estimates from Luizão et al. (2004).

<sup>b</sup> Measurement taken from Rice et al. (2004).

<sup>c</sup> Measurement taken from Hirsch et al. (2004).

<sup>d</sup> Measurement taken from Trumbore et al. (1995).

related to the difference in the LAI (maximum LAI for the FNS is  $5.7 \text{ m}^2 \text{ m}^{-2}$  compared to  $3.2 \text{ m}^2 \text{ m}^{-2}$  for the Km77 site) (data provided by the LBA core team), which is related to the nutrient and soil moisture availability (Sakai et al., 2004). For the sites with no reported measurements (RJA, BAN, and PDG sites), we could not draw any conclusions as to whether the model is overestimating or underestimating leaf litter.

#### 4. Discussion

We use the ISAM to simulate the carbon fluxes and carbon pools in the vegetation and the soils for eight LBA sites representative of three different biome types (forest, savanna, and pasture). The ISAM estimates for GPP are well within the uncertainty range of the site measurements.

GPP for the FNS pasture site is higher than the Km77 pasture site. One explanation for this difference is that the soil in the Eastern Amazon, the region where Km77 is located, is believed to have lower soil nutrients than the soils in the Western Amazon, the regions for FNS location (Asner et al., 1999). Moreover, de Moraes et al. (1996) and Dias-Filho et al. (2001) studies suggest that pasture growth is limited by nutrient availability, such as phosphorus, calcium and potassium. Studies also suggest that soil moisture stress at Km77 is higher during the dry season due to shallow roots (Sakai et al., 2004). It is interesting to note that the ISAM is able to track down productivity for these two diverse pasture sites (FNS and Km77), because the ISAM is able to account for water and nutrient limitations under the variable environmental conditions.

To investigate whether the LBA sites acted as a net source or sink for atmospheric  $\text{CO}_2$ , NEE is calculated as the difference between NPP and  $R_{\text{hetro}}$ . The model results indicate that all the forest sites are net sink of carbon, while the savanna (PDG) and pasture (FNS) sites are neutral and only Km77 is net source of carbon. Based on the model results, the Km67 has accumulated more carbon and hence this site acted as carbon sink, which is evident from the fact that the estimated and measured NPP for this site are higher compared to the other LBA forest sites. Pyle et al. (2008) suggests that this site has possibly experienced mortality in the 1990s and re-growing thin younger forest have absorbed more carbon (Figueira et al., 2008). Based on our model results and measurements, our assumption is that this site has been occupied by the younger or smaller trees with higher NPP/GPP ratio (Delucia et al., 2007) and lower  $R_{\text{auto}}$  compared to the other forest sites. We account for the disturbances for Km67 site by reducing the modeled vegetation carbon by 20% at the beginning of the model simulation year. The NPP for the disturbed case is  $1.4 \text{ Kg C m}^{-2} \text{ yr}^{-1}$  (Table 4), which is compared well with the measured data and about 50% higher than without disturbance case. In addition, the underestimation of the modeled leaf litter

for the Km67 site could be related to the mortality hypothesis for the Km67 site associated with drought stress and that could have caused an increase in the observed leaf litter.

The measurement for the Km67 site suggests that this site is net source for carbon (Malhi et al., 2009), while our model results show the opposite because the model underestimated soil respiration. The model result suggests that the Km34 site acted as net sink for carbon. Our model results agree with the site ecophysiological measurements, but disagree with the site flux measurements (Malhi et al., 2009) (Table 2). Because of the large uncertainties in the site measurements, particularly for  $R_{\text{leaf}}$  and  $R_{\text{hetro}}$  (Malhi et al., 2009; Ryan and Law, 2005; Suseela et al., 2012), and the difference in the measurements results based on the two measurements methods (ecophysiological and eddy flux covariance), it is difficult to correctly evaluate the model NEE estimates and therefore the role of the ecosystem as a carbon sink or source.

The total ecosystem respiration,  $R_{\text{total}}$ , for the tropical evergreen sites decreases with decreasing precipitation during the dry season because soil respiration is constrained by desiccation (Saleska et al., 2003). Both Km34 and RJA sites have the same dry season length (3 months), but the dry season precipitation for the Km34 site (35 cm) is 40% larger than the RJA site (25 cm).  $R_{\text{total}}$  for the Km34 is 15% higher than the RJA site, which indicates that  $R_{\text{total}}$  is driven by dry season precipitation amount and not by dry season length. Therefore, the respond of  $R_{\text{total}}$  to climate change will be dependent on the severity of the dry season and the wet season length.

