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# Dynamics and determinants of land change in India: integrating satellite data with village socioeconomics

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Abstract We examine the dynamics and spatial determinants of land change in India by integrating decadal land cover maps (1985–1995–2005) from a wall-to-wall analysis of Landsat images with spatiotemporal socioeconomic database for  $\sim 630,000$  villages in India. We reinforce our results through collective evidence from synthesis of 102 case studies that incorporate field knowledge of the causes of land change in India. We focus on cropland–fallow land conversions, and forest area changes (excludes non-forest tree categories including commercial plantations). We show that cropland to fallow conversions are prominently associated with lack of irrigation and capital, male agricultural labor shortage, and fragmentation of land holdings. We find gross forest loss is substantial and increased from

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~23,810 km<sup>2</sup> (1985–1995) to ~25,770 km<sup>2</sup> (1995–2005). The gross forest gain also increased from ~6000 km<sup>2</sup> (1985–1995) to ~7440 km<sup>2</sup> (1995–2005). Overall, India experienced a net decline in forest by ~18,000 km<sup>2</sup> (gross loss–gross gain) consistently during both decades. We show that the major source of forest loss was cropland expansion in areas of low cropland productivity (due to soil degradation and lack of irrigation), followed by industrial development and mining/quarrying activities, and excessive economic dependence of villages on forest resources.

**Keywords** Land use change · Drivers · Causes · Deforestation · Agriculture · Food security

#### Introduction

India's per capita land availability is ~0.25 ha per person compared to the global average of ~2.3 ha per person (Census of India 2011). India's cattle density is ~62 heads per km<sup>2</sup> compared to the global average of ~10 heads per km<sup>2</sup> (Robinson et al. 2014). This high human and animal pressure, coupled with increasing standards of living (Hubacek et al. 2007; United Nations 2014; World Bank Group 2015), has placed tremendous pressure on India's land resources for food, fiber, fuel, and shelter causing extensive environmental degradation (Table 1).

The pressure on India's land resources is expected to further intensify in the future, with the growing economy (Hubacek et al. 2007; United Nations 2014; World Bank Group 2015) and human population (United Nations 2015), expected increase in demands for animal products (Alexandratos and Bruinsma 2012), and climate change (Singh et al. 2002; Krishna Kumar et al. 2004; O'Brien et al. 2004; Lobell et al. 2008, 2012; Auffhammer et al.

Table 1	Comparison by	numbers: the	e role of l	land-use a	nd land-cove	r change	(LULCC)	on key	environmental	problems	compared	between
world an	d India for prese	ent/past perio	od									

Environmental problem	Role of LULCC						
	World	India					
Human land use	55% of land area	83% of land area					
Climate change	20-24% of GHG emissions	25-30% of GHG emissions					
Biodiversity loss	14% of species richness	22% of species richness					
Land degradation	8-41% of land area	$\sim$ 57% of land area					
Water use for agriculture	70% of withdrawal	91% of withdrawal					
Nutrient excess in crops (water pollution)	56% of nitrogen; 48% of phosphorous	74% of nitrogen; 71% of phosphorous					

The comparison indicates that LULCC contribution to environmental problems in India is of greater magnitude compared to global case. See Table S1 for details

2012; MoEFCC 2015). Therefore, a key challenge for land use planning in India is to enhance food production and simultaneously minimize environmental degradation from land-use and land-cover change (LULCC). Land in India is also closely tied to livelihood security as over half of India's population is employed in agriculture and forestry (Census of India 2011). India being one of the ten most forest-rich nations of the world, has received increasing attention under the REDD+ (Reducing Emissions from Deforestation and Forest Degradation) mechanism to protect its forests to help mitigate climate change, preserve its rich biodiversity, and support ecosystem services (Agrawal et al. 2011; Ravindranath et al. 2012; MoEFCC 2015). For similar reasons, India's national forest policy aims to increase its forest cover from the existing  $\sim 21\%$  of its total geographical area to a minimum of 33% (MoEF 1988; Joshi et al. 2011). Better monitoring and understanding of the determinants and drivers of LULCC at national scale is crucial to: (1) better understand their environmental and socioeconomic impacts and (2) provide valuable guidance for land use policies toward addressing the future challenges for LULCC in India.

There are three aspects to our study. *First*, we quantified land cover conversions (complete replacement of one land cover by another) at national scale using a wall-to-wall analysis of high-resolution ( $\sim 30$  m) Landsat MSS/TM imageries at decadal time intervals (1985–1995 and 1995–2005). Importantly, our study period (1985–2005) includes the period of economic liberalization in India (1991 onwards) following which the pressure on land resources intensified. We report LULCC estimates at national (Tables S2-S4) and state level (Table S5; Dataset S1), and by agro-ecological zones (AEZs) (Table S6; Dataset S2) considering their policy relevance to forest and agriculture (see Text S1 for rationale). AEZs are regions delineated by similar climatic and soil conditions (Velayutham et al. 1999; Gajbhiye and Mandal 2000). In Indian context, AEZs are the optimal units for macro-level land use planning and efficient transfer of technology, as India's economy is highly dependent on agriculture and forestry (Velayutham et al. 1999; Gajbhiye and Mandal 2000).

Second, we investigated the spatial determinants (defined following Meyfroidt 2015) of three broad LULCC that are central to land use planning in India (Saxena 2006; Maji et al. 2010; DoLR 2013; MoEFCC 2014, 2015): cropland-fallow land conversions, forest area losses, and forest area gains. Our forest definition is consistent with IGBP land classification scheme (Belward 1996) and excludes non-forest tree categories such as commercial plantations of coconut, cashew, coffee and rubber, and fruit orchards (see Table S7 for land class definitions). Cropland area refers to area under crops in any of the three prominent cropping seasons of India (summer monsoon, winter, and summer). We only account for net cropped area, i.e., multiple cropping is counted once. Fallow land refers to land taken up for cultivation, but temporarily allowed to rest, un-cropped across all three cropping seasons. Fallow is typically unproductive agricultural land, but may provide important services, e.g., nutrient replenishment, use by livestock and wildlife, and groundwater recharge. As per capita land is low in India, understanding cropland-fallow land conversions is crucial to plan and evaluate agricultural development efforts to improve food security (Saxena 2006; Maji et al. 2010). We do not classify cropland and fallow land into further sub-categories based on seasons (e.g., rabi, kharif, zaid).

*Third*, we evaluate and reinforce our modeled results on spatial determinants through collective evidence from synthesis of 102 case studies (see Table S8-S11 for study-wise summary; Text S1 for methods) that incorporate field knowledge of the causes of LULCC mainly through social surveys and local expertise. While ground studies (social surveys, local expertise) offer crucial qualitative insights,

data collection is typically expensive and therefore covers small regions. It is hard to generalize and quantify the causal relations of LULCC by studying few villages in a country of over 600,000 villages with diverse agro-ecological and sociocultural environment. Our synthesis helps to identify accumulated effects that are statistically stronger than any individual case study due to increased sample size and greater diversity. It is important to note that the case studies often relate to the triggers of the change (see Meyfroidt 2015) as opposed to the location factors (spatial determinants) identified through our modeling analysis (second aspect). Therefore, while both our modeled results and synthesis of case studies are complimentary and inform each other, the characteristic of information provided by them are different.

Our study differs from existing satellite-based national assessments of LULCC in India on two aspects. First, our land cover conversion estimates rely on Landsat analysis that covers longer time period, uses uniform classification scheme, maps patch to patch land dynamics, and is validated using ground data (Roy et al. 2015). Earlier highresolution land cover mapping activities at national scale were one-time effort (see review by Roy et al. 2015) hence unavailable for monitoring at regular time intervals; their project-specific classification scheme and varying data quality make compilation of consistent time series images difficult. Tracking patch-level dynamics is crucial because the environmental impacts vary depending on the preceding and replaced land cover class (Don et al. 2011; Mahmood et al. 2014). Notably, India monitors forest cover including trees outside forest biannually (FSI 2015), but not patch to patch land dynamics. Our land cover maps have been extensively validated with over 12,600 stratified random samples (ground-verified GPS points) distributed uniformly in different land cover classes following Congalton and Green (1999). Our data have an overall mapping accuracy of 95% (across eleven land classes defined in Table S7), thus providing accurate and reliable information on LULCC. See Roy et al. (2015) for further details on validation.

Second, this is the first study to use village-level socioeconomic data at national scale to investigate the spatial determinants of LULCC. Villages are the highest level of spatial disaggregation in India (>630,000 administrative units; Fig. S1). Thus far, no geospatial socioeconomic database exists for complete India at village level; our data are a significant improvement in spatial resolution compared to existing national datasets (~5500 administrative units or coarser; see Fig. S2). Overall, we compiled spatial data on over 200 socioeconomic variables for two consecutive census years (1991 and 2001; for use with respective decadal LULCC analysis) (Text S1). The use of village-level data is crucial for two reasons. *First*, it

captures the high granularity in socioeconomics (Fig. S2) that is crucial to explain the spatial variations in highresolution Landsat data. The granularity gets masked at coarser administrative levels (Fig. S2). *Second*, we use over forty village-specific categorical/qualitative variables (Table S12) that cannot be represented at coarser administrative levels (e.g., village-specific primary occupations that reflect the base of the socioeconomic culture prevalent in rural parts of India). We also include key biophysical factors (Text S1) hypothesized to affect the spatiotemporal patterns of land change in India (Table S12).

#### Methods

Here, we describe our methods and data briefly. See Text S1 for further details.

#### Data

Table S13 summarizes key datasets used with references. We highlight socioeconomic and LULUC data, both of which are central to our analysis.

We created the spatial socioeconomic database by combining tabular information from the Indian census (both 1991 and 2001; each household is surveyed and aggregated to village/town level) with seamless villageand town-level administrative boundaries of India corresponding to 2001 census specifically prepared for this study, sourced from Survey of India topographic sheets (analog maps). Both the tabular data and administrative boundaries required substantial amount of organization, data cleaning, and quality checks prior to being linked together.

We have a dedicated article describing the technical details and validation of the LULCC database, with basic land cover area statistics (Roy et al. 2015). In contrast, this study presents detailed land conversion analysis of the LULCC database. What follows is a summary. Our data have  $\sim 30$  m resolution, with features mapped at 1:50,000 scale. We mapped the entire country using on-screen visual interpretation of satellite data for two decades (1985–1995–2005). Our land types are defined following the IGBP land classification scheme (Belward 1996; see Table S7 for definitions). We projected the multitemporal Landsat MSS/TM data to WGS84 datum (UTM 44N projection) at sub-pixel level. We used satellite images from three seasons, viz. winter (January-March), summer (April-June), and summer monsoon (mid-October to December) to identify cropland and fallow land (we do not capture multiple cropping). Our analysis does not allow harvested areas as we select images of peak crop growth in a cropping season. When cloud-free Landsat images were unavailable, we used IRS 1C–LISS III (1994–1995) and Resourcesat 1 (2004–2005) images by geometrically correcting them with sub-pixel accuracy, relative to Landsat (ortho-rectified). We used first-order polynomial equation with allowable root-mean-square error of less than one pixel for geometric rectification. The minimum number of ground control points we used to georectify the satellite images was 15 for flat terrains and 30 for hilly terrain. Manual interpretation of detailed Landsat/LISS III images is laborious. Therefore, studies with large spatial coverage typically interpret Landsat images on sampling basis, representative of the study region (e.g., Gibbs et al. 2010). In contrast, our analysis is a wall-to-wall mapping effort at national scale.

#### Quantifying land cover conversions

We first interpreted 2005 Landsat scenes to produce a national map of land cover. To minimize errors in land change detection between 2005 and 1995, we overlaid 1995 Landsat images over 2005 map and traced patches where land change had occurred, leaving unchanged patches unmodified (for greater consistency). We preferred this method for two reasons. First, it reduces the effort required to produce 1995 map as only patches that underwent change between 1995 and 2005 are traced. Second, as patches that remained unchanged over time were preserved, it minimizes errors in land change detection by eliminating human errors in visual interpretation of unmodified patches that can occur if 1995 map were interpreted independent of 2005 map and if land change were inferred by differencing the two maps. We followed similar approach to detect land change between 1985 and 1995, using 1995 map as reference.

#### Modeling the determinants of LULCC

We quantify the (spatial) determinants by estimating spatial logistic regressions (Text S1) between land cover conversion estimates (dependent variable) and hypothesized socioeconomic and biophysical factors (or their proxies) grounded through local case studies (Table S12). We estimate regression models (Table S14) specific to land cover conversion and decadal time period, at both national scale and for sub-national hot spots identified by AEZs (Table S6). Our regression analysis is carried out at  $1 \text{ km} \times 1 \text{ km}$  resolution (see Text S1 for data preprocessing). The 1-km resolution was mainly a tradeoff between the 30-m LULCC data and relatively coarser socioeconomic data ( $\sim 2 \text{ km} \times 2 \text{ km}$  per village on average). To minimize loss of information, while aggregating the 30-m LULUC data, we calculated the fraction of 1-km grid cell undergoing various land cover conversions, as opposed to approximating the entire grid cell area to undergo one (dominant) land cover conversion.

Our statistical modeling technique draws on our recent work (Meiyappan et al., 2014) and is common to land change modeling studies. We model the relationship between dependent and independent variables as a "fractional" binomial logit model (Text S1). The model allows for fractional outcomes in dependent variables, consistent with our LULCC data aggregation technique. As our independent variables have different units and scale, we standardized all continuous variables using z-score prior to estimation. We use a state-of-the-art method, the elastic net penalty for variable selection (account for multicollinearity across independent variables). We used bootstrap resampling with 500 replicates, where we resampled the observations (grid cells) and we fitted a new model to the data. The bootstrap, in addition to providing confidence intervals, also accounts for spatial autocorrelation typical to gridded LULCC datasets.

#### Synthesis of case studies

Our synthesis provides a bottom-up analysis on the causes of LULCC in India. Furthermore, we used the synthesis literature to ground our hypothesized socioeconomic and biophysical factors for statistical estimation (Table S12). We performed a systematic literature search on ISI Web of Science and Google Scholar for studies on LULCC covering India and our study period. We additionally included key (sub-) national reports, not indexed in either literature database. In total, we reviewed 643 articles, of which we discarded 177 as irrelevant (38 of which discussed causes of LULCC processes not a focus of our study). Of the remaining 466 articles, over three-fourth focused only on land change detection, highlighting the relatively less attention on understanding the causes of change. The 102 articles in our synthesis provide information on the causes of land change typically by combining one or more of: household surveys, field transects, and regional/local expertise of authors. Often, studies also included remote sensing component. The studies are summarized in Tables S8-S11, and the study locations are visualized in Fig. S3. To quantify the results of our synthesis, we analyzed the frequency of causes across case studies. We grouped the studies by LULCC processes and into broad clusters of causes (see Dataset S3 for study-wise grouping details and Text S1 for detailed methods); the clusters being specific to LULCC process.

#### Results

We present the LULCC conversion estimates and spatial determinants in the first three subsections. LULCC conversion estimates are based on analysis of satellite data. All our estimates pertain to the sum of urban, peri-urban, and rural areas within the region of quantification (national level or AEZs as identified). Our results on spatial determinants are based on regression analysis of satellite data with hypothesized biophysical and village socioeconomic variables. We present the results of synthesis from 102 case studies in the fourth subsection.

#### Conversions between cropland and fallow land

We find major shifts between cropland and fallow land during the period of study (Fig. 1). About 35%(1985–1995) and 46% (1995–2005) of all areas that underwent land cover conversion in India resulted from changes between cropland and fallow land. Furthermore, data suggests that ~10% of existing wastelands (sparsely vegetated land with signs of erosion and land deformation; see Table S7) are consistently reclaimed to cropland during each decade. These development efforts are, however, countered by the much larger amount of cropland being fallowed concurrently. A spatial disaggregation (Fig. 2; Dataset S2) indicates that over 70% of shifts from cropland to fallow land and vice versa are confined to five agroecological zones (AEZs): the Western Plain, Kachchh, and part of Kathiawar peninsula (AEZ2), and the semiarid zones (AEZ4, 5, 6, and 8). These five zones also enclose over 90% of wasteland reclaimed to cropland during each decade (Fig. 2; Dataset S2). This indicates that within the same AEZ, wasteland reclamation adds to cropland area on the one hand, and on the other, cropland is being fallowed concomitantly representing a net negative outcome for wasteland reclamation efforts.

Land can be kept under fallow temporarily to restore and maintain soil fertility in multiple cropping systems. However, as our maps are decadal, we cannot identify whether the cropland–fallow conversions observed are a part of land restoration process or not. Therefore, for further insights, we complied annual (1984–2012) district-level ground statistics data on fallow land from the Government of India (Dataset S4). The statistic indicates that in both AEZ2 and



Fig. 1 Gross *gains*, gross *losses*, and net changes in land use and land cover areas at aggregate national scale for the two decades  $(km^2/decade)$ : 1985–1995 and 1995–2005. Aqua culture and permanent

wetlands is included within "Water bodies." "Others" category include Salt Pan, Snow and Ice. Data from this figure are provided in Table S2-S4 (color figure online)



Fig. 2 Spatial breakdown of major land cover conversions: forest loss, forest gain, conversions from cropland and fallow land, and reclamation of fallow land and wasteland to cropland. The size of circles is proportional to the magnitude of change. The *inset bar plot* shows the percent contribution by region to the national total (shown besides bar; units in  $\times 1000 \text{ km}^2/\text{decade}$  and rounded to nearest

integer). The regions are based on agro-ecological zones (AEZs) of India (Table S6). The *background colors* in the map correspond to the type of land cover present at before conversion (see "legends" for color coding). See Fig. S4 for a more detailed breakdown by AEZ (color figure online)

AEZ8 (top two regional hot spots of cropland–fallow conversions) the area of long fallows (land not cultivated for 1–5 years) exceeds that of temporary fallows (<1 year). Furthermore, 3.5% of India's land area was consistently under long fallows over the past decade (Dataset S4).

Our regression analysis at national scale (Fig. 3a, S5a) indicates higher monsoon and post-monsoon precipitation is negatively associated with conversion from cropland to fallow land, echoing previous studies (e.g., Krishna Kumar et al. 2004; Lobell et al. 2008; Auffhammer et al. 2012) (see Table S15 for a description of all biophysical and socioeconomic variables). Post-liberalization period, we observe widespread spatial changes in main male agricultural (wage) laborers and male marginal cultivators (main + marginal) (Fig. S6), primarily driven by urbanization and better income opportunities (relatively less strenuous and more stable non-agricultural jobs) (Mitra and Murayama 2009; Srivastava 2011). During 1995–2005, we find areas converted from cropland to fallow land had substantially lower male main agricultural labor (AEZ2) and total (main + marginal) male marginal cultivators (semiarid hot spots) compared to counterfactual buffer villages (Fig. S7b). These results imply that availability of labor is an emerging factor in determining fallow land. We also find positive association between fallow land and proportion of main female cultivators, indicating genderbiased labor markets (Shiferaw et al. 2006; Gupta and Sharma 2010; Shah 2010; Singh et al. 2011).

Factors prominent in explaining conversion from cropland to fallow land (Fig. 3a, S5a, S7-S10) often were also prominent in explaining vice versa conversion (i.e., reclamation of fallow land to cropland), but with opposite sign (Fig. 3b, S5b, S11-S13). At national scale, the following factors show prominent positive association with reducing fallow land (in decreasing order of importance based on Fig. 3b, S5b): availability of tube well and well irrigation with electricity; higher monsoon and post-monsoonal rainfall; increased market frequency; availability of power supply for agriculture; density of community workers (proxy for technical assistance and incentives for agriculture); availability of communication facility (e.g., bus, trains; proxy for connectivity to markets); and availability of agricultural credit institutions, and higher average income per capita (both indicating access to capital and ability to invest).

In AEZ2, 6, and 8 (Figs. S11-S13), knowledge to reclaim land is an important factor to reduce fallow land



Fig. 3 Factors most prominent in explaining: a conversion of cropland to fallow land at national scale (1995–2005), and b vice versa conversion, i.e., conversion of fallow land to cropland at national scale (1995-2005). The plots show the standardized regression coefficients of the ten most important variables (largest absolute mean estimates across coefficients) estimated using the spatial logistic regression model (see "Methods" section). Standardized coefficients refer to how many standard deviations a dependent variable will

(proxies: proportion of literate population, access to information such as magazine and newspapers). We find contrasting relationships between farm size (average size within each grid cell) and fallow land across sub-national hot spots. Cropland to fallow land conversion is positively associated with larger farm size in AEZ2 (Fig. S7), and positively with smaller farm size in semiarid hot spots (AEZ4, 5, and 8) (Figs. S8-S10). In AEZ2, resources are a limiting factor to fuller land utilization, as also indicated by negative relationship between fallow land and availability of labor, capital, and irrigation (Fig. S7). The massive reclamation of fallow land to cropland in AEZ2 during 1995–2005 (Fig. 2) is primarily from extension of tube well and well irrigation facilities (Figs. S13, S14). In semiarid hot spots, we find smaller farms are prone to soil erosion (Table S16), as small farms are uneconomical to mechanize (Yadav 1996; Reddy 2003; Singh 2013).

#### Gross forest area loss

During 1985–1995, India lost  $\sim 3.1\%$  ( $\sim 23,800$  km<sup>2</sup> of gross forest loss, i.e., sum of all forest area loss) of the forest area that existed in 1985 ( $\sim$ 764,100 km<sup>2</sup>), and the rate increased to  $\sim 3.5\%$  during 1995–2005 ( $\sim 25,780$  km<sup>2</sup> gross loss of  $\sim$ 745,100 km<sup>2</sup> forest in 1995) (Fig. 1). Overall, India experienced a net forest loss (gross loss minus gross gain) of  $\sim 18,000 \text{ km}^2$  consistently during

change, per standard deviation increase in the independent variable. Standardized coefficients allow comparisons of the relative effects of independent variables measured on different scales. Results from bootstrap resampling with 500 replicates: central red line shows mean estimate; error boxes (blue) show 25-75% confidence interval; whiskers show 5-95% confidence interval. See Fig. S5 for nationalscale estimates corresponding to 1985-1995. See Table S15 for description of factors (color figure online)

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both decades (see Text S2 for extended discussion). Cropland was the major source of forest conversion during both decades, contributing to  $\sim 39\%$  of gross forest loss in 1985–1995, and  $\sim 35\%$  during 1995–2005. The relative area of gross forest loss to shrubland increased from  $\sim 31\%$ in 1985-1995 to ~32% in 1995-2005. Expansion of commercial plantations into forests accounted for  $\sim 7\%$  of gross forest loss during both decades. These trends are in stark contrast with the 1988 National Forest Policy that regards forest as a national asset and imposed strict rules to protect them (Agrawal et al. 2011; Ravindranath et al. 2012).

A regional breakdown indicates that gross forest loss is widespread across India, and forest loss hot spots change over time (Fig. 2; Dataset S2). For example, in AEZ19 that enclose the Western Ghats (biodiversity hot spot), 6.8% of the regions forest area in 1985 was converted to other land use (gross forest loss of 3080 km<sup>2</sup>) by 1995 (35% each to shrubland and plantation, and 23% to cropland). In 1995-2005, the region's gross forest area loss declined to 1630 km<sup>2</sup>. In AEZ5, 4.9% of the regions forest area in 1985 was converted to other land use by 1995, and the rate increased to 7.9% in subsequent decade. Nonetheless, Eastern Plateau and Eastern Ghats (AEZ12), Central Highlands (AEZ10), and Western Himalayas (AEZ14) emerged as persistent hot spots for both decades. AEZ5, 10, 12, and 17 collectively accounted for  $\sim 59\%$ 

(1985–1995) and ~56% (1995–2005) of the national total of gross forest area lost to cropland. AEZ4, 5, 10, 12, and 19 collectively accounted for ~84% (1985–1995) and ~80% (1995–2005) of the national total of forest area converted to shrubland. AEZ12 alone accounted for 40% (1985–1995) and 35% (1995–2005) of the national total of gross forest area lost to shrubland.

National-scale analysis of spatial determinants (Fig. 4a. S15) show strong negative association between proportion of cropland irrigated and gross forest area loss indicating that improvements in irrigation infrastructure can help to reduce the pressure on adjoining forests. We also find strong spatial association between forest area loss and village primary occupations (Fig. 4a, S15). Villages with following activities were prominently related to forest loss, compared to counterfactual buffer villages (in decreasing order of importance from Fig. 4a): wooden furnitures/timber products; cattle/dairy/leather products (due to overgrazing); mining/quarrying activities; and industrial development (proxy: industrial and construction worker density). Colder and wetter conditions and lack of electricity were also positively associated with forest loss (Fig. 4a, S15) suggesting over-extraction for fuel wood and construction materials.

We find prominent negative association of gross forest area loss with steep slope (difficult to access), and protected areas (Fig. 4a, S15). While land protection reduces forest loss, 9% (1985–1995) and 7.6% (1995–2005) of total gross forest loss have still occurred within protected areas, and 11.2% (1985–1995) and 8.7% (1995–2005) within 5 km buffer from the perimeter of protected areas (critical to maintain the functionality of protected landscapes) (Fig. S16), indicating level of protection is important and has improved over time.

Across AEZ hot spots, the following agriculture-related variables show prominent negative association with gross forest area loss: proportion of irrigated areas (Figs. S17-S19), higher fertility of agricultural soils (proxy: cation exchange capacity: Figs. S17a, S18-S20), average farm size (proxy for economic feasibility to mechanize; Figs. S17a, S18-S20), availability of power supply for agriculture (Figs. S17a, S20), proportion of main (=1marginal) agricultural laborers (lower income dependence on forests; Fig. S17a), and proximity to agricultural credit institutions (proxy for access to capital; Fig. S20). These relationships broadly indicate that higher agricultural productivity tends to reduce the pressure on adjoining forests. Most diversion of forest to cropland is encroachment, because national forest policy does not favor diversion of forest to non-forest, which requires prior approval from central government (MoEF 1988; Joshi et al., 2011). Furthermore, we find the forest area diverted to cropland have not declined with time (Fig. 1), indicating weak implementation of national forest policy.

A regional analysis indicates that in AEZ19 that encloses the Western Ghats, mining activities, manufacturing of wooden agricultural implements, and villages dependent on coconut and coffee plantations (encroachment) show positive association with forest loss (Fig. S19). Across all hot spots in central India (AEZ5, 10, and 12), mining/quarrying activities, industrial development, and factors associated with low agricultural productivity (e.g.,



Fig. 4 Similar to Fig. 3, but for: a forest area loss at national scale (1995–2005), and b forest area gain at national scale (1995–2005). See Figs. S15 and S22 for national-scale estimates corresponding to 1985–1995 (color figure online)

high erosion) show positive association with forest loss (Figs. S20, S17, S18). Other factors prominently associated with forest loss are wooden furniture/timber extraction and cattle overgrazing (AEZ5; Fig. S20); villages making bamboo products (AEZ12; Fig. S18); villages making forest products (e.g., tendu leaves/*beedi*, leaf plates, baskets, brooms, match sticks, paper pulp) (AEZ10; Fig. S17); colder temperatures (over-extraction of firewood and construction materials), wooden furniture/timber, and making of woolen blankets (indicating sheep over-browsing) (AEZ14; Fig. S21).

#### Gross forest area gain

India recorded a positive trend in gross forest area gain over time (Fig. 1). The gross forest area gain in 1995–2005 was 24% higher than the preceding decade, compensating for the increased gross forest area loss during 1995–2005. Reversion of cropland and shrubland together explain 65% (1985–1995) and 78% (1995–2005) of gross forest area gain. AEZ5, 10, and 12 were persistent hot spots of gross forest area gain in both decades (Fig. 2; Dataset S2); however, the magnitude was much smaller compared to the gross forest area loss in the respective zones. During 1995–2005, substantial area of shrubland recovered to forest in AEZ4, 5 and 12 (Fig. 2).

Both nationally (Fig. 4b, S22) and across sub-national hot spots (Figs. S23-S26), we find prominent positive association between gross forest area gain and following agriculture-related variables (in decreasing order of importance based on Fig. 4b, S22): lower male marginal cultivators; higher levels of soil degradation (characterized by one or more of: shallow depth, salinization, and erosion); and smaller average farm size. These relationships indicate abandonment of marginally productive cropland, followed by either regrowth of forest tree species or conversion to forest plantations. We also find positive association between gross forest area gain and protected areas (Fig. 4b, S22-S26), proportion of tribal population (Fig. 4b, S22-S24), and area of sacred groves (Figs. S22, S24-S26). Tribes are culturally linked to forests, and they are typically motivated by state forest department to jointly manage forest through protection, restoration of degraded forest, and enrichment plantations (World Bank 2005; Government of India 2007; Macura et al. 2011) (notable exception of North-East India where tribes practice shifting cultivation). Sacred groves are typically protected by local community due to cultural/religious beliefs (Ormsby and Bhagwat 2010; Bhagwat et al. 2014).

Across the three sub-national hot spots (AEZ5, 10 and 12), gross forest area gains were positively associated with state administrative divisions, mined-out areas, density of forestry workers, and density of community workers

(Figs. S23, S26, S24). The identified state administrative divisions typically have larger amount of forest inundated to water bodies (irrigation projects), and forest diverted to built-up land (e.g., roads, industries) (Fig. S27; Dataset S1). Both state administrative divisions and greening of minedout areas indicate compensatory afforestation by respective state governments to partly compensate for forest loss. The forestry workers are employed by forest department and are a proxy for level of protection and control. These workers are typically involved in forest maintenance, wildlife protection, fire observations, and interface with tourism, among others. Community workers help with restoration efforts (e.g., greening firewood and fodder) by involving forest department and local communities.

## Comparison of modeled results with 102 ground studies

Our synthesis indicates that the three LULCC (croplandfallow land conversions; forest area losses; and forest area gains) are driven by different combinations of factors. Nonetheless, the accumulated effects (Fig. 5; based on data summarized in Dataset S3) broadly concur with results of our regression analysis at national scale. Our synthesis indicates that fallow land is mainly associated with (based on 37 studies, i.e., N = 37) labor shortage/migration driven by new income opportunities (N = 14), lack of infrastructure (irrigation and electricity; N = 8), lack of access to capital (N = 7), and cropland fragmentation (smaller average farm size; N = 6). Reclamation of fallow land depends mainly on (based on 16 studies) critical support services (e.g., access to markets and capital; N = 10), level of education (knowledge to reclaim land; N = 7), and village infrastructure (mainly irrigation; N = 6). Illegal forest encroachment (for cropland expansion due to low productivity; N = 26), wood extraction for subsistence (N = 23), expansion of man-made structures (N = 21), industrial exploitation (N = 15), and cattle overgrazing (N = 12) are common causes of forest loss. Unlike cropland fragmentation that drives fallow land, no case studies (N = 42) suggested that forest fragmentation drives forest loss. Regarding forest area gains, only three case studies (D6, D7, and D10 in Table S11) were designed to consider passive forces (regrowth following land abandonment), with other studies focusing on factors that influence the effectiveness of participatory forest management programs (e.g., Joint Forest Management). Our study finds passive forces to be a major factor for forest area increase. The prominent socioeconomic factors of forest area gain identified from our regression analysis are echoed in our synthesis (involvement of local community, education/ awareness, and effective forest protection).

Causal factors uncommon at national scale can be most important regionally. For example, both our study and the



Fig. 5 Frequency distribution of the causal factors identified from the synthesis of 102 case studies. **a** Conversions from cropland to fallow land and vice versa, and **b** forest area losses and gains

synthesis literature (Table S10) report wood extraction for construction materials as a main determinant of forest loss in AEZ14. Some factors can also behave differently in individual cases. For example, different case studies (Tables S8, S9) stemming from same AEZ show opposing effects on how education affects fallow land. Education (proxies: literate population, availability of educational facilities) causes a shift to off-farm jobs, thus increasing fallow land. In contrast, with education farmers perceive higher returns to investment on land, invest more on resource conservation, and have better access to information leading to fuller land utilization. Such heterogeneity is concurrent and important to recognize; in such cases, our statistical analysis covering the entire region helps identify the dominant effect.

#### Discussion

Our analysis provides a comprehensive spatial coverage of the dynamics and spatial determinants of LULCC in India by integrating remote sensing data with rich and uniform socioeconomic data collected from each village and town at national scale. The analysis is important because a general understanding of the spatiotemporal dynamics and determinants of LULCC over larger regions of India is limited, hindering effective national-level planning and policy making.

Our analysis of spatial determinants is useful because it adds a quantitative component to our study. Determinants help identify biophysical and socioeconomic variables that contribute to the statistical explanation of (the location of) observed LULCC. However, determinants do not necessarily imply causality; they only provide some empirical support for causal relations. On the other hand, local case studies often identify causality. Our synthesis of case studies (Tables S8-S11) helps to identify causes that are common across case studies (Fig. 5). The synthesis is useful because it provides a more generalized understanding of the causes of LULCC in India. However, the study design varied widely across the 102 cases we examined. Therefore, we relied on frequency analysis to identify common causes across case studies, as opposed to a more formal quantitative assessment. Nonetheless, the generalized understanding from our synthesis reinforces the findings of our spatial determinants and can inform nationallevel policies and governance options.

#### Caveats

Three caveats are in order. *First*, as we estimated LULCC from decadal satellite images, they capture only the decadal changes in LULCC, and can mask within-decade variations including intermediary land uses. Especially, inter-annual climate variability causes fluctuations in fallow land (Dataset S4). However, the conversions between cropland and fallow inferred between decadal end points reflect only the climate effect of end point. Our decadal data also cannot identify land fallowed as a part of multiple cropping systems to restore and maintain soil fertility. Except cropland–fallow systems, other land cover conversions (e.g., forest to cropland) tend to be unidirectional at decadal timescale due to high cost of land reversion (Gibbs et al. 2010; Pandey and Seto 2015).

*Second*, both forest degradation and regrowth are gradual and cause subtle modifications to land cover. However, our Landsat analysis detects changes only when the magnitude of modification is large enough to cause shift from one land cover category to another (e.g., forest to shrubland for forest degradation). The resulting bias is likely minimal because: (1) persistent modification of forest would likely manifest as a change in land cover within a decade, and (2) our statistical estimation weighs each observation (grid cell) by the magnitude of land change; thus, small changes have less influence in our model.

*Third*, our analysis does not extend beyond 2005 due to data limitations. Wall-to-wall analysis of Landsat scenes is laborious, and efforts are underway to extend our decadal land cover conversion estimates to 2015. Furthermore, while India has conducted the 2011 socioeconomic census, tabular data on village profiles is on hold, pending consistency and quality checks. Nonetheless, our analysis already covers two decades and offers key insights on the non-stationary of factors associated with LULCC in India.

#### Implications for land use planning

Our results highlight the dichotomy where on the one hand, large amounts of India's cropland area are converted fallow, thereby not contributing to agricultural production. On the other, forest area is being encroached for agriculture. We show that both land conversions occur in areas of low agricultural productivity as broadly indicated by factors related to deficits in infrastructure (irrigation and markets), knowledge and critical support services. Our results imply that strategies to improve agricultural productivity can have a positive effect by enhancing food production and simultaneously help reduce the pressure on forest (our analysis, however, excludes indirect impacts that may offset the effectiveness). This is crucial for sustainable land use planning in India because India is among the world's fastest growing economy and population, with constant land area. Henceforth, we discuss specific implications of our results for land use planning in India.

Our results indicate that labor shortage; land fragmentation; and deficits in infrastructure, knowledge, and access to capital are key factors associated with crop to fallow conversions. There are threefold implications of our results. First, with the National Rural Employment Guarantee Scheme (NREGS; Ministry of Rural Development 2005), rural wages have increased through alternative job opportunities in rural areas and new job opportunities in the fast-growing urban centers (note that NREGS was introduced in 2005 which is beyond our study period; however, watershed development programs (Gray and Srinidhi 2013) were a precursor to NREGS). With higher wages, the incentive to produce agricultural crops reduces, thereby pulling people to off-farm jobs (Mitra and Murayama 2009; Srivastava 2011), causing more fallow land. This implies that despite labor shortage, keeping the prices of food and agricultural produce cheap would require encouraging mechanization and better market access to farmers to protect their rights (reduce middlemen exploitation). Cheaper food is important in the short-run because one-third of India's population lives below the poverty line (Gulati et al. 2012). Furthermore, our analysis indicates that livestock overgrazing is a key factor associated with forest loss. Protecting existing forest from overgrazing would require confined feeding which implies higher cost for farmers (except for milch animals in certain areas). Therefore, encouraging mechanization would not only help improve agricultural viability, but also help reduce the pressure on forests.

Second, small farms have low technical efficiency and have increased risk of soil degradation (see Table S16 for AEZ-wise correlation statistics). Importantly, our reported process of fallowing small, less productive farms combined with job opportunities from an industrializing economy show striking similarity to the path outlined in forest transition theory (Rudel et al. 2005; Mather 2007; Meyfroidt and Lambin 2011). The problem of cropland fragmentation is likely compounded in the future with increasing population and further subdivision of households. Effective strategies to prevent further land fragmentation and consolidation of farmers fragmented land holdings can help to improve the economic viability of agriculture in some cases (Jha et al. 2005; Niroula and Thapa 2005; Kumar et al. 2015).

Third, our results underscore the critical need to extension and better management of irrigation infrastructure and other common-pool resources to help reduce fallow land. Improving irrigation infrastructure requires both efficient management of surface irrigation and equitable use of ground water resources. Our analysis suggests that wastelands have already been consistently reclaimed to cropland (primarily AEZ2, 5, and 8), with support from both public and private initiatives, e.g., through building Indira Gandhi Canal in AEZ2 and Integrated Wasteland Development Programs (Rao and Pant 2001; Saxena 2006; Ghosh 2010; Maji et al. 2010). Concurrently, farmers have fallowed much larger areas of existing cropland, representing an undesired trade-off of wasteland reclamation. Numerable social surveys have shown that Indian farmers invest more on protecting fertile cropland (Maikhuri et al. 1997; Shiferaw et al. 2006; Kuppannan and Devarajulu 2009; Wani et al. 2011; Nüsser et al. 2012) than restoring degraded soils. Therefore, better orientation of investment portfolios with farmer's attitude can help reduce fallow land.

Finally, our results show prominent positive association between forest loss and the economic dependence of village communities on forests across many regions. Currently,  $\sim 173,000$  villages in India depend on forest for subsistence due to lack of alternative economic opportunities (Nayak et al. 2012). The ongoing and future planned privatization of afforestation programs in India tends to maximize corporate profits, with little space for community involvement (Planning Commission 1998; Bramhane et al. 2000; Saxena 2015). Our analysis underscores the critical need for forest policies to widely adopt a bottom-up approach by involving local communities and village councils to effectively implement afforestation programs, e.g., by creating minor forest resources outside of forest area that benefit the local community. There already exist best practices on forest management tested at community level in India (Lise 2000; Prasad and Kant 2003; Nagendra 2009; Bhattacharya et al. 2010; Dilip Kumar 2015). However, forest protection would benefit if these models are upscaled, ingrained as policy, and integrated with implementation system through capacity building and technology upgrades.

#### Data access

Our satellite LULC data for three decades can be downloaded for free from http://dx.doi.org/10.3334/ORNL DAAC/1336. We are sharing the data at 100 m spatial resolution to conform to the map dissemination guidelines imposed by India's 2005 National Map Policy (Survey of India). Our geospatial village-level socioeconomic database (covering 1991 and 2001) will be made available for download for free from NASA Socioeconomic Data and Applications Center (SEDAC; http://sedac.ciesin.colum bia.edu/). Contact the first author for more information.

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## Dynamics and determinants of land change in India: integrating satellite data with village socioeconomics

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#### Text S1. Extended methods and data

**Study area.** Our study area conforms to the official national boundary published by the Survey of India (<u>http://www.surveyofindia.gov.in/</u>) and includes Indian territories currently disputed with China and Pakistan. Our LULCC estimates and analysis of spatial determinants cover mainland India. We did not map/estimate LULCC for two union territories that together account for ~0.25% of India's land area: Andaman and Nicobar Island (mostly comprised of protected forests), and Lakshadweep (mostly plantations).

**Data.** A summary of key input datasets used in this study is provided in Table S13. LULCC data is fully described in the methods section of the main paper and references cited therein. Here, we expand on rationale and processing of biophysical and socioeconomic variables used in our analysis of spatial determinants. See Table S12 for hypothesized biophysical and socioeconomic variables. Our hypothesis of explanatory variables was grounded based on synthesis of case studies (detailed in subsequent sub-section).

**Biophysical data.** Given the significant impacts of climate change on Indian agriculture (Singh et al. 2002; O'Brien et al. 2004; Kumar et al. 2004; Mall et al. 2006; Lobell et al. 2008; Aggarwal 2008; Guiteras 2009; Auffhammer 2012; Lobell et al. 2012; Mondal et al. 2014; Mondal et al. 2015), we included seasonal mean temperature and precipitation as potential explanatory variables in simulations aimed at understating conversions between cropland and fallow land. We defined seasons following Kumar et al. 2004: winter, summer, southeast monsoon, and autumn/post-monsoon season.

We derived seasonal precipitation from the daily gridded product of Indian Meteorological Department (Table S13). The data is the most comprehensive gridded product for India, as it integrates most number of rain gauge stations (N>7000). We derived seasonal temperature from the APHRODITE daily temperature product for Monsoon Asia (Table S13). Both precipitation and temperature products were at 0.25°x0.25° lat/long which is relatively coarser in spatial resolution compared to our LULCC dataset; however, there are no gridded time-varying climate dataset at higher spatial resolution covering our study period (1985-2005). Available higher spatial resolution climate products are either static (Hijmans et al. 2005), or cover shorter periods (2000-present) for temperature only (Huete et al. 2002). We addressed the issue of difference in spatial resolution

among LULCC, biophysical datasets, and socioeconomic datasets by bringing all datasets to a common spatial resolution of 1kmx1km lat/long for our analysis of spatial determinants as detailed in "data processing" sub-section.

According to agronomic studies, crop growth is partly a non-linear function of weather conditions, especially temperature (Schlenker and Roberts 2006; Schlenker and Roberts 2008; Schlenker and Roberts 2009; Lobell et al. 2011). To account for non-linearity, we included squared terms for seasonal temperature and precipitation variables as potential explanatory variables in our analysis. Additionally, to test for interactive effects, we included the within season interactions between precipitation and temperature as potential explanatory variables.