While land surface models suffers from the inability to accurately estimate soil carbon, ISAM soil carbon estimates for the Amazonian sites are in close agreement with the site measurements (within 4.5%). Indeed, the strength of the ISAM is in its ability to accurately calculate soil carbon dynamics, which is important for predicting its role in climate change as soil respiration is expected to increase due to increase in soil temperature (Raich and Schlesinger, 1992; Rustard et al., 2002). It will be advantageous to have long term measurements of soil carbon to have a better insight of the interannual dynamics of this carbon pool since tropical soils are suggested to have a potential to sequester an additional amount of carbon (Lal, 2002, 2004).

The annual total magnitude of the ISAM leaf litter estimates are close to the site estimates. However, when compared with leaf litter estimates from Rice et al. (2004), the seasonal variations do not match (not shown). The ISAM litter production do not show seasonal variability for the Km67 site because leaf litter is controlled by cold temperature and water stress and the cold temperature stress will not have an effect on leaf litter for tropical sites (Arora and Boer, 2005). Based on the ISAM, the water stress factor for the Km67 site is almost uniform throughout the season and the current litter production scheme has failed to capture the seasonality of leaf litter for tropical evergreen sites (this is the case of the majority of land surface models). We will address this issue in the future studies by modifying the ISAM litter production model to account for the seasonal variation in LAI when estimating leaf litter, in such a way that leaf litter should increase with a decrease in LAI and vice versa.

The improvement introduced to the carbon allocation and autotrophic respiration schemes are interconnected, because  $R_{\text{auto}}$  is dependent on the vegetation carbon pool size. By adjusting the parameters for the vegetation turnover rates, we are able to improve the model estimated total vegetation biomass (Table 2). Furthermore, model assumes different maintenance respiration rates for the different vegetation pools and for different biomes. These modifications are introduced to be consistent with studies showing that leaf maintenance respiration rate is higher than the stem and the roots (Ryan et al., 1996). To our knowledge, these implementations are unique to ISAM and help to improve the model performance as evident from the model results (Table 4).

## 5. Conclusion

We show that by calibrating the ISAM using site data for the LBA sites, the results compare well with the site measurements and within their uncertainty range. The ISAM is calibrated using data for the Km34 tropical forest site and tested for the other four tropical forest sites included in the LBA project. Also, ISAM is calibrated for the one pasture site (FNS) and validated for the other pasture site (Km77). The ISAM is calibrated for the savanna site (PDG), but could not be further validated due to lack of data availability. The ISAM estimated results for the measured sites compare well with the site measurements thus validating our calibration techniques. Despite the limitation in the site measurements and data availability, it can be concluded that global land surface models can be improved substantially by integrating site level data.

In this application of the ISAM, we revise and modify the autotrophic respiration and the carbon allocation schemes, which proved successful as evident from model results. We believe that these modified schemes and calibrated parameters can be implemented in other land surface models depending on their model structure to improve their estimates. We learn from this analysis that several improvement for ISAM are needed to enhance the model capability for the global simulations, such as adding more biome to represent heterogeneity in landscapes (e.g. woody savanna), and improving the litter production schemes for tropical

forests to better capture the seasonal variability in the leaf litter. Furthermore, leaf litter seasonality can be improved by adjusting the seasonality of the leaf carbon pool through the modification of the carbon allocation parameters and accounting the impacts of light stress on these parameters, specifically for in tropical evergreen forests. Finally, LAI is the main driver for the leaf litter seasonality, and we plan to introduce dynamic LAI schemes to ISAM to improve the LAI seasonality.

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## Appendix A.