For simulations aimed at understanding changes in forest area, we used bioclimatic variables (Hijmans et al. 2005) available at higher spatial resolution (1km x 1km lat/long), and static representative of contemporary climatic conditions. We chose the static data over coarser resolution (but transient) data products as higher spatial resolution was a priority over transient information, to study forest area change at decadal time scale.

To test for the effects of soil conditions on land-cover conversions, we included a wide range of soil parameters across all simulations: depth, reaction (pH), drainage, slope, erosion, salinity, sodicity, cation exchange capacity (measure of soil fertility and nutrient exchange capacity), flooding, and organic carbon content. All soil parameters were ordinal variables, and the data was sourced from the National Bureau of Soil Survey and Land Use Planning, India (Table S13).

**Socioeconomic data**. Our geospatial socioeconomic database covers over 200 variables at the highest level of spatial disaggregation in India: ~630,000 administrative units at village/town level (Fig. S1). We collected tabular data for two consecutive census years (1991 and 2001) from "Primary Census Abstract" and "Village Directory" data series of the Indian census. We used a subset of these variables for our analysis of spatial determinants; the sub-set being specific to land-cover conversion studied (Table S12). We derived some hypothesized socioeconomic variables by combining two or more census variables, for example, we derived average heads per household by dividing "total village population" with "total village households".

Our village level spatial database has two key advantages. First, these variables show high granularity (Fig. S2) that is important to explain the spatial variation in high-resolution land-cover conversion estimates (i.e. Landsat data). The granularity will get masked even at taluka level, thus making them less suitable for our analysis (Fig. S2). Second, several key explanatory variables are village-specific categorical variables (e.g. infrastructure such as market availability, availability of power supply for agriculture, primary village occupation) that becomes irrelevant at coarser administrative levels (unlike population that can be aggregated).

We started by collecting tabular data for each village/town for both 1991 and 2001 census from the online digital database of the Census of India (http://censusindia.gov.in/). We undertook extensive data cleaning to ensure the data are quality-controlled, and standardized. Our next step was to convert the village/town tabular data into geospatial data. This required administrative boundaries at village/town level at national scale corresponding to census years. Official Geographic Information System (GIS) information on the administrative boundaries of India are made accessible (restricted) only at (sub-) district level (note the broad administrative hierarchy in India: state>district>sub-district>village/town). Therefore, we created a national level GIS file for 2001 with village/town boundaries by digitizing boundaries from the official cadastral maps published by the Survey of India. We also capitalized on village/town level GIS files for several states that were already available to us from earlier projects. We designed algorithms to link both the 2001 and 1991 tabular data to 2001 GIS boundary file, accounting for region-specific data limitations described next.

It should be noted that in some regions, data quality may be poor due to misreporting, human-errors in computerization, quality of village/town boundaries, or data missing due to political strife (e.g. parts of Jammu and Kashmir). We excluded villages/towns from our analysis for which data for all variables were missing. For villages/town where some variables were missing, we did multiple imputations following Baraldi and Enders (2010). We replaced each missing value with a set of plausible values that represent the uncertainty about the right value to impute. We then analyzed the multiple imputed data sets using standard procedures (Baraldi and Enders 2010) for complete data and combine the results (point estimates and standard errors) from these analyses. In general, we found 1991 census data to be more error-prone, likely because it was the first census when officials were trained to digitize village level information. While our algorithm corrected for many erroneous outliers that were apparent, in some cases, it was hard to detect if the outliers were real, or erroneous. In such cases, our statistical estimation may have been influenced by these errors, but unlikely to have influenced our conclusions. Furthermore, we reduced the impacts of such errors on our final results through bootstrap resampling (wrapped around data imputation), where we resampled the observations 500 times, and each time we fit a new model to the data (described in "statistical estimation" sub-section).

**Data processing for statistical estimation**. Following earlier land change modeling studies (e.g. Serneels and Lambin 2001; Verburg et al. 2006; Sohl et al. 2012), we brought all data to a common spatial resolution for statistical estimation. By experimentation, we chose 1km x 1km lat/long resolution as the best tradeoff between the fine-resolution (30m) LULCC data, and relatively coarse resolution transient climate data (~27km), and socioeconomic datasets (~2.2km on an average calculated by dividing India's geographical area by the number of administrative units in our village/town GIS file). In aggregating the land-cover conversion estimates from 30m to 1km grid cells, we calculated fractional values of each land-cover conversion within each 1km grid cell, so as to retain maximum information. This is unlike discrete spatial aggregation, where the entire area within 1km grid cell is approximated to either undergo one (dominant) type of land conversion, or remain unchanged. Our statistical estimation methods are specifically designed to handle fractional LULCC outcomes, consistent with our data aggregation method.

We used standard bilinear interpolation to downscale the coarser-resolution (transient) temperature and precipitation data to 1km x 1km lat/long resolution. For socioeconomic datasets, we assumed all grid cells falling within a village/town to have the same values as that village/town (note that all continuous variables were normalized by village/town area before gridding to 1km). For grid cells that fall on multiple village/town, we followed two approaches depending on the nature of variable. For continuous variables (e.g. population density), we calculated area weighed averages. For categorical variables (e.g. availability of irrigation facility), we took the value of village/town that has maximum area falling within the grid cell.

The independent variables used in our analysis have different units and scales (order of magnitude). Therefore, the statistical estimates cannot be interpreted directly to make standardized comparisons across explanatory variables. We addressed this issue by standardizing the explanatory variables across observations prior to statistical analysis. We apply standardization only to continuous variables. For categorical (and ordinal) variables, we dummy coded and used them in the model without applying any transformation. The dummy coding converts a categorical variable with 'k' levels into a set of 'k' binary variables. We dropped one variable from each set of dummy variables representing a categorical variable in the model. This is because, in the presence of an intercept (constant) term in the model, inclusion of all dummies from a categorical variable will result in perfect multicollinearity, a scenario known as "dummy variable trap".

We standardized each continuous variable using standardized z-score, with a modification. For each continuous variable, we calculated two statistics from the set of observations: the mean and standard deviation. We computed the z-score standardized value in each grid cell by subtracting the mean from the actual value, and then dividing by standard deviation. The subtracting by mean centers the data to have mean zero, but is strictly not necessary for variable standardization; centering is typically helpful to interpret the main effects in the presence of interactions. The division by standard deviation scales the data to have one standard deviation. This is the typical *z*-score standardization. However, in the presence of binary variables that are roughly symmetric (with equal probabilities of 0 and 1; hence, with a mean and standard deviation of 0.5), the model estimated coefficients for binary variables correspond to a comparison of two standard deviations, and hence cannot be compared directly with *z*-score standardized continuous variables that correspond to one standard deviation. Therefore, to put both categorical and continuous variables on same scale, we further divided the *z*-score standardized continuous variables by 2 following Gelman (2008).

Notably, to derive the standardized squared seasonal climate variables (Table S12), we first standardized the seasonal climate variables, and then squared the standardized variables. We do not standardize the squared seasonal climate variables itself. In other words, we standardized the continuous input variables, not the explanatory variables (predictors) themselves. Similarly, we

multiplied the standardized seasonal precipitation and temperature terms to derive the standardized interaction terms.

Rationale for inclusion of state-fixed effects as potential explanatory variables (Table S12). Natural resource management in the Indian constitution falls under three categories: national, state, and concurrent. Forest is concurrent listed; forest policies are made at national level, and state implements them. State also manages its forest (including compensatory afforestation); however, clearing forest for other land use requires prior approval from the central government.

Agricultural land in India is under private holdings. State deals with market creation, national food secure procurement, minimum price fixation, and provides necessary infrastructure to support agriculture. Further, every state has its own agriculture universities which support farmers with extension work. National government is involved in funding projects such as irrigation, and soil conservation. Therefore, state plays a key role in both agriculture and forestry.

Our statistical estimation for analysis of spatial determinants is done either at national level or for regional hotspots (identified by AEZs; see "analysis" sub-section for rationale), meaning the set of observations can belong to different states. Across all simulations, we tested for state-fixed effects (e.g. agriculture and forest policies) that may not be captured by variations in biophysical and socioeconomic variables. We accounted for state-fixed effects by inclusion of state-specific dummies as explanatory variables in our statistical model (one column made as reference category). Our state boundaries are based on Survey of India (censusindia.gov.in). We used 1991 and 2001 state boundaries corresponding to simulations covering 1985-1995 and 1995-2005 decades respectively.

#### Analysis.

**Rationale for analysis of spatial determinants by AEZ, and by epoch.** We break down our national-scale analysis into 19 sub-regions based on Agro-Ecological Zones (AEZs) specifically developed for India (Table S6; Gajbhiye and Mandal 2000). There are two reasons for identifying regional hotspots for our analysis of spatial determinants by AEZ. First, in Indian context, AEZs are the optimal units for macro-level land use planning and efficient transfer of technology as India's economy is highly dependent on agriculture and allied sectors including forestry (Alagh 1996; Velayutham et al. 1999; Mandal et al. 2014). Second, for statistical modeling, it is desirable to delineate regions by similar characteristics to avoid heteroscedasticity (occurs when sub-populations have different variability from others). AEZs by definition are regions delineated by similar soil and climatic conditions, and exhibit homogeneity in LULCC processes (Alagh 1996; Velayutham et al. 1999; Gajbhiye and Mandal 2000; Mandal et al. 2014). Typically, AEZs are also used as optimal units for modeling LULCC in global-scale economic models that simulate the interactions among socioeconomics, LULCC, and climate change, for e.g. GTAP-AEZ (Lee et al. 2009) and GCAM (Kyle 2011). Earlier studies (NRC 2014; Agarwal et al. 2002; Verburg et al. 2004; Briassoulis 2000; Parker et al. 2003; Irwin and Geoghegan 2001) have shown that the set of factors and their importance to determining LULCC (e.g. conversion of cropland to fallow land) vary with time. To account for temporal variations we estimated statistical models separately for the two decades (1985-1995 and 1995-2005).

**Time considerations for linking decadal LULCC data to concomitant explanatory factors.** As our LULCC estimates are assessed from decadal Landsat imageries, they capture only the decadal trends in LULCC, and therefore can mask the within-decade variations in LULCC (e.g. year-to-year conversions between cropland and fallow mainly driven by inter-annual variations in rainfall).

Common to all simulations (Table S14), we assumed the decadal LULCC reflects the net result of time-varying socioeconomic forces (typically change gradually with time) that acted within the respective decade. The census in India is conducted once in 10-years, and we assumed 1991 socioeconomics to reflect the time-averaged conditions between 1985 and 1995, and 2001 socioeconomics to reflect the time-averaged conditions between 1995 and 2005. Accordingly, we used 1991 socioeconomics to relate with LULCC in 1985-1995, and 2001 socioeconomics to relate with LULCC in 1985-1995, and 2001 socioeconomics to relate with LULCC in 1985-1995.

Earlier studies have shown that cropland area in India is sensitive to inter-annual variations in climate, especially rainfall (Tables S8, S9). The decadal changes between cropland and fallow land inferred from Landsat will reflect only the climate effect of end year of the respective decade.

Therefore, for simulations aimed at understanding conversions between cropland and fallow land, we related 1994-95 averaged climate variables to LULCC between 1985 and 1995, and related 2004-05 averaged climate variables with LULCC between 1995 and 2005.

#### Statistical estimation.

*Overview*. Our methodology for relating the spatial (at 1km x 1km lat/long) patterns of landcover conversion (dependent or response variable) to concomitant biophysical and socioeconomic factors (or their proxies; the independent or explanatory variables) originates from our recent work (Meiyappan et al. 2014). Our method is broadly consistent with land change modeling literature (NRC 2014; Agarwal et al. 2002; Verburg et al. 2004; Briassoulis 2000; Parker et al. 2003; Irwin and Geoghegan 2001; Lesschen et al. 2005). Each simulation listed in Table S14 is subject to the statistical analysis detailed below.

The overall approach can be broken down into four steps. First, we select observations (i.e. grid cells within the study area e.g. AEZ hotspot) based on which we estimate the model. Second, we explain the "fractional" binomial logistic regression that we use to model the relationship between the dependent and independent variables. The model allows for fractional outcomes in dependent variables, consistent with our Landsat-based LULCC data aggregation technique. Third, we detail the algorithm to account for multicollinearity across independent variables. As a safety check (prior to step 3), we ensured that no highly collinear variables (Pearson's r > 0.9) were present in the model. Finally, we explain the how we estimate and interpret the regression coefficient.

*Selecting observations*. For ease of understanding, we explain this section with an example of Simulation 1 (i.e. conversion between cropland to fallow land at national scale between 1985 and 1995; Table S14). The approach however is similar across all simulations.

As we are interested in conversions between cropland and fallow land, we masked out grid cells within the study region (i.e. national for Simulation 1) where both cropland and fallow land (fallow land + wasteland) area in initial year (1985) was zero. In the masked grids, both cropland to fallow land conversion or the reverse conversion cannot occur between the two time points. For statistical estimation, we included all grids where non-zero cropland area in 1985 was converted to

fallow land by 1995 (i.e. the conversion of interest). We further included buffer grids around zones of cropland to fallow land conversion. In buffer grids, all cropland either remained unchanged between 1985 and 1995, or had seen reverse conversion (i.e. fallow land to cropland). We selected the buffer size such that summed across observations, the area of cropland converted to fallow land (1985-1995) roughly equaled the counter-factual (sum of area of cropland that remained unchanged between 1985 and 1995 or have undergone reverse conversion). The buffer size is simulation specific (Table S14), and depends on the spatial confirmation of the land change patterns typically varying between 1.5 and 7 km.

The two response variables in our "fractional" binomial logistic regression are (Simulation 1): (1) the cropland area in each grid cell (during 1985) that was converted to fallow land by 1995 ('l'=1), and (2) the counter-factual which is the sum of cropland land area in each grid cell that remained unchanged between 1985 and 1995, and fallow land area that was converted to cropland between 1985 and 1995 (i.e. reverse conversion) ('l'=2). We normalized the response variables in each grid cell by the sum of cropland and fallow land area during 1985 in that grid cell, so that sum of responses adds to 1.0 in each grid cell, and each response takes a value from 0 to 1. We weigh each grid cell (observation) in the regression by the sum of cropland and fallow land area fraction in 1985, so that larger area changes are assigned greater weights in our regression. We standardized each explanatory variable (based on statistics computed from the observations) prior to model estimation as described in an earlier sub-section titled "data processing for statistical estimation".

**Regularized logistic regression**. We represent  $F_{lg}'$  to be the fractional area (dependent variable) of the two land fractions  $(1 \le l' \le 2)$  as explained above in grid cell'g'. The grid cell constraints can be mathematically expressed as:

$$0 \le F_{lg} \le 1, \ \sum_{l=1}^{2} F_{lg} = 1$$
 (1)

We assume  ${}^{\prime}F_{lg}$  ' to be a function of a matrix  ${}^{\prime}X_{g}$  ' with dimension ' p ' of explanatory variables (Table S12; specific to simulation). We model the relationship between the dependent and explanatory variables as a "fractional" binomial logistic (FBNL) model proposed by Papke and Wooldridge (Papke and Wooldridge 1996; Papke and Wooldridge 2008). The FBNL regression

allows for fractional outcomes of each dependent variable, thus being able to account for fractional land areas within each 1km grid cell, consistent with our LULCC data spatial aggregation technique. Therefore, FBNL allows for spatial heterogeneity within grid cells.

The logistic regression model (Eqs. 2, 3) represents the class-conditional probabilities through a linear function of the explanatory variables.

$$F_{1g} = \frac{1}{1 + e^{-(\beta_0 + X_g^T \beta)}}$$
(2)

$$F_{2g} = \frac{1}{1 + e^{\left(\beta_0 + X_g^T \beta\right)}} = 1 - F_{1g}$$
(3)

In Eqs. (2, 3), the superscript <sup>*T*</sup> indicates the vector transpose.  $\beta_0$  is a constant coefficient and  $\beta$  is a vector of coefficients with a component for each explanatory variable.  $\beta_0$  and  $\beta$  are unknowns that need to be estimated.

Alternatively Eqs. (2, 3) implies that

$$\log\left(\frac{F_{1g}}{F_{2g}}\right) = \beta_0 + X_g^T \beta$$
(4)

We fit this model by regularized maximum binomial likelihood. We derive the objective function by maximizing the penalized log-likelihood:

$$\max_{(\beta_{0},\beta)\in P^{p+1}} \left[ \frac{1}{N} \sum_{g=1}^{N} \left( F_{1g} \left( \beta_{0} + X_{g}^{T} \beta \right) - \log \left( 1 + e^{\left( \beta_{0} + X_{g}^{T} \beta \right)} \right) \right) \right] - \lambda P_{\alpha} \left( \beta \right)$$
(5)

where 'N' is the number of observations in the study region. In Eq. (5), the first term is the log-likelihood part, a concave function of the parameters. The second term  $\lambda P_{\alpha}(\beta)$  is the penalization applied. The penalization is included to prevent over fit due to multicollinearity of the covariates as explained in the next section.  $\lambda \ge 0$  is the shrinkage parameter, and  $P_{\alpha}$  is the elastic-net regularization term (40). The penalization term shrinks the coefficients towards 0, relative to the log-likelihood estimates.

*Variable selection*: Multicollinearity is a common problem in land change modeling where one or more explanatory variables are dependent on each other. High degree of multicollinearity results in high standard errors and spurious coefficient ( $\beta_i$ ) estimates. We use elastic-net penalty (Zou and Hastie 2005) to account for multicollinearity. Elastic-net linearly combines lasso (L1) and ridge (L2) penalties respectively. Ridge method capitalizes on the strengths of correlated variables, by shrinking the value of their coefficients towards each other. In other words, when highly correlated predictors are present, ridge has a grouping effect on the variables, thereby avoiding omitted variable bias that is typical of other traditional methods used in land change modeling (e.g. Pearson correlation, forward selection, backward elimination). However, ridge cannot do variable selection, and keeps all predictors in the model. In contrast, lasso can do variable selection where many coefficients are expected to be close to zero, and a small subset to be larger and non-zero. However, the method is extremely variable because in the presence of highly correlated predictors, lasso will randomly pick one and ignore the rest. The elastic-net creates a useful compromise between ridge and lasso; it does variable selection like lasso, but also has a grouping effect as ridge, where strongly correlated explanatory variables are retained in the model.

The elastic-net penalty term in Eq. (5) can be explicitly written as:

$$P_{\alpha}(\beta) = \left[\frac{(1-\alpha)}{2} \beta_{\frac{2}{2}}^{2} + \alpha \beta_{\frac{1}{2}}\right]$$
(6)

In our model, the elastic-net penalty is controlled by mixing parameter  $\alpha$ . When  $\alpha = 1$ , the first term in Eq. (6) becomes zero, and only the second term remains, which is the lasso penalty (L1 indicated by subscript 1). Conversely, when  $\alpha = 0$  the second term in vanishes, and only the first term remains, which is the ridge penalty (indicated by subscript 2). A value of  $\alpha$  between 0 and 1 is a mix of lasso and ridge penalty. For each simulation, we chose the  $\alpha$  parameter using k-fold cross-validation (with k=10), where we randomly partitioned the observations within the study region into 10 equal size subsamples. Of the 10 subsamples, we trained a single subsample as the validation data for testing the model, and we used the remaining 9 subsamples as training data. We repeated the cross-validation process 10 times (the *folds*), with each of the 10 subsamples used exactly once as the validation data. We then averaged (combined) the 10 results from the folds to produce a single estimation. Overall, we fitted a sequence of model for 9 different values of  $\alpha$  equally spaced between 0 and 1 (0.1, 0.2..., 0.9), each over a grid of  $\lambda$  values (Fig. S28 for an example). The

variables we selected (variables with non-zero coefficients) for the final estimation corresponds to the 'best model', defined as the model ( $\alpha$  and  $\lambda$  parameter) with minimum binomial deviance. See Table S14 for  $\alpha$  and  $\lambda$  parameters corresponding to the 'best model' for each simulation.

We use the cylindrical coordinate descent method (Friedman et al. 2007; Friedman at al. 2010) for fitting the elastic-net regularization path for FBNL. The cylindrical coordinate method optimizes each parameter, while keeping other parameters fixed, and repeats the cycling until convergence. The individual grid weights are accounted within coordinate descent takes solves a penalized weighed least-square problem. While the FBNL procedure is based on regularized maximum multinomial likelihood (penalized log-likelihood), the elastic-net is based on penalized least squares. The coordinate descent algorithm fuses FBNL and elastic-net through a three step procedure: 1. simple least squares coefficients are computed on the partial residual, 2. soft-thresholding is applied to account for lasso, and, 3. proportional shrinkage is applied to take care of ridge penalty. Further technical details are spelled out in refs. (Friedman et al. 2007; Van der Kooij 2007). The coordinate descent method takes advantage of sparsity in the data and is extremely efficient for fitting large datasets as used here.

**Bootstrap resampling for confidence interval**. We use the penalized regression only for variable selection, because the regression coefficients ( $\beta_0$  and  $\beta$ ) estimated by penalization typically has a downward bias. Therefore, for unbiased coefficient estimates, we refit a standard logistic regression with only the variables selected from the elastic-net (i.e. from the 'best model'). For standard logistic regression, we fit Eq. (5), but by setting  $\lambda$  to zero (thus eliminating the penalty term). As we have standardized all explanatory variables prior to model fitting, the fitted  $\beta$  coefficients can be compared to infer the relative importance of different explanatory variables. The standardized  $\beta$  coefficients refer to how many standard deviations a dependent variable will change, per standard deviation increase in the independent variable. We obtained estimates of the mean (in figures: central mark on the box), 5<sup>th</sup> to 95<sup>th</sup> percentile confidence interval (ends of whiskers), and 25<sup>th</sup> to 75<sup>th</sup> percentile confidence interval (boxes) by bootstrap resampling, where we resampled the observations and we fitted a new model to the data. For each simulation, we used 500 bootstrap samples, so that e.g. the 25<sup>th</sup> percentile corresponds to the 125<sup>th</sup> lowest value. The bootstrap serves three purposes. First, the percentile range provides an uncertainty estimate on the

impact of each explanatory variable. Second, the procedure minimizes the effect of any erroneous socioeconomic data that we were unable to identify through the data cleaning process. Third, resampling procedure accounts for spatial autocorrelation that is typical of spatial LULCC datasets. If we disregard spatial autocorrelation in LULCC data, we violate a key statistical assumption that residuals are independent and identically distributed. For brevity, across all simulations we present the 10 variables with largest absolute mean estimates (i.e. 10 most important). The elastic-net parameters and the binomial deviance of the fitted model corresponding to each simulation are presented in Table S14.

**Synthesis of case studies.** There were two reasons for synthesizing existing ground-based studies on the causes of LULCC in India. First, we used the synthesis to hypothesize the initial set of variables to test over larger spatial regions through our statistical analysis (Table S12). This was important given that we have a large number of socioeconomic variables (N>200), and testing for all of them is resource intensive, considering the high spatial resolution of analysis. Second, from synthesis we could identify common effects (and variations) across studies that are statistically stronger than any individual study due to larger sample size and greater diversity (Fig. 5; Dataset S3). The synthesis therefore provides a second line of evidence (from ground) to complement and evaluate our modeled results.

We reviewed the English language literature for LULCC studies covering India published between 1980 and May 2015. We relied on two scientific indexing services: Web of Science and Google Scholar because many relevant studies were published in national journals that were indexed only in one of the two databases. For initial screening, we searched the literature broadly to include all LULCC processes using the following key word search in Web of Science (similar keywords used for Google Scholar): TI=(Drivers OR determinants OR causes OR dynamics) AND TS=(India AND land\*) AND TS=(crop\* OR fallow\* OR \*forest\* OR agricul\* OR shrub\* OR defor\* OR wasteland\* OR degrade\*). The broad literature search for India was meant to get an understanding of the weightage (i.e. number of studies) assigned to studying different LULCC processes, and the type of analysis involved (e.g. land change quantification only, or includes analysis of spatial determinants, and methods of data collection). Overall, the literature review resulted in more than 630 articles that we studied in detail. In order to be included in our analysis, the study had to meet the following four criteria:

- Study must have dealt LULCC processes that are a focus of our study i.e. conversions between cropland and fallow land, or forest area conversions (both gains and losses). The study region should be within India.
- 2. Study must discuss the causes of LULCC based on field data (e.g. household surveys, field transects), and/or local/regional expertise of the authors.
- 3. Study must have covered at least a part of our 20-year study period (1985-2005).
- 4. Study must not repeat the results presented in another paper.

Among the 630+ articles, we filtered those that met criteria 1, which resulted in 453 articles. In other words, about 72% of all articles focused on LULCC processes that are a focus of our study, highlighting their importance in Indian context. We further narrowed the set of articles to those that meet criteria 2, which yielded 103 articles. In exception, we retained about five studies (counted in 103 studies) that did not meet criteria 2 as they yielded significant insight through modeling, but supported through ground evidence from other published studies. Stage 2 elimination indicates that over three-fourth of the articles focused only on quantifying the magnitude of change (typically from remote-sensing at sub-national scale), indicating less attention has been given to identifying their causes. Applying criteria 3 and 4 resulted in 98 articles. In addition to this set of peer-reviewed articles, we reviewed and included five reports (PhD thesis; reports from government or external agencies such as World Wildlife Fund) that were similar in method and scientific rigor, and were not indexed in either literature database. In total, our synthesis includes 102 studies covering 64 journals.

We have summarized all the 102 studies in Tables S8-S11. See Fig. S3 for a visualization of the study locations. The number of studies by LULCC processes was: conversions between cropland and fallow land (N=37), forest area loss (N=42), and forest area gain (N=23). We included studies that examined the causes of failure to effectively implement forest protection mechanisms (thus causing continued forest loss) under "forest area gain". The studies vary in sample size, spatial extent and location, time period, and method of data collection and interpretation. Following earlier

land change synthesis studies (e.g. van Vliet et al. 2016; Magliocca et al. 2015; Geist and Lambin 2002; van Asselen et al. 2013), we analyzed the frequency of causes across studies (*meta-study*). We grouped the studies by LULCC processes and into broad clusters of causes (see Dataset S3 for study-wise grouping details); the clusters being specific to LULCC process. The results from frequency analysis are shown in Fig. 5.

#### Text S2. Forest transition in India

According to Forestry Survey of India (FSI), India experienced forest transition from netdeforestation in 1985-1995 (-8600 km<sup>2</sup>) to net-reforestation in 1995-2005 (~57500 km<sup>2</sup>) (see FSI 2013) and earlier reports cited therein). In contrast, our analysis shows that India experienced a netdeforestation of over 18000 km<sup>2</sup> during both decades (Fig. 1). The discrepancy in the sign and magnitude of change in forest area between the two studies is attributable to the difference in definition of 'forest cover'. Unlike the IGBP definition we followed (see Table S7), the FSI counts all the land with more than one hectare with a tree canopy density of more than 10% as the 'forest cover' which encompass many non-forest (land use) tree categories such as commercial plantations, orchids, tea and coffee gardens. In particular, the FSI definition of 'forest cover' has been questioned for including the green patch of 'open area' (trees outside demarcated forest areas, where most of the increase took place) because green cover accounting cannot be generalized by assessing a small patch of land, in particular 'open forest' area as 'forest cover' (Gilbert 2012; Ravindranath et al. 2014; Puyravaud et al. 2010; Pandit et al. 2007). Our study underscores the need to have a consistent definition of forest across countries, especially if carbon credits are attached to help protect tropical forests (Agrawal et al. 2011; Ravindranath et al. 2012).

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### Supplementary Tables

 Table S1. Extension to Table 1.

Environmental Problem	Remarks
Human land use	Estimated as the sum of four categories from the data of Ellis et al. 2010. The four categories are: 1. Dense settlements, 2. Villages, 3. Croplands and 4. Rangelands. The data corresponds to year 2000.
Climate change	Estimates averaged over the period 2001-2010. Greenhouse gas (GHG) emissions from LULUC for India and World were based on FAOSTAT database (FAO 2013). The estimates include emissions from agricultural activities, net forest conversion, biomass burning, peat fires, cultivation of histosols, and histosols under grassland. They do not include forest sinks. Total anthropogenic GHG emissions for India and world (totals needed to compute %) for 2001-2010 were
Biodiversity loss	Dased on EDGARV4.2F12012 (2014). Net biodiversity change before year 2005 as estimated by Newbold et al. 2015
Land degradation	Range for global estimates as reviewed by Gibbs and Salmon (2015). We excluded two conservative estimates that were limited to cropland degradation only (both estimates lower than the lower bound presented in table 1). For India, we relied on the official estimate reported by the Government of India (Government of India 2014). While differences in the definition of land degradation may exist, the comparison indicates that the land degradation estimated for India is greater than the upper bound estimate for the global case. As per the national report, the major causes of land degradation in India include: loss of vegetation due to deforestation, cutting beyond permissible limits, unsustainable fuel wood and fodder extraction, shifting cultivation, overgrazing, encroachment into forest lands, forest fires, overgrazing, inadequate soil conservation measures, improper crop rotation, indiscriminate use of agro-chemicals, improper management of irrigation systems and excessive extraction of ground water, urbanization, poverty, inequitable sharing of resources.
Water use for agriculture	Circa (2010); Based on FAO (2015) for both India and the world; Numbers indicate the % of total freshwater withdrawal that was used for agriculture.
Nutrient excess in crops (Water pollution)	Circa (2000); Data from West et al. (2014); The numbers indicate the applied nutrient that is in excess i.e. not harvested in the plant. The numbers are based on analysis of 17 major crops that account for 73% of nitrogen and 68% of phosphorous applied globally.
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**Table S2.** Gross area losses, gross area gains, and net area changes in land use and land cover areas for 1985-1995 and 1995-2005. All values have been rounded up to nearest integers. For reference, the total geographical area (TGA) of India is 3,287,590 km<sup>2</sup>.

Land use and	198	5-1995 (k	m <sup>2</sup> )	1995-2005 (km <sup>2</sup> )			
land cover class	Losses	Gains	Net Change	Losses	Gains	Net Change	
Built-up & Urban	-531	6724	6193	-651	7667	7016	
Cropland	-66156	62994	-3162	-69768	131727	61959	
Fallow land	-31837	46520	14683	-93712	48958	-44754	
Forest	-27169	8905	-18264	-28352	10278	-18074	
Plantations	-8788	9247	463	-11131	11767	636	
Shrub land	-17682	19733	2051	-24341	26709	2369	
Grassland	-5646	4172	-1474	-5833	7676	1793	
Barren land	-4082	9848	5766	-8723	7378	-1345	
Waste land	-8349	2584	-5765	-8804	4540	-4264	
Water bodies <sup>1</sup>	-8802	13831	5029	-18190	11938	-6252	
Others <sup>2</sup>	-8978	3462	-5516	-6395	7312	917	
Total	-188020	188020	0	-275900	275900	0	

1 Includes Aqua Culture, Water bodies, and Permanent Wetlands.

2 Includes Salt Pan, Snow and Ice.

Table S3. Land-use and land-cover change (LULCC) transition matrix for 1985-1995 in km <sup>2</sup> . Marginal transitions (	1% of total gai	ns
and losses) have been termed as not significant (n.s.).		

To 1995 → From 1985 ↓	Built-up & Urban	Cropland	Fallow land	Forest	Plantations	shrub land	Grassland	Barren land	Waste land	Water bodies <sup>1</sup>	Others <sup>2</sup>
Built-up & Urban		291	26	48	26	78	n.s.	n.s.	36	22	n.s.
Cropland	3811		39551	4065	4336	5221	91	182	712	8187	n.s.
Fallow land	946	25356		319	1861	1708	131	89	135	1291	n.s.
Forest	298	11470	1418		1839	8059	838	1245	251	1102	649
Plantations	585	4728	2530	176		341	n.s.	n.s.	85	327	n.s.
Shrubland	736	9670	1618	2250	887		123	339	534	1341	183
Grassland	n.s.	457	n.s.	638	n.s.	736		1650	n.s.	1013	1079
Barren land	n.s.	231	n.s.	371	n.s.	1079	701	62205	49	89	1458
Waste land	139	5946	563	247	132	830	n.s.	n.s.		438	n.s.
Water bodies <sup>1</sup>	177	4845	714	400	120	1044	813	n.s.	526		n.s.
Others <sup>2</sup>	n.s.	n.s.	n.s.	390	n.s.	636	1460	6216	249	n.s.	

1 Includes Aqua Culture, Water bodies, and Permanent Wetlands.
2 Includes Salt Pan, Snow and Ice.

**Table S4.** Land-use and land-cover change (LULCC) transition matrix for 1995-2005 in  $\text{km}^2$ . Marginal transitions (<1% of total gains and losses) have been termed as not significant (n.s.).

To 2005 → From 1995↓	Built-up & Urban	Cropland	Fallow land	Forest	Plantations	Shrubland	Grassland	Barren land	Waste land	Water bodies <sup>1</sup>	Others <sup>2</sup>
Built-up & Urban		390	74	35	37	57	n.s.	n.s.	14	34	n.s.
Cropland	4394		38392	4669	5776	8192	295	315	1619	6116	n.s.
Fallow land	1308	84521		482	2680	2632	77	199	641	1172	n.s.
Forest	271	10602	1023		1795	9167	961	1305	473	1451	1305
Plantations	812	6776	2588	272		364	n.s.	n.s.	67	234	n.s.
Shrubland	512	11285	3571	3264	913		959	1552	774	1088	422
Grassland	n.s.	908	n.s.	79	n.s.	543		1375	n.s.	928	1817
Barren land	n.s.	543	n.s.	109	n.s.	1545	2190		225	n.s.	3723
Waste land	164	3828	1928	189	99	1862	n.s.	n.s.		710	n.s.
Water bodies <sup>1</sup>	145	12874	1075	594	335	1563	846	n.s.	674		n.s.
Others <sup>2</sup>	n.s.	n.s.	n.s.	584	n.s.	783	2230	2481	n.s.	n.s.	

1 Includes Aqua Culture, Water bodies, and Permanent Wetlands.

2 Includes Salt Pan, Snow and Ice.

**Table S5.** State-wise summary of key land-cover conversions based on our decadal analysis of Landsat data (the state-wise results pertain to the sum of urban, peri-urban, and rural areas). See Dataset S1 for supporting analysis. The state boundaries are based on 2001 census. In this table, the term "forest degradation" refers to "forest to shrub land conversion".

STATE	KEY CHANGES						
	NORTH INDIA						
Summary: Alarmi	ng loss in forest area: loss of 3.4% of region's forest area in 1985-1995, to 4.7% in 1995-2005. Region with						
	maximum conversion of cropland to built-up areas in both decades.						
	Planned city with no expansion in the interior areas. Increase in built-up area at the expense of cropland in the						
CHANDIGARH	periphery due to new planned residential estate (Panchkula Urban Estate) and increasing urban sprawl due to						
	nearness of Chandigarh city and good network of roads.						
DELHI (capital	Increasing rates of conversion of cropland to built-up.						
region of India)							
HARVANA	Increasing rates of conversion of cropland to built-up. During 1995-2005 decade, significant amount of fallow						
	land was brought under cultivation, and water bodies were lost to expansion of cropland and forest.						
HIMACHAL	Overall net loss in forest cover during both decades, but the rates of forest loss has reduced with time.						
PRADESH	Significant conversions among three categories: others (snow cover), grassland and barren land.						
JAMMU &	Significant interactions between barren land and grassland/snow cover. The loss in forest cover has more than						
KASHMIR	doubled from 1985-1995 to 1995-2005 resulting in more barren land, snow cover, shrub land, & grassland due						
	to degradation and clear felling.						
PUNJAB	State with highest cropland to built-up conversion in 1995-2005.						
	The net increase in cropland area nearly tripled from 1985-1995 to 1995-2005 due to recovery of fallow land,						
RAJASTHAN	wasteland, and shrub land. Increasing rates of forest degradation (Forest $\rightarrow$ Shrubland) and cropland						
	degradation/abandonment (Cropland $\rightarrow$ Shrubland). State with second highest area of forest recovery between						
	1985 and 2005. State with maximum recovery of wasteland area in both decades.						
UTTAR	State with second highest decrease in surface water spread between 1985 and 2005 due to cropland expansion.						
PRADESH	Significant conversions between cropland and fallow land. Overall, cropland, fallow land, and water bodies						
	area has decreased whereas built-up and shrub land area has increased.						
UTTARAKHAND	Transition from net decrease to net increase in snow cover between 1985-1995 and 1995-2005, and vice-versa						
e i minimita)	for barren land, shrub land, and grassland.						
	WEST INDIA						
Summary	r: Region with high dynamism in conversions between cropland and fallow land in both decades						
DADRA &	No major/significant change.						
NAGAR HAVELI							
DAMAN & DIU	No major/significant change.						

GOA	Loss of forest to shrub land (degradation) and cropland. Increase in built-up area.						
GUJARAT	Huge areas of cropland were converted fallow in 1985-1995, and vice-versa in 1995-2005. Increasing loss in forest cover to cropland (some of which was subsequently converted fallow). Increasing reclamation of						
	wasteland mainly to cropland, shrub and, forests, and water bodies. Decrease in expansion of built-up areas with time.						
	Increasing loss of surface water spread, with increasing proportions from to cropland expansion (90% loss in						
MAHARASHTRA	water bodies is for cropland in 1995-2005). Increase in built-up area at the expense of cropland. Consistent						
	recovery of fallows to cropland. Decrease in forest and snrub land area, for expansion of cropland and built-up						
	south indiand, and subsequently to cropiand.						
Summary R	egion with most dynamism in transition between cronland and plantations/fallow land/water bodies						
Summary. K	State with most decrease in surface water spread between 1985 and 2005. The loss of water bodies more than						
	doubled between the two decades with increasing proportions converted to cropland (~85% in 1995-2005)						
	Also, the state with highest cropland to water body conversion (24-27% of national total). Transition from net						
ANDHRA	decrease to net increase in cropland area between 1985-1995 and 1995-2005. Significant conversion between						
PRADESH	cropland and fallow land, and cropland and water bodies during both decades. Net decrease in forest cover by						
	~780 km <sup>2</sup> during both decades, due to conversion to cropland and shrub land (degradation). Increasing rate of						
	conversion of cropland and fallow land to built-up area. Net decrease in shrub land during both the decades for						
	conversions to cropland, plantations, forest, and built-up areas.						
	Net increase in built-up, fallow land, plantation and water bodies and corresponding decrease in cropland,						
KARNATAKA	forest, and shrub land. Plantations show accelerated increase due to conversion of cropland, fallow land, and						
	Interests.						
KERALA	huilt up land and forests and cropland are the main sources of plantations						
PUDUCHERRV	No major/significant change						
	State with maximum dynamism among cropland fallow land and plantations during both decades. Increasing						
	rates of conversion of plantations, fallow land, shrub land, and wasteland to cropland. Net increase in built-up						
TAMILNADU	area sourced mainly from cropland. Increased rates of conversion of forest to plantations. Overall, net increase						
	in cropland area and net decrease in fallow land, wasteland, shrub land, plantations, and forests.						
	EAST INDIA						
Summary: Region	Summary: Region with highest loss in forest area: loss of 6.5% of region's forest area in 1985-1995, and 5.1% in 1995-2005.						
-	Highest rates of forest degradation in both decades.						
	No major land changes during both decades relative to the state's area, indicating lack of development. Land						
BIHAR	conversions are mainly among cropland, water bodies, fallows, and shrub land. Increasing rates of conversion						
	of cropland to built-up areas.						
JHAKKHAND	Net decrease in cropland, and corresponding increase in fallow land. Net decrease in forest area due to cropland						

	expansion.
ODISHA	State with highest rate of forest loss in both decades (10.8% and 8.8% of forest cover was lost in decades 1985-1995 and 1995-2005 respectively) due to conversion to shrub land (degradation) and cropland. State with maximum forest degradation in both decades, and maximum deforestation in 1985-1995. The proportion of deforestation for shrub land has increased from 51% to 68% between the two decades. The shrub land are subsequently converted to cropland.
WEST BENGAL	No major land changes, relative to state's area. The major conversions are conversion of cropland to water bodies, and vice-versa and reclamation of fallow land to cropland.
	CENTRAL INDIA
Summa	ry: Region with maximum forest regrowth from cropland abandonment during both decades.
CHHATTISGARH	Net increase in cropland mainly sourced from fallow, forest, and shrub land. Overall, net decrease in forest, shrub land, and fallow land during both decades.
MADHYA PRADESH	State with highest deforested area in 1995-2005, and second highest in 1985-1995 due to conversion to cropland and shrub land (degradation). State with maximum area of forest recovery during both decades. Transition from net decrease to net increase in cropland from 1985-1995 to 1995-2005, and corresponding vice-versa change in fallow land.
	NORTH-EAST INDIA
Summary: Roughly	80% of forest loss in the region in both decades is for cropland expansion (shifting cultivation) indicating the region is not headed towards settled cultivation.
ARUNACHAL PRADESH	Deforestation for cropland expansion during both the decades.
ASSAM	Increasing rates of deforestation for cropland expansion. Water bodies, grassland and plantations are the other sources of cropland expansion. Net increase in water bodies due to conversion of cropland and grassland. Water bodies interact with all natural and man-mad classes, due to occasional water flooding.
MANIPUR	Increasing rates of deforestation for cropland expansion.
MEGHALAYA	Deforestation for cropland expansion and forest degradation (forest $\rightarrow$ shrub land).
MIZORAM	Increasing rates of deforestation for cropland expansion.
NAGALAND	Increasing rates of deforestation for cropland expansion.
SIKKIM	Transition from net decrease in snow cover to net increase in snow cover from 1985-1995 and 1995-2005, and corresponding vice-versa change in barren land.
TRIPURA	Increasing loss of forest cover for expansion of cropland and built-up area.

**Table S6.** Classification of India into twenty Agro-Ecological Zones (AEZs) following National Bureau of Soil Survey and Land UsePlanning, India (Gajbhiye and Mandal 2000). See Text S1 for rationale.