**Table A1**  
Model parameter values used in this study.

Function	Units	Tropical evergreen forest	Savanna	Pasture	Reference	
Structure	Veg_frct	–	1	0.80 <sup>a</sup>	0.85 <sup>a</sup>	This study
	cn <sub>leaf</sub>	–	42	25	50	White et al. (2000)
	cn <sub>stem</sub>	–	300	200	200	White et al. (2000)
	cn <sub>root</sub>	–	58	50	50	White et al. (2000)
Photosynthesis	V <sub>cmax</sub>	μmole m <sup>-2</sup> s <sup>-1</sup>	95	94	84	Domingues et al. (2007)
	k <sub>n</sub>	–	0.5	0.5	0.5	Arora and Boer (2005)
Plant respiration	k <sub>leaf</sub>	gCg N <sup>-1</sup> d <sup>-1</sup>	0.09 <sup>a</sup>	0.04 <sup>a</sup>	0.06 <sup>a</sup>	This study
	k <sub>stem</sub>	gCg N <sup>-1</sup> d <sup>-1</sup>	0.01 <sup>a</sup>	0.04 <sup>a</sup>	0 <sup>a</sup>	This study
	k <sub>root</sub>	gCg N <sup>-1</sup> d <sup>-1</sup>	0.04 <sup>a</sup>	0.06 <sup>a</sup>	0.06 <sup>a</sup>	This study
Phenology	daylen	h	12	12	12	This study
	bio_tr	°C	12	12	11	This study
	Bio_trmin	°C	7	2	2	This study
	LAI_max	m <sup>-2</sup> m <sup>-1-2</sup>	6	4.50	3.29	This study
Allocation	ω	–	0.6	0.8	0.5	This study
	ε <sub>leaf</sub>	–	0.5 <sup>a</sup>	0.4	0.4	This study
	ε <sub>stem</sub>	–	0.001 <sup>a</sup>	0	0	This study
	ε <sub>root</sub>	–	0.499 <sup>a</sup>	0.60	0.60	This study
	k	–	1.6	1.6	1.6	Arora and Boer (2005)
Litter production	Y <sub>leaf</sub>	years	2	0.75	1	This study
	r <sub>wmax</sub>	d <sup>-1</sup>	0.005	0.005	0.005	Arora and Boer (2005)
	r <sub>tmax</sub>	d <sup>-1</sup>	0.20	0.30	0.15	This study
	b <sub>w</sub>	–	3.0	3.0	3.0	Arora and Boer (2005)
	b <sub>t</sub>	–	3.0	3.0	3.0	Arora and Boer (2005)
	T <sub>cold</sub>	°C	5.0	5.0	5.0	Arora and Boer (2005)
	Y <sub>stem</sub>	years	50 <sup>a</sup>	2.5	1.0	This study
Turnover rate	Y <sub>fract</sub> /Y <sub>croct</sub>	years	2.0/8.0 <sup>a</sup>	2.0/5.0	1.0/2.0	This study

<sup>a</sup> Calibrated parameters.

**Table A2**  
ISAM equations for the carbon modules.

Function	Equations	Reference
Plant autotrophic respiration	$R_{leaf} = k_{leaf} \times \frac{C_{leaf}}{cn_{leaf}} \times \varnothing \times gt$	(S1)
	$R_{stem} = k_{stem} \times \frac{C_{stem}}{cn_{stem}} \times gt$	(S2)
	$R_{root} = k_{root} \times \frac{C_{root}}{cn_{root}} \times \varnothing \times gt$	(S3)
	$Q_{10} = 3.22 - 0.046 \times T_{veg}$ for leaves and stems	(S4)
	$Q_{10} = 3.22 - 0.046 \times T_{soil}$ for roots	(S5)
	$gt = Q_{10}^{(T_{veg}-20)/10}$ for leaves and stems	(S6)

Table A2 (Continued)