Ecosystem	AEZ	Physiography	Climate	Soils	Growing
type	Δ <b>F7</b> 1	Western Himalayas	Cold arid	Shallow skeletal soil	<90 days
Arid	AEZ2	Western Plain, Kachchh and part of Kathiawar Peninsula	Hot arid	Desert and saline soil	<90 days
ecosystem	AEZ3	Karnataka Plateau (Rayalseema as inclusion)	Hot arid	Red and black soils	<90 days
Semiarid ecosystem	AEZ4	Northern Plain and Central Highlands including Aravallis	Hot semi-arid	Alluvium-derived soils	90-150 days
	AEZ5	Central Highlands (Malwa), Gujarat plain and Kathiawar Peninsula	Hot semi-arid	Medium and deep black soils	90-150 days
	AEZ6	Deccan Plateau	Hot semi-arid	Shallow and medium black soils	90-150 days
	AEZ7	Deccan plateau (Telangana) and Eastern Ghats	Hot semi-arid	Red and black soils	90-150 days
	AEZ8	Eastern Ghats and Tamil Nadu Uplands and Deccan (Karnataka) Plateau	Hot semi-arid	Red loamy soils	90-150 days
	AEZ9	Northern Plain	Hot subhumid (dry)	Alluvium-derived soils	150-180 days
	AEZ10	Central Highlands (Malwa and Bundelkhand)	Hot subhumid	Red and black soils	150-210 days
Subhumid ecosystem	AEZ11	Moderately to gently sloping Chattisgarh/Mahanadi Basin	Hot subhumid	Red and yellow soils	150-180 days
	AEZ12	Eastern Plateau (Chhotanagpur) and Eastern Ghats	Hot subhumid	Red and lateritic soils	150-210 days
	AEZ13	Eastern Plain	Hot subhumid (moist)	Alluvium-derived soils	180-210 days

			Warm subhumid to humid	Drown forest and	180-
	AEZ14	Western Himalaya	(with inclusion of	Diowii iorest and	210+
			perhumid)	rouzone sons	days
			Hot subhumid (moist) to		210+
	AEZ15	Assam and Bengal Plain	humid (inclusion of	Alluvium-derived soils	davia
Humid-			perhumid)		days
Perhumid	AEZ16	Eastern Uimeleyes	Warmanarhumid	Drown and rad hill sails	270+
ecosystem		Eastern Himalayas	warm pernumu	brown and red min sons	days
	AE717	North Eastern Hills (Duryachal)	Warm parhumid	Pad and lataritic soils	270+
	ALL1/	North-Eastern Thirs (Furvachar)	w ann pennunnu	Neu anu faternite sons	days
	<b>AE718</b>	Eastern Coastal Plain	Hot subhumid to semi-	Coastal and Deltaic	90-210+
Coastal	ALLIO	Eastern Coastai Fiani	arid	alluvium-derived soils	days
ecosystem	AE710	0 Western Cheta and Caestal Disin	Hot humid norhumid	Red, lateritic and coastal	210+
	ALLIJ	Western Onlats and Coastar I fam	not numu-pernumu	alluvium-derived soils	days
Island	AE720	Islands of Andaman-Nicobar and	Hot humid to perhumid	Red loamy and sandy	240+
ecosystem	AEZ20	Lakshadweep	island	soils	days

Gajbhiye KS, Mandal C (2000) Agro-ecological zones, their soil resource and cropping systems. Status paper, In: Status of Farm Mechanization in India. Indian agricultural statistical institute, New Delhi, pp 1-32.

**Table S7**. Definition of the 11 land-use and land cover classes used in our study, consistent with the IGBP land classification scheme(Belward 1996).

No.	Land-use/cover	Definition					
	classes						
1	Cropland	Temporarily cropped area followed by harvest and a bare soil period (e.g. single and multiple cropping					
		systems). Note that perennial woody crops will be classified as either forest or shrubland, whichever is					
		appropriate. Includes orchards. We do not differentiate between different types of cropland based on seasons					
		(e.g. kharif, rabi, zaid).					
2	Fallow land	Land taken up for cultivation, but are temporarily allowed to rest, un-cropped for one or more seasons. We					
		do not differentiate between different seasonal cropland types e.g. kharif, rabi, zaid) being fallowed.					
3	Forest	Land with woody vegetation with greater than 60% cover and height exceeding 2 m. Also includes savannas					
		(both woody and non-woody) defined as herbaceous and other understory systems, with forest canopy cover					
		of 10-60%, and height exceeding 2m.					
		"Forest" here was obtained by combining six forest classes from IGBP Level II classification: Deciduous					
		broadleaf forest, Deciduous needle leaf forest, Evergreen broadleaf forest, Evergreen needle leaf forest,					
		mixed forests, and savannas (woody + non-woody).					
		Note that IGBP definition of forest is different from that of Forestry Survey of India (FSI 2015. FSI defines					
		forest cover as all lands more than 1 ha in area, with a tree canopy density of more than 10% as forest,					
		irrespective of ownership and legal status. FSI reported forest area includes areas of trees outside forest					
		(forest plantation, and agriculture plantations). In our study, forest plantations are a separate category					
		("Plantations" category), and agricultural plantations are included within "Cropland" category.					
4	Shrubland (open	Land with woody vegetation less than 2 m in height and with greater than 10% shrub canopy cover. The					
	& closed)	shrub foliage can be either evergreen or deciduous.					
5	Plantations	Commercial horticulture plantations and tree cash crops.					
6	Water bodies	Areas with surface water, either impounded in the form of ponds, lakes, reservoirs, aquaculture, permanent					
		wetlands or flowing as streams, rivers, etc. Permanent wetland is defined as a land with a permanent mosaic					
		of water and herbaceous or woody vegetation. The vegetation can be present in either salt, brackish, or fresh					
		water.					
7	Built-up	Land covered by buildings and other man-made structures.					
	&Urban						

8	Wasteland	Sparsely vegetated land with signs of erosion and land deformation that could be attributed to lack of					
		appropriate water and soil management, or natural causes. These are land identified as currently underutilized					
		and could be reclaimed to productive uses with reasonable effort. Degraded forest (<10% tree cover) with					
		signs of erosion is classified under wasteland.					
9	Grassland	Land with herbaceous land cover. Tree and shrub cover is less than 10%.					
10	Barren land	Exposed soil, sand, or rocks and has less than 10% vegetation cover throughout the year.					
11	Others	Includes land user snow/ice cover for most of the year. Also includes Salt Pan (land covered with salt and					
		minerals).					

Belward AS (1996) The IGBP-DIS Global 1km Land Cover Data Set 'DISCOVER': proposal and implementation plans. Report WP No. 13, IGBP-DIS, Stockholm, Sweden.

FSI (2015) India State of Forest Report. Forestry Survey of India (Ministry of Environment and Forest). Dehradun, India.

**Table S8.** Summary of studies (N=21) on conversion of cropland to fallow land. Keywords in the third column are highlighted in bold for skimming.

Study #	LULCC	Key results	Study details	Methods	Ref
A1	Cropland $\rightarrow$	• Out-migration to urban areas for	Location: 11 villages in South India	Land	Tiwari et
	Fallow $\rightarrow$	better jobs	covering three states (Andhra	investigations	al. 2010
	Grassland	<ul> <li>Labor shortage</li> </ul>	Pradesh, Karnataka & Tamil Nadu)		
		Water scarcity		Household survey	
			Study period: 1981-2006	(sample size	
				unknown)	
			Focus: Cropland abandonment		
				Participatory rural	
	G 1 1			appraisal	D
A2	Cropland $\rightarrow$	• Inter-annual fluctuations in	<b>Location</b> : Six regions in the state of	Survey of 900	Ramasamy
	Fallow	rainfall: over half the cultivated areas	I amil Nadu, with differing agro-	Tarms	et al. 2005;
		in Tamii Nadu are rain fed	forming prosting	Sacandary data	INAUKAIIII
		• Continuous failure & delayed onset	farming practices	on district level	allu Deshnande
			Study period: 1960-2000	land use statistics	(1979)
		• Dry & drought-prone regions with	Study period. 1900-2000	land use statistics	(1777)
		mostly tank infigation ( <b>unstable</b>			
		(relatively stable)			
		• Improvements in irrigation			
		facilities: leads to diversification to			
		water_intensive cash crons in small			
		areas converting some lands fallow			
		Crop selection depends on its price			
		behavior in markets/demands			
		• Labor scarcity & increase in urban			
		wage rates (fallow conversions in			
		regions with high rainfall): with labor			
		scarcity, wages increase which			
		increases the cost of cultivation			
		keeping the land fallow			
		<ul> <li>Inadequate capital &amp; non-</li> </ul>			

		<ul> <li>availability of credit facilities: especially in tank irrigated areas which is unstable with more rice- based system &amp; have no access to ground water</li> <li>Higher non-agricultural income: shortage of family labor because off- farm jobs are less strenuous, &amp; generate higher &amp; stable income</li> <li>Larger size of land holdings: Credits, labor, &amp; water becomes limiting factor to expansion</li> </ul>			
A3	Cropland ↔ Fallow	<ul> <li>Large size of land holdings</li> <li>Land tenancy: higher leased-in area/land owned fraction results in less fallow land</li> <li>Better irrigational facilities: focused efforts on small areas, leaving other areas fallow</li> <li>Land rental markets/Formal land tenancy: increases land access &amp; provides stable livelihoods to poor reducing fallows</li> </ul>	Location: National level Study period: 1992-2005 Note: The study has no primary data component, but we included in our analysis as the study provides key insights on cropland-fallow land dynamics in India.	Statistical analysis of state level panel data on land utilization from Indian government combined with census statistics	Bardhan and Tewari (2010)
A4	Cropland → Fallow	<ul> <li>Drought-prone regions</li> <li>High capital requirements for adopting modern outputs viz. irrigation (especially well irrigation), tractors &amp; commercialization: reason applies to regions with high rainfall; in drought-prone regions technology diffusion is poor.</li> </ul>	Location: Andhra Pradesh (comparison across districts within the state & by size class) Study period: 1955-1987	Primary data collected at farm level (sample size & distribution unclear) Secondary data on land use statistics	Reddy (1991)
A5	$\begin{array}{l} \text{Cropland} \rightarrow \\ \text{Fallow} \end{array}$	• Introduction of irrigation facilities: leads to diversification to water- intensive cash crops (e.g. cotton,	<b>Location</b> : Command area of Tawa irrigation project, Hoshangabad district, Madhya Pradesh	Regional/local expertise	Shrivastva et al. 1991

		oilseeds) in small areas, converting some lands fallow. Crop selection depends on its price behavior in markets/demands	Study period: 1970-1980	Secondary data on land use statistics	
A6	Cropland $\rightarrow$	Division of land	Location: Himachal Pradesh	Survey of 200	Gupta and
	Fallow/Wast	Higher land holding size		farm HH in 20	Sharma
	eland/Barren	• Inglief failu holding size	Study period: 1995-2005	villages	(2010)
	Cland/ Darren	• Number of fragments of	Study period. 1995-2005	villages	(2010)
		operational holdings		G 1 1 (	
		<ul> <li>Poor irrigational facilities</li> </ul>	Focus: Land degradation in farms	Secondary data	
		• Decrease in family labor (out-		on land use	
		migration for non-farm jobs): less		statistics	
		incentive to invest in soil			
		conservation			
		Higher dependency on farm			
		<b>income</b> . More fertilizer inputs leading			
		to more degradation			
		• Higher advantion has two affacts:			
		• Inglief education has two effects.			
		$\blacktriangleright \text{ More awareness} \rightarrow \text{less}$			
		Mana aff forma inha a mana			
		$\blacktriangleright \text{ More on-failing obs} \rightarrow \text{more}$			
		degradation			
		• Wild animal menace			
		Weeds infestation			
A7	Cropland $\rightarrow$	• Out-migration to urban areas due to	Location: Majhgawan block, Satna	Survey of 140	Lenka et al.
	Fallow $\rightarrow$	unemployment (less demand for	district, Madhya Pradesh	HH: half from	2002
	Grassland	labor)		agriculturally	
		• Low labor wage rates for farming	Survey period: Circa 2000	modernized &	
		Small land holdings		half from un-	
		Risk in agriculture	Note: See Rajendran (1993) for an	modernized	
			associated discussion on labor use in	villages	
			Thanjavur district, Tamil Nadu.		
			See Oberai & Ahmed (1981) for		
			labor use behavior in agriculture		
			based on household survey of 26		
			villages in Ludhiana district Puniah		
			labor use behavior in agriculture based on household survey of 26 villages in Ludhiana district, Punjab.		

A8	Cropland $\rightarrow$	• Access to stored rainwater e.g.	Location: Magadha area, Gaya	Data collected	Singh S
	Fallow/Wast	proximity to pond/micro-level spatial	district of South Bihar.	through	(2013)
	eland/Barren	organization of cropland		participation-	
		<ul> <li>Diversification to cash-oriented</li> </ul>	Study period: 1960-present	observation	
		crops			
		<ul> <li>Inability of small farmers</li> </ul>	Focus: Case of marginalization of	Regional/local	
		(correlated to caste) to cope with	agricultural land by forced tenancy	expertise	
		agricultural crisis, due to inability to	when off-farm jobs become more		
		compete with big farmers for control	profitable & risk-free than earnings		
		of natural & human resources	from small farms		
		• More profits & risk free nature of			
		<b>non-agricultural jobs</b> : need for			
		money to sustain HH			
		• Labor shortage: out-migration for			
		opener jobs in urban areas (rural			
		• Small size of land holdings make			
		• Small size of land holdings make			
		labor & oven)			
		• I ask of modical facilities (high even			
		mortality)			
		Weak institutional arrangements:			
		feudal culture & faulty land reforms			
		• Droughts			
		• Road constructions created <b>new rural</b>			
		jobs (e.g. dairy industry & fisheries)			

A9	Cropland $\rightarrow$	• Droughts, water scarcity, & soil	Location: Six villages in Rangareddy	Survey of 60 HH	Shiferaw et
	Fallow/Wast	degradation	district, Andhra Pradesh	within each	al. 2006a;
	eland/Barren	• Low economic returns to investments:		village	Shiferaw et
		related to soil quality/productivity &	Survey period: 2001-2002		al. 2006b
		structure of markets		Detailed plot- &	
		• Other variables that determine	Focus: Causes of agricultural land	crop-wise input &	
		conversions:	degradation & conservation through	output data	
		Access to credit, labor scarcity,	natural conservation management	collected from all	
		scarcity of land, caste, social		operational	
		capital & distance from home	Context: The six villages are semi-	holdings of	
		(supervision problem, high	arid regions prone to droughts, water	surveyed HH	
		transaction cost)	scarcity & soil degradation. One	(n=368)	
		• Imperfect labor markets: male	shad management program & other		
		workforce are better in resource	five adjoining villages are not		
		conservation management	nive aujoining vinages are not.		
		• Size of farm:			
		$\blacktriangleright$ Large land holdings $\rightarrow$ less			
		incentive to invest			
		Scarce land (land/person) $\rightarrow$ more			
		• More off-farm income $\rightarrow$ less			
		incentive for investment in soll-water			
		Conservation, seeds & inigation			
		• Availability of water for infigation in			
		Dish rainfall in rainful/tharif accord			
		• High failing in failing/khaffi seasons			
		(difficult working conditions)			
		• Instory of cropping & fanowing.			
		(nitrogen-fixing) were grown in			
		previous year (crop rotation system)			
A10	Cropland $\rightarrow$	New income opportunities: in	Location: Upper Indus Basin of	Remote sensing	Nüsser
1110	Fallow/Wast	booming tourism sector	Central Ladakh (high altitude desert	of land cover	(2012)
	eland/Barren	government & army	region). Northern India (Trans-		()
		<ul> <li>Brick production for houses in</li> </ul>	Himalayan environment)	Qualitative	

fallowsinLabor scarcityStudy period: 1969-2006Increased monetary incomegSurvey period: 2007-2009mOFocus: Case of subsistence-based agriculture, where primary income is from non-farm sector.The authors present two local case studies at the village level (Stok & Indus valley) & an overview of the complete Central Ladakh Basin, Leh.	interviews with experts from both government & non-governmental organization Regional/local expertise	
<ul> <li>A11</li> <li>Cropland → Fallow → Grassland</li> <li>Labor scarcity due to male out- migration: most are landless or small landholders who work as wage laborers during cropping season</li> <li>Higher education among family labor: young literates prefer off-farm jobs</li> <li>Imperfect labor markets: Women, elderly, &amp; children take care of farming &amp; their decisions about resource-use efficiency is poor compared to non-migrant farmers</li> <li>Small land holdings per capita</li> <li>Less family income other than remittances</li> <li>Proportion of lower caste: proxy for available input capital &amp; land availability</li> <li>Lack of critical support services → drives migration</li> </ul>	Structured interviews of randomly selected 200 out-migrant families & 200 non-migrant families with land holdings less than 2 ha from each state Secondary data from National Sample Survey & from statistical abstract published by the Government of India	Singh et al. 2011
A12Cropland $\rightarrow$ Fallow/Wast• Higher land-man ratio: more degradation where agriculturalLocation: National extent; Study broken down at regional level (by	Expert knowledge on the dynamics	Reddy (2003):

	eland/Barren		pressure is less i.e. low population	agro-ecological zones, districts, &	on soil	Yadav
			agriculturally backward regions are	states)	degradation in	(1996)
			more prone to degradation than		India	
			developed intensively practiced	Study period: Various (1980s)		
			regions		Statistical	
		•	Size of land holdings: Small land	Focus: Farmland degradation	modeling	
			areas are put to more intense use,		combining remote	
			than bigger land holdings where		sensing of land	
			some land is irrigated & rest is		cover with socio-	
			allowed to remain fallow		economic,	
		•	Irrigation increases salt affected &		demographic,	
			water logged degradation when		technological,	
			managed poorly		institutional &	
		•	Poverty, population, institutional		biophysical	
			credits, & rainfall (hypothesized		factors	
			natural factor) not sufficiently related			
			to degradation			
A13	Cropland $\rightarrow$	•	Distress out-migration: positive	Location: Three dryland, drought-	Survey of 1227	Shah
	Fallow/Barre		feedback as leads to sub-optimal land	prone regions (Surendranagar,	HH in six villages	(2010)
	n/Wasteland		use & further degradation of land due	Amreli, & Jamnagar) of Surashtra,		
			to shortage of labor or able bodied	Gujarat		
			persons of the HH			
		•	Lack of irrigation: perception that	Survey period: circa 2005		
			people think if land is irrigated it is			
			not degraded, even if the land is	Focus: Migration induced land		
			saline or eroded	degradation. In dry regions water,		
		•	<b>Risk aversion attitude</b> : Leasing out	rather than land is the limiting factor.		
			lands as risk aversion strategy or			
			using them for short & more			
A 1 4	Crapland	-	remunerative crops	Leastion 2 ash divisions in	Summer of 105	Chash
A14	$Cropiand \rightarrow$	•	<b>irrigation facilities</b> : also a proxy for	Location: 2 sub-divisions in Burdwan district West Dangel	Survey of 185	(2010)
	rallow		mechanization, because it is	Duruwan uisurci, west bengal	villagos	(2010)
			Look of oppose to institutional	Survey period: 2005 2006	villages	
		•	Lack of access to institutional	Survey period. 2003-2000		
			creuit. larger failing nave more access			

A17	Cropland $\rightarrow$	• Cropland $\rightarrow$ Fallow (1980-90):	Location: Sadiyagad micro	Field	Rao and
		the quarrying site to the kilns for being baked, & from kilns to the demand site (city)	Bengal); Peninsular & coastal India accounts for rest 35% production (Gujarat, Orissa, Madhya Pradesh, Maharashtra & Tamil Nadu).	use records	
		<ul> <li>Brick kiln owners earn higher wages from: employment in brick</li> </ul>	production due to availability of good fertile alluvial soils (Punjab, Haryana, Littan Bradach, Diltan, & Wast	Secondary data from village land	
		<ul> <li>from leasing out their land for soil quarrying</li> <li>➢ Requires less labor efforts</li> </ul>	of bricks in the world. The Gangetic plain of North India accounts for about 65% of the total brick	Remote sensing of land cover	
		<ul> <li>Higher profits than agriculture: Land owners make quick money</li> </ul>	confined to rural & peri-urban areas. India is the second largest producer	HH)	
		rapidly, its rate stimulated by the centrifugal forces of the city & its	Survey period: 2001-2002	villages (10 village HH & 10	
	Barren	<ul> <li>Demands for bricks have increased</li> </ul>	City, North India	surrounding	(2005)
A16	Cropland $\rightarrow$ Wasteland/	• For <b>production of brick kilns</b> due to	<b>Location</b> : Peripheral areas of Aligarh	20 field survey in	Singh and
				Satellite mapping of land cover	and Javed (2012)
		availability		literature	Singh et al. 1997; Khan
		residential complexes of mining	<b>Study period</b> : 1978-2010	Historical	1991;
	Bareland	thermal power plants: Extension of	Pradesh	expertise	et al. 2013; Singh et al
A15	$Cropland \rightarrow$	Growth of mining industry &	Location: Singaruli district, Madhya	Regional/local	Areendran
		Lack of support services through government extension agencies for providing knowledge & information on modern agriculture			
		implements & machinery	productivity & incomes.		
		• Size of land holdings: Uneconomical to use modern costly agricultural	mechanization is related to higher		
		their asset base is stronger	who have difficulties exploiting		
		to more agricultural implements as	Focus: Small & marginal farmers		

	Fallow		Restricting access to government	watershed, in mid-elevation zone of	investigations	Pant (2001)
			forest under conservation forestry	Central Himalaya		
			provided less manure (lack of leaf		Regional/local	
			litter) making agricultural land	<b>Study period</b> : 1980-1996	expertise	
			unproductive			
		•	Fallow $\rightarrow$ Cropland (1990-95):	Focus: Forest policy effects on	Remote sensing	
			Government intervention on	cropland	of land cover,	
			watershed development (through		topographical	
			World Bank) by providing		maps combined	
			agricultural assistance (irrigation,		with	
			pesticide & fertilizer)		socioeconomic	
		•	Cropland $\rightarrow$ Fallow (1990-): Lack of		data collected	
			appropriate follow-up/monitoring		from conducting	
			after the development program ended:		series of	
			aid driven development syndrome		workshops &	
			where capital accrues immediately		interviews with	
			after withdrawal of project		local population	
A18	Cropland $\rightarrow$	•	Unsustainable land use practices,	Location: Two villages in Attappady	Survey of 367	Velluva
	Wasteland/B		different from traditional tribal	block, Palakkad district, Kerala	farm HH (6% of	and
	arren land		cultivation (e.g. farming deep-rooted		total farm HH) in	Velluva
			oil crops that caused soil erosion)	Study period: 1930s-present	two villages	(2006)
				Survey period: circa 1995	Secondary data	
					on settlements &	
				Focus: Land use & crop selection of	land use patterns	
				aboriginals & in-migrants		
A19	Cropland $\rightarrow$	•	Transforming into suburbs,	Location: Two development blocks	Interview of 300	Singh and
	Barren/Waste		residential, & commercial land uses	in the metropolitan periphery of	persons based on	Mohan
	land		e.g. farm houses, godowns, mills &	Delhi (Alipur & Najafgarh)	pre-structured	(2001)
			brick kilns especially in areas:		questionnaire	
			that have good water supply & growing water levels	Study period: 1990s		
			$\triangleright$ close to urban center which gives	Survey period: 1998		
			much higher returns compared to	Survey period. 1990		
			agriculture	Note: See ref. 26 for similar study in		
				Delhi based on survey of 896 farming		

			HH.		
A20	Cropland → Wasteland	• Single factor causation: Advancement of mining and industrial activity.	Location: Talcher-Angul region, Orissa. Study period: 1973-2011	Remote sensing Topographical maps	Panwar et al. 2011
			Focus: Focused on land degradation.	Ground validation using Google imageries.	
A21	Cropland → Fallow/Wast eland/Shrubl and	<ul> <li>Inadequate supply &amp; erratic availability of electricity: Hindering use of modern agricultural equipment such as cold storage &amp; food processing industries</li> <li>Lack of marketing &amp; storage facilities</li> <li>Lack or poor quality agriculture extension facilities</li> <li>Poor diagnostic/medical labs for both crops &amp; livestock</li> <li>Unprofessional attitude of authorities</li> </ul>	<ul> <li>Location: National scale: Comparison of Eastern &amp; North- Eastern India (has lower diversification that rest of India) with rest of India</li> <li>Survey year: 2003</li> <li>Focus: Improving cropland stability through agricultural diversification: enhances profits &amp; stability of farm incomes, generates employment opportunities, alleviate poverty &amp; improve the sustainability of agricultural systems.</li> </ul>	Statistical analysis of farm level information from 2003 National Sample Survey, based on information collected from over 178000 HH plots	Kumar and Singh (2012); Kumar (2009)

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**Table S9.** Summary of studies (N=16) on reclamation of fallow land to cropland. Keywords in the third column are highlighted in bold for skimming.

Study #	LULCC	Key results	Study details	Methods	Ref
B1	Cropland stability (preventing fallow conversion)	<ul> <li>Adoption of new technology among tribal community, determined by:</li> <li>&gt; Income from crops</li> <li>&gt; Credit orientation of farmers</li> <li>&gt; Attitude towards high yielding varieties</li> <li>&gt; Risk orientation</li> <li>&gt; Age of farmers: young farmers adopt more</li> <li>&gt; Size of land holding: big farm size/less fragmentation → more adoption indicating easy orientation; small farm size → less adoption indicated by more labor workers</li> </ul>	Location: Hazaribagh & Ranchi districts, Chotanagpur region of South Bihar Study period: 1988-89 Focus: Cropland productivity/stability among tribal farms	Survey of 160 tribal farm holdings	Chandra and Singh (1992)
B2	Cropland stability (preventing fallow conversion)	<ul> <li>Social factors: farmers caste, availability of family labor, land ownership, legumes in cropping sequence</li> <li>Infrastructural factors: Irrigation facilities, seed type, optimal plant population, labor &amp; capital investment &amp; use of organic manure</li> </ul>	<ul> <li>Location: Two distinct agro- ecological zones in Eastern India (Bankura &amp; Malda district, West Bengal)</li> <li>Focus: Focused on smallholder farmers, especially on maize yields</li> </ul>	180 farm-level surveys in four villages	Banerjee et al. 2014
B3	Fallow → Cropland	<ul> <li>Availability of private well irrigation</li> <li>High rainfall &amp; industrially less progressive regions</li> <li>Expansion of irrigation facilities</li> <li>Availability to road facilities (market access)</li> </ul>	Location: Six regions in the state of Tamil Nadu, with differing agro-ecological zones, irrigation system & farming practices Study period: 1960-2000	Survey of 900 farms Secondary data on district level land use statistics	Ramasamy et al. 2005; Nadkarni and Deshpande (1979)

B4	Fallow → Cropland	<ul> <li>Access to weather information (through television, newspaper, etc.)/ perceived changes in temperature</li> <li>Availability of capital: wealthier farmers more likely to take risks</li> <li>Participation in social institutions relating to agriculture/natural resource management : better adaptation to erratic &amp; variable/delayed monsoon rainfall</li> </ul>	Location: 7 villages in Bihar Survey period: Various (1990s & 2000s) Focus: Changes in land management practices e.g. increased cropping & irrigation.	981 HH surveys	Wood et al. 2014
B5	Fallow/Wast eland/Shrubl and → Cropland	<ul> <li>Level of urbanization/infrastructure: capital investment capacity of the HH, use of new technology &amp; knowledge, cost advantage (markets &amp; roads) of transportation of high value crops, their quick sales, &amp; increased demand</li> <li>Availability of capital: ability to acquire assets &amp; equipment's necessary to cultivate high value crops &amp; other such allied activities</li> <li>Educational level of HH head (exposure)</li> <li>Soil quality</li> <li>Size of land holdings</li> <li>Status of land possession/land tenure</li> <li>Equitable provision of economic security in terms of credit supply, subsidies, etc. to all religious &amp; social (caste) classes. e.g. to scheduled caste/tribe farmers for more settled cultivation to augment agricultural diversification</li> </ul>	Location: National scale: Comparison of Eastern & North-Eastern India (has lower diversification that rest of India) with rest of India Survey year: 2003 Focus: Improving cropland stability through agricultural diversification: enhances profits & stability of farm incomes, generates employment opportunities, alleviate poverty & improve the sustainability of agricultural systems.	Statistical analysis of farm level information from 2003 National Sample Survey, based on information collected from over 178000 HH plots	Kumar and Singh (2012); Kumar (2009)
B6	Fallow $\rightarrow$	• Community-based watershed	Location: Kuchgad micro	Discussion	Wakeel et
	Cropland	management through customary governance institutions	watershed, Almora District, Central Himalayas	interviews with officials of	al. 2005
		• Government program incentives:		management	

	Building of roads & vegetable storage facility sustained agriculture for urban exports & access to products outside the region	Study period: 1967-1997 Survey period: 1997-2000 Note: See Dhyani et al. (2006) who conducted studies in Khootgad & Mohnagad watershed in Central Himalayas where fodder production expanded to uncultivated rainfed land.	institutions (e.g. forest department, <i>vanpanchayats</i> , & local inhabitants in village meeting) Regional/local expertise Interpretation of satellite data, information on legal & policy changes	
B7 Fallow/Wa eland/Barro → Croplan	<ul> <li>Development interventions by government: New structures to prevent flash flooding, sedimentation &amp; to divert water to higher parts</li> <li>External influences: Non- governmental organization support in watershed management programs, resource management efficiency, expansion &amp; improvement of irrigation infrastructure, &amp; agrarian innovations</li> </ul>	<ul> <li>Location: Upper Indus Basin of Central Ladakh (high altitude desert region), Northern India (Trans-Himalayan environment)</li> <li>Study period: 1969-2006</li> <li>Survey period: 2007-2009</li> <li>Focus: Change in irrigated agriculture in mountainous environment with artificial irrigation fed by melt water from glaciers &amp; snow cover, controlled entirely by gravity.</li> <li>The authors present two local case studies at the village level (Stok &amp; Indus valley) &amp; an overview of the complete</li> </ul>	Remote sensing of land cover Qualitative interviews with experts from both government & non-governmental organization Regional/local expertise	Nüsser et al. 2012

			Central Ladakh Basin, Leh.		
B8	Fallow/Wast eland → Cropland	• Investment in <b>irrigation</b> , wells & <b>agricultural development</b> : Resulted in spread of dry-season cropping & year-around monoculture due to faster rotations under irrigation	Location: Godwar, Rajasthan Study period: 1986-1999	Remote sensing of land cover; Historical data; HH production information; Discourse of planners & state experts	Robbins (2001)
B9	Fallow → Cropland	• During 1990-95: Government intervention on watershed development (through World Bank) by providing agricultural assistance (irrigation, pesticide & fertilizer)	<ul> <li>Location: Sadiyagad micro watershed, in mid-elevation zone of Central Himalaya</li> <li>Study period: 1980-1996</li> <li>Focus: Forest policy effects on cropland</li> </ul>	Field investigations Regional/local expertise Remote sensing of land cover, topographical maps combined with socioeconomic data collected from conducting series of workshops & interviews with local population	Rao and Pant (2001)
B10	Barren/Waste land → Cropland	<ul> <li>People's participation: Depends on people's perceptions, priorities &amp; involving them in decision-making process</li> <li>Using traditional knowledge based agroforestry systems, with water management (irrigation capacity) as an integral component</li> </ul>	<ul> <li>Location: Banswara village, Chamoli district, Uttarakhand</li> <li>Study period: 1990-1995 (period of model implementation)</li> <li>Focus: Restoration of degraded community</li> </ul>	Survey of 219 HH (>85% of HH in village)	Maikhuri et al. 1997

			lands/abandoned agricultural lands with various degrees of degradation		
B11	Fallow/Grass land/Barren/ Wasteland → Cropland	<ul> <li>Soil &amp; water conservation through watershed development increased ground water recharge for irrigation</li> <li>Diversification to short-term water- efficient cash crops from perennial (traditional) crops that boost income of farmers</li> </ul>	Location: Rajasamadhiyala (Gujarat) & Shekta (Maharashtra) watershed located in semi-arid regions Study period: 1998-2005 Focus: Restoration of degraded land	Interview of 20% of farmers in each watershed selected through stratified random sampling	Wani et al. 2011
B12	Barren/Shrub land → Cropland	<ul> <li>Increasing population pressure</li> <li>Altitude &amp; land availability for clearing: most cropland expansion in middle zone; higher zone unfit for cultivation; no land available in lower zone</li> <li>Out-migration in lower zone villages due to better access to road, educational facilities &amp; increased willingness to buy property outside the region reduces some pressure on land, which partly compensates for increasing population pressure</li> </ul>	Location: High altitude, cold desert of Lahaul-Spiti district, Himachal Pradesh Study period: not mentioned	300 HH surveys collected across 10 villages lying across three altitudinal zone (>4500 m; 3000- 4500 m; <3000 m) Secondary data on land cover, census, & topography	Warpa and Singh (2014)
B13	Barren/Shrub land/Wastela nd → Cropland	<ul> <li>Higher education (exposure)</li> <li>Attitude towards Jatropha/perception of risk</li> <li>More income dependency of agriculture</li> <li>Availability of support services: technical help from non-governmental organization &amp; agricultural department</li> <li>Higher minimum expected income has negative effect: with higher minimum</li> </ul>	<ul> <li>Location: North East India. The authors use Assam &amp; Arunachal Pradesh as sample to represent altitude &amp; topography of other states in the region</li> <li>Survey period: 2011-2012</li> <li>Focus: Reclamation of wasteland for biofuel</li> </ul>	144 key informant interviews in 23 villages in the two states	Choudhury and Goswami (2013)

		<ul> <li>expected income, the possibility of getting that income goes down, which leads to non-fulfillment of the expectations of farmers, which discourages them to expand</li> <li>Insignificant factors (but were expected to be important): age of HH head, primary occupation, distance to nearest market, availability of unemployed family member, shortage of labor for agriculture, non-farm employment opportunity, expected price of jatropha seed, labor cost of jatropha, access to bank credit &amp; extension services</li> </ul>	production (Jatropha) <b>Note</b> : In this study, wasteland includes: land with/without scrub, land under shifting cultivation, degraded forestland. In North-East India, 46% of wasteland is in shrub, 17% in shifting cultivation, & 8% in degraded forest (scrub dominated).		
B14	Barren/Waste land → Cropland	<ul> <li>Community-based watershed management through customary governance institutions, local user groups &amp; non-governmental organizations:</li> <li>&gt; improved the water table</li> <li>&gt; increased perenniality of water wells</li> <li>&gt; increased the availability of water for livestock &amp; domestic use</li> </ul>	Location: Tamil Nadu Study period: 1990-present Focus: An assessment of overall performance of watershed development programs on restoring degraded lands (non-forest wasteland)	Synthesis of published case studies	Kuppannan and Devarajulu (2009)
B15	Barren/Waste land → Cropland	<ul> <li>Community-based watershed management:</li> <li>&gt; improved ground water recharge &amp; availability in both upstream &amp; downstream villages</li> <li>&gt; better economic returns (more crop yields) &amp; stable livelihood for farmers</li> </ul>	<ul> <li>Location: Rajasamadhiyala micro-watershed, Rajkot district, Gujarat</li> <li>Study period: 1995-2003</li> <li>Focus: Impact assessment of a watershed that was created in 1983</li> </ul>	Focused group discussion & stratified detailed HH surveys of 20% of farm HH/farmers in study site (on- site) & two villages downstream (off- site assessment)	Sreedevi et al. 2006
B16	Fallow/Wast	• Farmers attitude: willingness to invest	Location: Six villages in	Survey of 60 HH	Shiferaw et

eland/Barren	in maintaining current fertility levels	Rangareddy district, Andhra	within each	al. 2006a;
$\rightarrow$ Cropland	than restoring degraded lands	Pradesh	village	Shiferaw et
	<ul> <li>Access to new production &amp; resource</li> </ul>			al. 2006b
	management technology through	Survey period: 2001-2002	Detailed plot- &	
	watershed management program		crop-wise input &	
	Better recharging of ground water	Focus: Restoring degraded	output data	
	Shift towards paddy & irrigated crops	agricultural land through	collected from all	
	(vegetables) that cannot be sustained	natural conservation	operational	
	in water-scarcity	management	holdings of	
	• <b>Higher education</b> (exposure):		surveyed HH	
	➢ More investment in soil-water	Context: The six villages are	(n=568)	
	conservation	semi-arid regions prone to		
	More access to information	droughts, water scarcity &		
	• Higher perceived returns to investments	soil degradation. One village		
	on land	is under community water		
		shed management program, &		
		other five adjoining villages		
		are not.		

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Table S10. Summary of studies (N=42) on forest area loss. Keywords in the third column are highlighted in bold for skimming.

Study #	LULCC	Key results	Study details	Methods	Ref
C1	$Forest \rightarrow$	• Illegal forest encroachment & clear	Location: Bhanupratappur	Field investigations	Kumar et al.
	Shrub/Barr	felling	forest division, Kanker		2014
	en	• Wood extraction for subsistence by	district, Chhattisgarh	Regional/local	
		local village communities for house		expertise	
		construction/repair, fuel wood, &	<b>Study period</b> : 1990-2000		
		manufacturing agricultural equipment's		Statistical modeling	
		• Illegal cattle grazing by villagers that	<b>Focus</b> : Deforestation & forest	(Landsat + secondary	
		inhibit regeneration of forests	degradation	data on explanatory	
		Conversions higher near forest edges		factors)	
		(proximity to roads & settlements)			
C2	$Forest \rightarrow$	• Industrial development due to	Location: Singaruli district,	Regional/local	Areendran et
	Shrub/Barr	availability of large coal reserves, &	Madhya Pradesh	expertise	al. 2013;
	en	construction of Gobind Ballabh Pant			Singh et al.
		Sagar reservoir	<b>Study period</b> : 1978-2010	Historical literature	1991; Singh
		• Land exploitation for surface water,			et al. 1997;
		ground water, coal, building material,	<b>Focus</b> : Deforestation & forest	Land cover/change	Khan and
		industrial waste disposal, quarrying for	degradation	detection using	Javed
		limestone, establishment of thermal		satellite imagery &	(2012)
		power stations, cement factory, &		GIS mapping	
		construction of reservoirs			
C3	$Forest \rightarrow$	• Poor land management & forest fire	Location: Dabka watershed,	Regional/local	Rawat et al.
	Shrub/Barr	Wood extraction for subsistence	Kosi Basin in Lesser	expertise	2012

	en	• Overgrazing	Himalayas Nainital district		
	•	Soil arosion & accelerated runoff from		Field investigations	
		• Soli el osion & accelerated funori nom substandard construction of roads &	Study period: 1990-2010	i lela investigations	
		buildings	Study period. 1990-2010	Land cover/change	
			Focus: Oak & Pine forests	detection using	
		• Social/population pressure	Focus. Oak & Fille lorests	actellite imagent &	
				satellite imagery $\alpha$	
<u> </u>				GIS mapping	L 11: (2012)
C4	Forest $\rightarrow$	• Industrial development (large-scale	Location: Sainj Valley,	Land cover/change	Joiii (2012)
	Shrub/Barr	hydro-electric project)	fragile mountain ecosystems	detection using	
	en		of the Western Himalayas	satellite imagery &	
				GIS mapping	
			Study period: 2005-2010		
			<b>Focus</b> : Deforestation & forest		
			degradation		
C5	Forest $\rightarrow$	Over-extraction of fodder for	<b>Location:</b> Three dryland	Survey of 1227 HH in	Shah (2010)
	Barren/Was	livestock on common land	drought-prone regions	six villages	~
	teland	nvestoek on common lund	(Surendranagar Amreli &	Sint vinages	
	toruna		Jampagar) of Surashtra		
			Guiarat		
			Oujului		
			Survey period: circa 2005		
			Focus: Degradation on		
			common nool resources		
<u>C6</u>	Forest	• Timber how recting at large	L opption: Molkongiri district	Pagional/logal	Pottonails at
	Shruh/Dorr	• Thirder harvesting at large	Origan	avportiso	$a_1 = 2011$
	Sillu0/Dall	scale/unregulated management	Olissa	expertise	al. 2011
	CII		Study pariod: 1072 2004	Field investigations	
		• Wood extraction for subsistence (fuel	Study period. 1973-2004	Field investigations	
		wood)	France Defensetation & france	Land accordance -	
		• Shifting cultivation, illegal	<b>Focus</b> : Deforestation & forest	Land cover/change	
		encroachments (for agriculture) &	degradation	detection using	
		unsustainable land use practices on land		satellite imagery &	
		deforested for agriculture		analysis of historical	
		Road constructions		maps	
		Overgrazing			

		Social/population pressure			
C7	Forest → Shrub/Barr en	<ul> <li>Wood extraction for subsistence (fuel wood)</li> <li>Livestock grazing &amp; fodder</li> <li>Illegal clear felling &amp; timber collection for household &amp; agricultural purposes</li> <li>Natural factors (e.g. fire, mortality by insects, diseases)</li> </ul>	Location: Eastern Ghats of Tamil Nadu Study period: 1990-2003 Focus: Deforestation & forest degradation	Satellite mapping of land cover Field investigations Interviewing local people during the investigations	Jayakumar et al. 2009 and references cited therein
C8	Forest → Shrub/Barr en	<ul> <li>Free cattle grazing by large land owners, in response to emerging milk markets</li> <li>Wood extraction for subsistence (fuel wood for cooking &amp; heating water, sold to small-scale businesses &amp; food stalls)</li> <li>Cut-&amp;-carry fodder collection</li> <li>Encroachment for agriculture by farmers with large land holding</li> <li>Illegal felling &amp; lopping in reserve &amp; village commons</li> <li>Population/social pressure</li> <li>Weak/inefficient institutional framework for protection &amp; monitoring (corruption, misunderstanding, alienation, &amp; mistrust between forest department &amp; villagers)</li> <li>Increased dependence of poor on forest due to low farm productivity (low technology)</li> <li>Small stone mines, &amp; small timber collection</li> </ul>	<ul> <li>Location: Protected areas of Sariska Tiger Reserve, Eastern Rajasthan</li> <li>Study period: 1980-2000</li> <li>Focus: Deforestation &amp; forest degradation</li> <li>Focus: A case of inefficient forest protection by Joint Forest Management, where villagers &amp; forest department jointly manage state forests &amp; share forest revenues.</li> </ul>	Group surveys in 37 villages 180 HH surveys in a subset of 4 randomly sampled villages	Heltberg (2001)
C9	Forest → Shrub/Barr	• Wood extraction for subsistence (fuel wood mainly for water heating in high	<b>Location</b> : Five protected	Survey of 1245 HH from villages across	Davidar et
	en	<ul><li>rainfall region)</li><li>Fodder collection for livestock</li></ul>	Ghats of Peninsular India	the study sites	ui. 2010