Function	Equations	Reference
Phenology	$gt = Q_{10}^{(T_{soil}-20)/10}$ for roots	(S7)
	$R_{mtotal} = R_{leaf} + R_{stem} + R_{root}$	(S8)
	$R_G = 0.25 \times \max(0, GPP - R_{mtotal})$	(S9)
	$R_{auto} = R_{mtotal} + R_G$	(S10)
	For deciduous trees:	
	leaf onset = $\begin{cases} \text{julian day} > 200 \\ \text{day length} > \text{daylen} \\ T_r > \text{bio\_tr} \end{cases}$	(S11)
	normal growth = $(LAI \geq (0.5 \times LAI_{max}))$	(S12)
	leaf fall = $\begin{cases} \text{julian day} > 200 \\ \text{day length} > \text{daylen} \\ T_r < \text{bio\_trmin} \\ \text{or} \\ GPP - R_{leaf} > 0 \end{cases} \left  \text{or } LAI < (0.4 \times LAI_{max}) \right.$	
	dormant.no leaf = $\begin{cases} \text{day length} < \text{daylen} \\ T_r < \text{bio\_trmin} \end{cases}$	(S14)
	For herbaceous:	
	leaf onset = $\begin{cases} GPP - R_{leaf} > 0 \\ T_r > 275 \end{cases}$	(S15)
	normal growth = $(LAI > (0.5 \times LAI_{max}))$	(S16)
	leaf fall = $\begin{cases} GPP - R_{leaf} < 0 \\ T_r < 275 \\ ws < 0.4 \end{cases}$	(S17)
	NPP = $GPP - R_{mtotal} - R_G$	(S18)
	For tropical evergreen forest:	
	If NPP > 0:	
	$C_{leaf} = NPP \times \text{Allo\_fact}_{leaf}$	(S19)
	$C_{stem} = NPP \times \text{Allo\_fact}_{stem}$	(S20)
$C_{roots} = NPP \times \text{Allo\_fact}_{roots}$	(S21)	
If NPP < 0:		
$C_{leaf} = GPP \times \text{Allo\_fact}_{leaf} - R_{leaf}$	(S22)	
$C_{stem} = GPP \times \text{Allo\_fact}_{stem} - R_{stem}$	(S23)	
$C_{roots} = GPP \times \text{Allo\_fact}_{root} - R_{root}$	(S24)	
For savanna and pasture:		
Maximum growth:		
$C_{leaf} = NPP$	(S25)	
Normal growth:		
Same as tropical forest		
Leaf off		
$C_{leaf} = (GPP - R_G - R_{leaf}) \times \text{Allo\_fact}_{leaf} - R_{leaf}$	(S26)	
$C_{stem} = (GPP - R_G - R_{stem}) \times \text{Allo\_fact}_{stem} - R_{stem}$	(S27)	
$C_{root} = (GPP - R_G - R_{root}) \times \text{Allo\_fact}_{root} - R_{root}$	(S28)	
Allocation factor for trees:		
$\text{Allo\_fact}_{stem} = \frac{\epsilon_{stem} + \omega(1-L)}{1 + \omega(2-L-ws)}$	(S29)	
$\text{Allo\_fact}_{root} = \frac{\epsilon_{root} + \omega(1-ws)}{1 + \omega(2-L-ws)}$	(S30)	
$\text{Allo\_fact}_{leaf} = 1 - \text{Allo\_fact}_{stem} - \text{allo\_fact}_{root}$	(S31)	
Allocation factor for herbaceous:		
$\text{Allo\_fact}_{root} = \frac{\epsilon_{root} + \omega(1-ws)}{1 + \omega(1+L-ws)}$	(S32)	
$\text{Allo\_fact}_{leaf} = \frac{\epsilon_{leaf} + \omega L}{1 + \omega(1+L-ws)}$	(S33)	
$L = \begin{cases} \exp(-k_n \times LAI) & \text{for trees and crops} \\ \max(0, \frac{1-LAI}{4.5}) & \text{for grasses} \end{cases}$	(S34)	
Litter <sub>prod</sub> <sub>leaf</sub> = $\frac{C_{leaf} \times (r_n + r_w + r_t)}{1}$	(S35)	
$r_n = \frac{1}{(Y_{leaf} \times 365)}$	(S36)	
$r_w = r_{wmax} \times (1-ws)^{bw}$	(S37)	
$r_t = r_{tmax} \times (1-\beta_t)^{bt}$	(S38)	
$\beta_t = \begin{cases} 1 & T_{air} > T_{cold} \\ \frac{(T_{air} - (T_{cold} - 5.0))}{5.0} & T_{cold} > T_{air} > (T_{cold} - 5.0) \\ 0 & T_{air} \leq (T_{cold} - 5.0) \end{cases}$		
For stems and roots:		
Litter <sub>prod</sub> <sub>stem</sub> = $C_{stem} \times \left( \frac{1}{Y_{stem} \times 365} \right)$	(S40)	
Litter <sub>prod</sub> <sub>root</sub> = $C_{root} \times \left( \frac{1}{Y_{root} \times 365} \right)$	(S41)	

Arora and Boer (2005), Friedlingstein et al. (1999)

Arora and Boer (2005)

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