		<ul> <li>Collection of green leaves for producing green manure sold to local plantation industries</li> <li>Income dependence on forests (inversely related to proportion of agricultural households).</li> <li>Proportions of wage labor households indicating more dependence on forests</li> <li>Local markets based on tourism (e.g. tea shops that use wood for energy)</li> </ul>	<ul><li>Survey period: Various (in 1990s &amp; 2000s depending on the study site)</li><li>Focus: Forest degradation</li></ul>		
C10	Forest → Shrub/Barr en	<ul> <li>Wood extraction for subsistence (fuel wood, fodder &amp; other products)</li> <li>Illicit felling by local people</li> <li>Encroachment (land clearing) of land for agriculture</li> </ul>	<ul> <li>Location: Pulianjolai</li> <li>Reserved Forests, Kolli hills</li> <li>of the Eastern Ghats of Tamil</li> <li>Nadu</li> <li>Study period: 1990-1999</li> <li>Focus: Forest degradation</li> </ul>	Regional/local expertise Satellite mapping	Jayakumar et al. 2002
C11	Forest → Cropland, Shrub/Barr en	<ul> <li>Most deforestation is for agriculture</li> <li>Increasing population pressure (human &amp; animal)</li> <li>Wood extraction for subsistence (food, fuel, fodder, manure, &amp; non- timber forest products)</li> <li>Overgrazing by the livestock (removes regenerating seedlings through browsing, trampling, reduces natural regeneration)</li> <li>Clear-felling for industrial wood material extraction (1963-80) due to lack of forest policy</li> <li>Government intervention for integrated land use &amp; natural resource management (1980-95) reduced deforestation &amp; clear felling:</li> </ul>	<ul> <li>Location: Sadiyagad micro watershed, in mid-elevation zone of Central Himalaya</li> <li>Study period: 1962-1996</li> <li>Focus: Deforestation &amp; forest degradation</li> </ul>	Field investigations Regional/local expertise Satellite mapping & topographical maps combined with socioeconomic data collected from conducting series of workshops & interviews with local population	Rao and Pant (2001)
		Intervention through technology transfer & efficient agricultural services (e.g. irrigation, access to credits & local markets, subsidized agricultural inputs liker fertilizers, soil & water conservation programs, & promotion of agroforestry).			
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C12	Forest → Cropland, Shrub/Barr en	<ul> <li>Deforestation for agriculture in people managed forests</li> <li>Wood extraction for subsistence in government reserved forests (lopping of broad leaved trees)</li> <li>Strong institutional framework protected cropland expansion in reserved forests</li> <li>Oak forest degradation (promotes pine forest growth) by locals in government forests, because oak forests generate no revenue to forest department.</li> </ul>	<ul> <li>Location: Kuchgad micro watershed, Almora District, Central Himalayas</li> <li>Study period: 1967-1997</li> <li>Survey period: 1997-2000</li> <li>Focus: Deforestation &amp; forest degradation</li> <li>Related Study: See Dhyani et al. (2006) who conducted studies in Khootgad &amp; Mohnagad watershed in Central Himalayas where fodder production expanded to community land (due to emerging milk markets)</li> </ul>	Discussion interviews with officials of management institutions (e.g. forest department, <i>vanpanchayats</i> , & local inhabitants in village meeting) Regional/local expertise Interpretation of satellite data, information on legal & policy changes	Wakeel et al. 2005
C13	Forest → Shrub/Barr en	<ul> <li>Demand for non-timber forest products: growing market demand for medicinal plants</li> <li>Population/social pressure &amp; increased consumption</li> <li>Weak institutional framework</li> <li>Need for cash within tribal society</li> <li>Joint Forest Management &amp; private farm forestry reduced the pressure on forests</li> </ul>	Location: Madhya Pradesh state Study period: 1990s & early 2000s Survey period: 2005-2006 Focus: Forest degradation & forest protection in protected	Interview with 34 forest officers of different rank, policy- makers, representatives of local forest user organizations, & forest/livelihood experts from civil society & academia	Véron and, Fehr (2011)

		• Less income dependence on forests by	areas		
		locals reduced the pressure on forests		Oualitative data from	
				4 forest-dependent	
				villages & 7 villages	
				where forests was not	
				a focus	
C14	Forest	. Unplanned in mignation from	Location: Two villages in	Survey of 267 form	Volluvo ond
014	$\frac{1}{2} \text{ Cropland } \mathcal{C}$	• Unplanned in-inigration from	Attennedy, Delekked district	ULL (6% comple) in	Volluvo
	Diantationa	surrounding regions:	Anappauy, Falakkau ulsulet,	HH (0% sample) III	
	Plantations,	> Uncontrolled deforestation	Kerala	two villages	(2006)
	Shrub/Barr	> Overgrazing by cattle			
	en	> Wood extraction (fire wood)	Study period: Trends	Secondary data on	
		• Emergence of plantations (e.g. rubber,	applicable after 1930s to	settlements & land use	
		tea) by in-migrants from land	present	patterns	
		alienation/appropriation of tribe's land			
		& government sponsored programs	Survey period: circa 1995		
		• Degradation from shifting cultivation,			
		cattle grazing by tribes as their land	Focus: Compare & contrast		
		holdings decreased	the land use & crop selection		
		• Implementation of land reform	patterns of aboriginals &		
		measures. Feudal landlords deforested	settlers		
		private forests quickly to timber traders			
		at throw away prices (circa 1960)			
C15	Forest $\rightarrow$	Fncroachment for agriculture	<b>Location:</b> 11 study sites in	Regional/local	Chauhan et
010	Cronland	Savara infactions	Debradun forest division	expertise	al 2003
	Shruhland/	• Severe infections	Demudum forest division	expertise	ul. 2005
	Barren	• Orbanization/population pressure	Study period: 1976-1999	Satellite manning &	
	Darren	• Climate change (shifts in vegetation	Study period. 1970-1999	aerial photographs	
		due to low moisture)	Focus: Sal forest	actial photographs	
			deforestation & degradation		
C16	Forest ->	• Availability of land for alcoring	Location: Balkhila sub-	Regional/local	Ioshi and
	Shruhland	• Availability of failu for clearing	watershed Carbwal	avpartisa	Gairola
	Sinuolanu	• <b>1 opograpny &amp; Altitude</b> : forests are	Himolovos	спротизе	(2004)
		relatively stable in complex terrains &	IIIIIaiayas	Field investigations	(2004)
		nigher altitudes (proxy of accessibility)	Study nonied, 1001-2001	r ieiu nivestigations	
		• Accessibility: Proximity to roads &	Study period: 1991-2001	Satallita manning P	
		settlements		Satellite mapping &	
			Focus: Forest degradation	topographic sheets	

			$(O_2 1_2 + z_1 D_1^2 + z_2 + z_2) \theta_1$		
			(Oak to Pine forests) &		
	-		Iragmentation		~
C17	Forest $\rightarrow$	• <b>Promotion of</b> tea <b>plantations</b> by	Location: Upper	Regional/local	Sharma et al.
	Cropland,	government to economically mitigate	Brahmaputra Valley, Assam	expertise	2012
	Shrubland,	the risk of agriculture			
	Bareland	• Large-scale deforestation by <b>plywood</b>	Study period: 1947-present	References supporting	
		industry (prior 1996 until ban was		arguments	
		imposed)	<b>Focus</b> : Deforestation & forest		
		• Population pressure (resident &	degradation		
		immigrant) driven by economic &			
		political factors			
		• Urbanization & industrialization			
		• Inability of industrial sector to combat			
		<b>unemployment</b> that shifted pressure on			
		forests			
		• Natural calamities (earthquakes) lead to			
		proliferation of landless people,			
		opening up forest land for settlements			
		• Low level of protection & monitoring			
		due to civil/society unrest (insurgency),			
		& interstate conflicts along state borders			
C18	Forest to	• Increasing population pressure	Location: Doodhganga	Regional/local	Showqi et al.
	Cropland,	• Increasing need for <b>timber</b>	watershed in Kashmir	expertise	2014
	Shrubland,	• Wood extraction for firewood	Himalayas		
	Bareland			Statistical model	
			<b>Study period</b> : 1991-2005	linking satellite	
				derived land cover	
				maps with ground data	
				on population &	
				stream discharge	
C19	Forest $\rightarrow$	• Wood extraction for subsistence (fuel	Location: Nainital district,	Survey of 233 HH	Reddy and
	Shrubland,	wood, fodder & auxiliary non-timber	Kumaoan Himalayas, Uttar	based on stratified	Chakravarty
	Bareland	forest products)	Pradesh	random sampling in	(1999)
		• High income dependence on forest by		12 villages within the	
		poor people with small land holdings	Survey period: 1996	district	

		• Weak institutional framework for common property rights/participatory resource management (involving local communities & public agencies)	<b>Focus</b> : Forest degradation; Impacts of restricting access to common property (forest products) on poverty		
C20	Forest → Cropland, Shrubland, Bareland	<ul> <li>Encroachment for agriculture</li> <li>Wood extraction for subsistence (fuel wood, fodder, &amp; small timber collection)</li> <li>Overgrazing</li> <li>Accessibility to reserve forest &amp; forest stocks (collection time/kg; family labor inputs)</li> <li>Large land owners substitute private fuels from farms (private trees) over forest fuel wood i.e. fragmentation of land holdings increase pressure on forests</li> <li>Poor housing construction: economically poor people (scheduled caste/tribes) use more wood for better heating in winter</li> <li>Weak institutions for natural resource management/forest protection</li> </ul>	Location: Villages in the vicinity of Saariska Tiger Reserve, Alwar District, Rajasthan Survey period: 1996-1997 Focus: Forest degradation; How people adapt to forest degradation to meet energy requirements	Survey of 180 HH in 4 villages (25% stratified random sampling) located at varying distance from the reserve	Heltberg et al. 2000
C21	Forest → Shrub/Barr en	Coal mining: major force of forest degradation in the study region.	Location: Part of Jaintia hills district, Meghalaya Study period: 1975-2001 Focus: Forest degradation Similar study of the same region was done by Prakash & Gupta (1998).	Field work & Regional knowledge Remote sensing	Sarma (2005) Also see Prakash and Gupta (1998)
022	101030 /	• I opulation pressure. mercased			1100 011

	Shrub/Barr en	<ul> <li>demand for natural resources in the region.</li> <li>Excessive grazing.</li> </ul>	<ul> <li>watershed in Lesser</li> <li>Himalayan Ranges &amp; Siwalik</li> <li>Hills, Nainital district,</li> <li>Kumaon.</li> <li>Study period: 1975-2005</li> <li>Focus: General changes in</li> <li>land dynamics</li> </ul>	surveys, observations, monitoring, and socioeconomic surveys. Remote sensing and topographical maps	(2008)
C23	Forest → Shrubland, Bareland	<ul> <li>Intentional forest-fires by forest dwellers:</li> <li>To ensure current vegetation forms on stand &amp; at landscape level remain consistent as they produce flow of specific ecosystem services (e.g. pasture, bodha grass used for roof thatching)</li> <li>Fuel-wood for domestic requirements (becomes available when trees are fully/partially burnt)</li> <li>To remove grass &amp; reduce thickness of shrubs to enable accessibility for fuel wood collection</li> </ul>	Location: Sadhukonda reserve forest, Chittoor district, Andhra Pradesh Survey period: Late 2000s Focus: Forest degradation through forest fires, or maintaining forests at degraded levels	Interview of 557 HH in 14 villages around the reserve forest	Schmerbeck et al. 2015
C24	Forest → Shrubland, Bareland	<ul> <li>Intentional forest-fires by forest dwellers:</li> <li>Fuel wood utilization</li> <li>Fire driven fodder for livestock</li> </ul>	Location: Kadava-kurichi Reserved Forest & neighboring villages, Dindigul district, Tamil Nadu Survey period: 1998-2000 Focus: Degraded dry forests	Survey of 473 HH from 19 villages that included both users & non-forest users from reserve forests	Schmerbeck (2003); Schmerbeck and Seeland (2007); Schmerbeck (2011)
C25	Forest → Shrubland, Bareland	<ul> <li>Intentional forest-fires by forest dwellers:</li> <li>Practice shifting cultivation by local tribes</li> </ul>	<b>Location</b> : Biligiri Rangaswamy Temple Wildlife sanctuary, Karnataka	21 interviews in 5 villages with individuals knowledgeable in the	Roveta RJ (2008)

		<ul> <li>Litter fire in dry season to prepare cultivation land (e.g. to fertilize land, clean understory vegetation for security &amp; mobility, control pests &amp; diseases, improve food &amp; fodder production)</li> <li>Collection of non-timber forest products after ban on shifting cultivation</li> </ul>	Survey period: Circa 2005 Focus: Forest degradation Note: See Saigal (1990) and Semwal et al. 2003 for similar case studies on fire-driven ecosystem services in Central India (fires to produce/collect tendu leaves used in cigarettes), & North-central India (fires to produce/collect a particular flower used in liquor)	use of fire in the area	
C26	Forest → Cropland, Plantations	<ul> <li>Availability of land for clearing (aspect)</li> <li>Topography &amp; Altitude: forests are relatively stable in complex terrains &amp; higher altitudes (proxy of accessibility)</li> <li>Industrialization &amp; economic growth</li> <li>Level of protection</li> </ul>	Location: Cauvery river basin, Karnataka Study period: 2001-2006	Regional/local expertise Statistical modeling of satellite land cover with explanatory factors	Lele et al. 2010
C27	Deforestati on & Forest Degradatio n	<ul> <li>Due to "scientific management" during 1977-1989.</li> <li>Grazing cattle's from surrounding villages.</li> <li>Hydro-electric dam construction</li> <li>Uncontrolled forest resource extraction by villagers for minor forest products.</li> </ul>	<ul> <li>Location: Pench Tiger Reserve (PTR), Nagpur District, Maharashtra.</li> <li>Study period: 1977-2007</li> <li>Focus: Effects of parks on forestry</li> </ul>	Field investigations Remote Sensing	Mondal and Southworth (2010)
C28	Deforestati on/Forest degradation	<ul> <li>Selective logging</li> <li>Clear felling for commercial plantations (coffee, tea, and cardamom)</li> <li>Forest fire</li> <li>Wildlife grazing</li> <li>Illegal invasion after clearance</li> </ul>	Location: Kalakad- Mundanthurai Tiger Reserve, South Western Ghats Study period: 1973-2004	Detailed remote sensing analysis Regional knowledge for interpretation of change	Giriraj et al. 2008

		• Soil erosion from human pressure	<b>Focus</b> : Forest cover change in biodiversity rich region		
C29	Forest → Shrub/Barr en	• Flouted mining regulations	Location: Part of Bokaro district, Jharkhand Study period: 1972-2006 Focus: Forest degradation	Detailed remote sensing analysis of changes in mining areas. No ground work.	Malaviya et al. 2010
C30	Forest → Shrub/Barr en/Wastela nd	<ul> <li>Mining</li> <li>Increasing employment in mining: Fire wood extraction for cooking purpose</li> <li>Wood for sharpening of the tools of mining.</li> </ul>	<ul> <li>Location: Bijola mining area, Rajasthan.</li> <li>Study period: 1971-1991</li> <li>Focus: Impacts of mining on human ecosystem</li> </ul>	Remote sensing Regional expertise	Chauhan (2010)
C31	Deforestati on	<ul> <li>Government policy that encouraged agricultural production (plantations), and migration from coastal to upland regions.</li> <li>Major investments in power and irrigation projects for reservoirs and infrastructures</li> </ul>	<ul> <li>Location: Kerala.</li> <li>Study period: Late 1950s to 2000.</li> <li>Focus: Impacts of growing population on land use patterns.</li> </ul>	Analysis of several case studies from the region.	Wolman et al. 2001
C32	Forest → Cropland	<ul> <li>Higher altitudes/favorable climatic conditions reflecting farmer's attitude to maximize income</li> <li>Moderate slopes where traditional terracing was feasible</li> <li>Encroachment due to weak institutional arrangements of forest protection &amp; lack of monitoring (especially community &amp; protected forests)</li> <li>Income dependence of local community on forests ensures forest</li> </ul>	<ul> <li>Location: Pranmati watershed, Uttar Pradesh, Central Himalayas</li> <li>Study period: 1963-1993</li> <li>Survey period: 1994-1995</li> <li>Focus: 60% agricultural expansion from community forest; 35% from protected forests; &amp; 5% from reserved</li> </ul>	Integration of data from existing maps, satellite mapping of land cover, participatory survey with villagers, & field measurements	Semwal et al. 2004; Sen et al. 2002

		protection (especially reserved forests)	forest. Locals generate		
			income from reserved forests		
			by pine resin extraction.		
C33	Forest $\rightarrow$	• Illegal felling from increased level of	Location: Sontipur district,	Regional/local	Srivastava et
	Cropland	insurgency	Assam	expertise	al. 2002
	1	• Force settlement of immigrant		1	
		population from neighboring countries for political reasons	<b>Study period</b> : 1994-2001	Ground investigations	
		-	Focus: Deforestation in	Satellite mapping of	
			reserve forests	land cover	
C34	$Forest \rightarrow$	Availability of land for clearing:	Location: Balkhila sub-	Regional/local	Joshi and
	Cropland	depends on slope, altitude & aspect	watershed, Garhwal	expertise	Gairola
		• <b>Population pressure</b> : presence of	Himalayas		(2004)
		settlements & proximity to roads		Field investigations	
			<b>Study period</b> : 1991-2001		
				Remote sensing of	
			<b>Focus</b> : Conversion of scrub &	land cover &	
			low-density pine forest to	topographic sheets	
			agriculture		
C35	Forest $\rightarrow$	• Literacy (proxy) explains over 50% of	Location: Kerala state	Regional/local	Sivaram
	Built-up,	deforestation in the region because		expertise	(2003)
	Cropland,	literacy rate is population centric &	<b>Study period</b> : 1961-1988		
	Plantations	leads to <b>development pressure</b> in		Statistical modeling	
		neighboring forests	Focus: Deforestation trends	using panel data on	
				district level statistics	
				on land use &	
<u> </u>				socioeconomic factors	J 11: (2010)
C36	Forest $\rightarrow$	• Industrial development of large-scale	Location: Sainj Valley in	Regional/local	Jolli (2012)
	Built-up	hydro-electric project	fragile mountain ecosystems	expertise	
			of the Western Himalayas		
			St. 1 1. 2005 2010	Satellite mapping of	
027			Study period: 2005-2010	land cover	
C37	Mangrove	Social/population pressure	Location: Bhitarkanika	Land cover/change	Ambastha et
	Forest	Agricultural reclamation	Conservation Area, Orissa	detection using	al. 2010
	degradation	• Wood extraction for subsistence (fuel	(East coast of India)	satellite imagery &	
		wood & construction materials)		GIS mapping	

		<ul> <li>Industrial development (construction of jetties, roads, defense structures, missile testing site, inshore fisheries by mechanized vessels)</li> <li>Lack of other alternative resources/accessibility to roads, waterways, &amp; markets</li> <li>Level of protection</li> </ul>	<ul> <li>Survey period: Circa 2006</li> <li>Focus: Mangrove forest degradation.</li> <li>Significance: The study area has second largest mangrove forests in India.</li> </ul>	Survey of 324 HH (10% sample) in 35 inhabited villages representing all community & economic groups	
C38	Forest → Water bodies	• Inundation from <b>dam construction</b> (Balimela dam & Upper Sileru dam)	Location: Malkangiri district, Orissa Study period: 1973-2004	Regional/local expertise Field investigations Satellite mapping of land cover & analysis of historical maps	Pattanaik et al. 2011
C39	Forest → Water bodies	Construction of large-scale dams over rivers to divert water for irrigation	<ul> <li>Location: 234 villages that will be submerged by Sardar Sarovar Project in Narmada valley covering Gujarat, Maharashtra, &amp; Madhya Pradesh</li> <li>Study period: 2000s</li> <li>Focus: Study explored the potential impacts of a government dam construction project underway. The dam was opened in 2006</li> </ul>	Survey of 5% of total HH in 6 tribal villages upstream of dam area & located at the border of Gujarat & Maharashtra Secondary data on socioeconomics	Singh and Mathur (2001)
C40	Forest → Water bodies	<ul> <li>Conversion to aquaculture farms for production of export-quality shrimps</li> <li>Increased demand for prawns: conversion increased by setback of Thailand aquaculture industry due to</li> </ul>	<b>Location</b> : Eight administrative units of Sundarban, the coastal zone of Bay of Bengal	Expertise on mangrove ecosystems/aquacultur e in India	Kumar (2012)

		<ul> <li>prawn disease outbreak</li> <li>Population/social pressure: encroachment into fragile areas</li> <li>Net relative land productivity: differentials in returns on forest relative to other land use</li> <li>Un-accounting of ecological services: mangroves are reported to have insignificant returns in official statistics,</li> </ul>	Study period: 1986-2004 Focus: Conversion of mangrove forests to hatcheries	Statistical modeling of satellite land cover & economic data	
		accounted			
C41	Forest → Water bodies	Single factor causation: Dam construction	Location: Three major river basins in the Indian Himalayas along which 292 dams are under-construction or proposed. Study period: 2000s Focus: Impacts of hydropower development on biological diversity	Remote sensing Topographical Maps Regional Expertise The study also provides future projections based on modeling.	Pandit and Grumbine (2012); Grumbine and Pandit (2013)
C42	Forest → Wasteland	<ul> <li>Single factor causation: Advancement of mining and industrial activity.</li> <li>Population pressure due to employment opportunities cause wood extraction for subsistence.</li> </ul>	Location: Talcher-Angul region, Orissa. Study period: 1973-2011 Focus: Focused on land degradation.	Remote sensing Topographical maps Ground validation using Google imageries.	Panwar et al. 2011

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 Table S11. Summary of studies (N=23) on forest area gain. Keywords in the third column are highlighted in bold for skimming.

Study #	LULCC	Key results	Study details	Methods	Ref
D1	Forest protection/r egrowth	<ul> <li>Legitimacy of ownership increases protection</li> <li>Degree of monitoring (preventing illegal harvesting, over harvesting &amp; over grazing)</li> <li>Forest/population users ratio: very high values imply ineffective forest management; very low values implies difficulties in coordination between users; nominal ratio is ideal</li> <li>Flexibility to adapt management practices in response to changing local social &amp; ecological needs. State/National level plans is not the best for all cases</li> </ul>	Location: Study sites spread throughout India & Nepal. Exact locations not provided. Study period: 1990s & 2000s	Synthesis of published local case studies at forest/community level (from International Forestry Resources & Institutions) Satellite mapping analysis at landscape level (few square km in each case study area)	Nagendra (2009)
D2	Forest protection/r egrowth	<ul> <li>Better institutional framework through below points:</li> <li>Clear specification of property rights reducing distress out- migration, being a part of labor force allocation decisions.</li> <li>Property rights depend on levels of asset ownership (cattle, participating in common property rights).</li> <li>Dependence on common land</li> <li>Level of education (exposure)</li> </ul>	Location: Udaipur district, Rajasthan Survey period: 1994 Focus: Reduction of deforestation & forest degradation followed by forest area gains	Survey of 32-35 randomly sampled HH each in 6 villages	Chopra and Gulati (1998)

D3	Forest	• Conflicts due to <b>non-transparency in</b>	Location: Forest tracts of	Focused group	Bhattach
	protection/r	allocation of resources & benefits	Central & Central-Eastern parts	discussions with 25	arya et al.
	egrowth	with involved communities	of India, covering the states of	Joint Forest	2010;
		Lack of/inefficient conflict resolution	Madhya Pradesh, Chhattisgarh,	Management	Rishi
		mechanism	Jharkhand, Orissa, & West	committees	(2007)
		Weak institutional arrangements	Bengal		
		• Inadequate peoples participation due	S/ 1 1000 2000	Survey of 10%	
		to the autonomy of state forest	Study period: 1990-2000	stratified HH with	
		department	Essays An avanuals of	predominately	
		• Poor collaboration between state forest	Focus: An example of	livelihood with forest	
		department & people	protection/regrowth through	hased earning & wage	
		Centralization of adaption	Joint Forest Management	incomes from non-	
		management practices that did not suit	Joint Porest Management	timber forest products	
		the changing local social & ecological		timber forest products	
D4	A CC 4 4	needs.	<b>X</b> (* 224 (11) (1 ( (11)	0 0.50/ 0.4.4	0.1
D4	Allorestati	• Compensatory afforestation by	Location: 234 villages that will	Survey of 5% of total	Singn
	on or	government for forest lost due to dam	be submerged by Sardar	HH in 6 tribal villages	and
	degraded	construction	Sarovar Project in Narmada	upstream of dam area	Mathur (2001)
	lorest	• Afforestation by replanting of uprooted	Valley Covering Gujarat,	$\alpha$	(2001)
		trees, or sub-standardized plantations	Bradash	Guiarat & Maharashtra	
		(lack the originality & gene pool of originally deforested forest)	Fladesh	Gujarat & Manarashtra	
		originary deforested forest)	Study period: 2000s	Secondary data on	
			<i>v</i> 1	socioeconomics	
			Focus: Study explored the		
			potential impacts of a		
			government dam construction		
			project underway. The dam was		
			opened in 2006		
D5	Forest	• Empowering people to involve in Joint	Location: Haryana, Uttar	Survey of 13 HH in	Lise
	protection/r	Forest Management	Pradesh, & Bihar	each of the 10 villages,	(2000)
	egrowth	• Institution building at the community		in three states with	
		level	Survey period: 1995-1996	different institutional	
		<ul> <li>Voluntary people participation,</li> </ul>		setting	
		depends on:	Focus: Cases of successful joint		

		<ul> <li>Social: Attitude towards &amp; benefit from village meetings</li> <li>Economic: dependence on forest, forest quality</li> <li>Peoples participation increases with education (exposure), &amp; women involvement</li> </ul>	forest management. Locals depend on forest for subsistence, hence their cooperation with state government is essential to forest management		
D6	Grassland/ Shrubland → Forest	<ul> <li>Passive force: Natural regeneration following land abandonment</li> <li>Active forces: Conscious community effort to restore forests due to religious &amp; cultural practices (e.g. nature worship)</li> <li>Formalization of land boundaries: Transition from shifting cultivation to settled agriculture</li> <li>Social awareness of forest loss &amp; importance of natural resource management</li> </ul>	<ul> <li>Location: Anthropogenic tropical forest-agricultural landscapes in two forest groves sites in Kodagu district of Western Ghats</li> <li>Study period: This study focus on century scale trends</li> <li>Focus: Reforestation in forest groves (grass-dominated open landscapes to forests). Groves are small fragments of tropical forests that have received community protection , whereas in buffer zones of groves, forest decreases from land-use change</li> </ul>	Field investigations Ecological surveys & historical literature	Bhagwat et al. 2014
D7	Grassland/ Fallow $\rightarrow$ Shrubland $\rightarrow$ Forest	• Localized succession & disturbance dynamics: such forests are being dominated by invasive species that can mature within 8-10 years	Location: Godwar, Rajasthan Study period: 1986-1999	Remote sensing of land cover; Historical data; HH production information; Discourse of planners & state experts	Robbins (2001)
D8	Forest protection/r egrowth	<ul> <li>Perception of environment favors peoples participation</li> <li>Quality of forest</li> <li>Personal benefits from forest</li> <li>Importance &amp; personal benefit from meetings</li> </ul>	Location: Paschim Medinipur district, West Bengal Survey period: 2011 Focus: Factors that affect	Survey of 150 HH belonging to 31 forest protection committees using stratified random sampling	Jana et al. 2014

		<ul> <li>Size of HH &amp; land holdings (income dependency on forests &amp; labor availability)</li> <li>Adequate institutional checks &amp; balances</li> </ul>	participation in Joint Forest Management. Study region is a backward district with 34% of population below poverty line.		
D9	Forest protection/r egrowth	<ul> <li>Women's involvement improves forest protection/management because:</li> <li>They are more dependent on forests for income (greater family income, who otherwise would be unemployed)</li> <li>More sensitive to the economically sustainable goals under participatory forestry</li> </ul>	Location: Bankura district, Bengal Survey period: 2005-2006 Focus: Example of the role of female forest protection committee on forest conservation projects	Survey of 431 HH in 8 villages both involved & not involved in Joint Forest Management	Das (2011)
D10	Forest expansion	• Warming climate causes an upslope shifting of existing forest species	Location: Dabka watershed, Kosi Basin in Lesser Himalayas, Nainital district, Uttarakhand Study period: 1990-2010 Focus: Expansion of mixed forests	Regional/local expertise Field investigations Satellite mapping of land cover	Rawat et al. 2012
D11	Forest protection/r egrowth	<ul> <li>Cessation of commercial logging by park management caused forest regrowth</li> <li>Reduction in the intensity of land use (in tea estates)</li> <li>Forest stability in complex topography &amp; low population areas</li> <li>Deforestation towards less- or unprotected peripheral areas due to illegal timber &amp; furniture markets by transportation networks (road &amp; rail)</li> </ul>	Location: Landscape surrounding the Mahananda Wildlife Sanctuary in Northern part of West Bengal Study period: 1990-2000	Regional/local expertise Discussions with local forest officials Satellite mapping of land cover	Nagendra et al. 2009

D12	Forest	• Declared as <b>protected area</b> .	Location: Pench Tiger Reserve	Field investigations	Mondal
	protection/r	• National-level conservation policy in	(PTR), Nagpur District,		and
	egrowth	1998 that banned felling of forests in	Maharashtra.	Remote Sensing & GIS	Southwor
		national parks.	<b>Study period</b> : 1977-2007		th (2010)
			Focus: Effects of parks on forestry		
D13	Forest Regrowth (Wasteland $\rightarrow$ Forests)	<ul> <li>Promotion of secondary &amp; tertiary sectors of economic activities in the region, rather than focusing on traditional primary resource development practices.</li> <li>Effective implementation of Joint Forest Management (JFM).</li> <li>Creation of village level participatory</li> </ul>	<ul> <li>Location: Six micro-watershed in Lesser Himalayan Ranges &amp; Siwalik Hills, Nainital district, Kumaon.</li> <li>Study period: 1975-2005</li> <li>Focus: General changes in land</li> </ul>	Intensive field surveys, observations, monitoring, and socioeconomic surveys. Remote sensing and topographical maps	Tiwari (2008)
		<ul> <li>institutions for management of village forests.</li> <li>Joint Restoration efforts by forest department and NGOs in collaboration with local community.</li> </ul>	dynamics		
D14	Forest protection/r egrowth	<ul> <li>Involvement of women and young people – they support forest conservation.</li> <li>Attitude: Dependence on forest products reduces their willingness to support state forest department with conserving forests.</li> <li>Forest conservation was independent of wealth. All people depended on forests.</li> </ul>	Location: Kalakad– Mundanthurai Tiger Reserve, Southern Western Ghats, India. Study period: Circa 2000 Focus: Attitudinal evaluation of conservation of the local villagers after implementation of a World Bank funded eco- development project.	Twelve villages located within 3 km from the reserve boundary 2–3% of the total households surveyed totaling to 677 surveys	Arjunan et al. 2006
D15	Forest protection/r egrowth	• Collaboration of village community with government forest agencies (Joint forestry management) with nested	<b>Location</b> : Study of three villages in the Aravalli Hills, South Haryana.	Perspective article by regional expert from the Forest department, Government of India.	Kumar (2013) Also see Bhattach

		<ul> <li>levels of authority is crucial to forest conservation.</li> <li>Ethnic homogeneity, small to medium size, autonomy in decision-making and high dependence on forests is not always the main factors to forest conservation.</li> <li>Attention to both short-term and long-term interests makes forest conservation more resilient.</li> </ul>	Study period: Not mentioned (roughly 2000s) Focus: Contrasting three villages that have full title over the common lands and forest, and have taken three radically different alternatives to conserve them.		arya et al. 2010; Dilip Kumar (2015); Prasad and Kant (2003)
D16	Forest protection/r egrowth	<ul> <li>Lack of interest by villagers: Due to low productivity, long gestation, uncertain incentives, lack of foreseeing long-term benefit.</li> <li>Improving institutional conditions of forestry workers is important for efficient JFM.</li> </ul>	<ul> <li>Location: Tamil Nadu, South India.</li> <li>Study period: 1997+</li> <li>Focus: Assessment of forester's perspective on infectiveness in implementing Joint Forest Management (JFM).</li> </ul>	Interview with 28 forest officers of varying rank s from 5 forest divisions with largest number of JFM villages and history of implementing them.	Matta et al. 2005
D17	Forest protection/r egrowth	<ul> <li>Attitude towards conservation: Depends on exposure, village-type, resident's occupation, caste, source of fuel for cooking, and educational qualifications, and size of land holdings.</li> <li>Clearly defining land ownership and rights on forest products improves villager's participation to forest conservation.</li> <li>Regular monitoring (self) of the impact of right-holders on forests.</li> </ul>	Location: Sontipur and Golaghat district, Assam, North-East India Survey period: 2010 Focus: Attitudinal analysis of forest dwellers and encroachers.	Survey of 190 households in four village forests (~10%) and two encroached villages. Combined with secondary data on geographical location and demographic pattern	Mahanta and Das (2013)
D18	Forest protection/r egrowth	<ul> <li>Suggested solutions:</li> <li>Granting forest rights to rural people, combined with government/external interventions</li> <li>Extension of technical facilities</li> </ul>	Location: Ranibundh forest range, Bankura district, West Bengal. Study period: 2000s	Survey of 50% of inhabitants in each of seven chosen villages within the study area.	Datta and Sarkar (2012)

Diff		<ul> <li>Alternative rural employment prospects</li> <li>Causes of failure until now based on which above solutions were suggested:</li> <li>Predominance of private agencies in marketing of non-timber forest productions</li> <li>Risk of eviction</li> <li>Loss of customary rights to access forest resources</li> <li>Low employment prospects</li> <li>Lack of training about proper management of non-timber forest</li> </ul>	Focus: Examined the causes of failure to conserve forests by local community despite their income dependence on non- timber forest products		
D19	Forest protection/r egrowth	<ul> <li>Low levels of village participation</li> <li>Increased risk of poaching and regional conflicts – due to trading loss of linkages with outside agencies and neighboring villages in favor of close linkage to forest department.</li> <li>Politicizing the administration of forest resource – creates inequality in forest rights among various economic sections of the community.</li> </ul>	<ul> <li>Location: Gadabanikilo village, Ranpur block, Nayagarh district, Orissa. The study block is an unit for the implementation of development activities by government.</li> <li>Survey period: 2005</li> <li>Focus: Examines the negative impacts of shift from self- organized community management to joint forestry management (pre- and post- 2002).</li> </ul>	Extensive knowledge of the village. Examination of government written records. 23 semi-structured interviews at community, NGO, forest federation, and forest department levels. 9 focus group discussions at the community level.	Nayak and Berkes (2008)
D20	Forest protection/r egrowth	<ul> <li>Lack of motivation of villagers to protect despite timber benefit sharing mechanism because:</li> <li>Incentive sharing was not performance-based</li> <li>Limited information provision</li> </ul>	Location: West Chhindwara Forest Division, Madhya Pradesh Survey period: 2010-11	Interviews with forest officers of varying ranks from five JFM committees (of 321 JFMs).	Ota et al. 2013

	7	<ul> <li>mechanism - forest officers were the way to motivate people.</li> <li>Exclusion of committee members from monitoring of harvested timber (related to forest officers perception and attitudes).</li> </ul>	Focus: Institutional design of timber benefit sharing mechanism under Joint Forest Management (JFM) and its effectiveness as incentive to forest protection	40 randomly selected household interviews in each of the 5 committees Secondary information e.g. working plan of forest division, micro- plans of management committees.	
D21	Forest protection/r egrowth	<ul> <li>Women participation in JFM enhances forest protection. Can be achieved through:         <ul> <li>Policies that empower women to establish their own management unit</li> <li>Involving them in policy planning and implementation</li> </ul> </li> <li>Dependence on forest resources (physical or monetary or both) enhances involvement in JFM</li> </ul>	<ul> <li>Location: Bankura district, West Bengal.</li> <li>Survey period: 2005-06.</li> <li>Focus: Crucial role of women participation in Joint Forest Management (JFM).</li> </ul>	431 household surveys from eight villages with and without JFM. The sampled villages consisted of three forest divisions of the district.	Das (2011)
D22	Forest protection/r egrowth	<ul> <li>Lack of clear proprietary rights</li> <li>Lack of appropriate conflict resolution mechanism</li> <li>Increasing autonomy by revenue and forest department: Lack of space for people's involvement</li> <li>Poor support system</li> <li>Centralization of working plans and siviculture decisions does not suit local conditions.</li> </ul>	<ul> <li>Location: Two Van Panchayats in Nainital and Almora district, Uttaranchal. Two forest protection committee's from Midnapore district, West Bengal.</li> <li>Survey period: Circa 2000</li> <li>Focus: On the declining effectiveness of institutions in protecting forests.</li> </ul>	Discussion with committee members (subset of 35 members) and villagers (~150 randomly sampled households in total).	Ballabh et al. 2002
D23	Forest protection/r egrowth	• Lack of appropriate policy to improve the <b>economic situation of forest fringe</b> <b>dwellers</b> and to reduce unsustainable	<b>Location:</b> Three south-western districts (Purulia, Bankura, and West Midnapore) of West	Combination of primary and secondary data.	Ghosal (2014)

extraction.	Bengal.		
Ineffectiveness of Joint Forest		Primary data included	
management (framed at national level)	Survey period: 2008-09	fieldwork in 11 villages	
to work at micro-level.		(sample size not	
• Lack of monitoring at ground-level.	Focus: Effect of policies that	mentioned as only	
• Lack of involving women in forest	promote systematic and	qualitative discussion	
management committees due to social	sustainable narvesting of non-	was provided). Includes	
taboos (tribal region). Women have	conservation	afficers and villagers	
more knowledge of the forest	conservation.	officers, and vinagers.	
surrounding as they are the prime		Secondary data	
products		included government	
products.		reports, newspaper	
		reports, research	
		reports, and journal	
		articles.	

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**Table S12.** Hypothesized socioeconomic and biophysical factors (or their proxies) included in our analysis. The "Reference" column corresponds to individual case studies in our synthesis (see "Study #" in Tables S8-S11) based on which we grounded our hypothesis. See Table S15 for more detailed description of the variables that appears in the figures (showing standardized regression coefficients) presented in the results section.

Broad clusters Explanatory factor Rationale	Reference
FarmAverage farm sizeEvidence suggests two contrasting effects:	A2, A3, A6,
Characteristics	A7, A8, A9,
Larger farm size is positively related to fallow land as cre	edits, labor, A11, A12,
and water become limiting factors to expansion.	A14; B1, B5;
	C1, C6, C7,
Small farm size is positively related to fallow land beca	ause of low   C8, C10, C11,
productivity (uneconomical to mechanize).	C12, C14,
	C15, C19,
There exist contrasting evidence to show both positive an	nd negative   C20; D8, D17
relation between farm size and forest encroachm	ient, when
productivity of farms are low.	
Land Tenancy (Percent of Higher land tenancy is expected to be negatively asso	ciated with A3, A13; B2,
fallow land, because it increases land access and prov	/ides stable B5; C14
livelihood to the poor.	
Demographic Total human population density Higher population pressure is expected to be negatively	associated A12; B12;
factors with fallow land due to increased land requirements.	C3, C6, C8,
Higher population pressure is expected to be positively	associated C14, C15,
with forest loss due to increased demand for natural resou	$\frac{1}{1}$
	$C_{22}, C_{28}, C_{22}, C_{24}$
	C33, C34, C27, C40
	$C_{37}, C_{40}, C_{42}, D_{11}$
Average number of bonds nor Uligher family size is expected to be positively esseciated	C42, D11
Average number of neads per figher family size is expected to be positively associated household (urban $\pm$ rural)	with forest   C20, D8
$\begin{bmatrix} 1000 \text{ seriord} (010 \text{ an} + 101 \text{ ar}) \\ 1000 \text{ seriord} (010 \text{ ar}) \ 1000 \text{ seriord} (010 \text{ ar}) \ 1000 \text{ seriord} (010 \text{ ar}) \ 1000  serio$	degradation
(e.g. Touger) even from distant focations. Forest loss/	able vield
Urban population density Evidence suggests two contrasting officers:	

	Proportion of urban population		B5; C2, C15,
	1 1 1	Higher urban pressure is expected to be positively related to fallow	C17, C34
		land as it can bring new income opportunities, some of which can	
		degrade land over time (e.g. brick kilns).	
		Higher urban pressure is expected to be negatively related to fallow	
		land as it increases farmer's exposure to new technology and	
		knowledge, cost advantage of transportation, adoption of high-	
		yielding varieties, their quick sales and demand.	
		Higher urban pressure is expected to be positively related to forest	
		loss due to increased demand for forest products (e.g. furniture s).	40 A11 D5
	Properties of female negative	Evidence suggests that male out-migration (for better income jobs	A9, A11; D5, $D0 D14$
	Proportion of female population	migration of malos increases the workload of females resulting in loss	$D^{9}, D^{14}, D^{21}$
		efficient land management due to multi-tasking (on/off field	$D_{21}, D_{23}$
		activities) and lack of confidence and opportunity for long outdoor	
		activities Nonetheless their participation and contribution to	
		resource conservation has always been predominant throughout the	
		country. Overall, we expect higher proportion of female population	
		to be positively related to fallow land.	
		Higher proportion of female population is expected to be negatively	
		related to forest loss because they are more dependent on forest for	
		income (i.e. it generates greater family income, who otherwise	
		would be unemployed). Dependency of forests generally makes	
		them more sensitive to forest protection.	
Labor force	Working population density	Higher proportion of unemployed population (1 minus proportion of	C9, C10, C14,
	Proportion of employed	working population) is expected to be positively related to forest	CI7, C42; D6,
	population	loss because it increases the economic dependence on forests and	D8, D18
	Marginal workers density	also increased availability/affordability of alternate energy sources	
	Proportion of marginal workers		
		Higher proportion of marginal workers (<6 months/vr employed) is	
		expected to be positively related to forest loss because they typically	
		depend more on forests for income.	

Total cultivators density Marginal cultivators density	A cultivator is a person (family worker/single worker/employer) involved in cultivation of land owned or held from government or held from private	A1, A2, A6, A7, A8, A9,
Proportion of marginal	persons or institutions for payment in money, kind or share of crop. A	A10, A11,
cultivators	person who worked in another person's land for wages in cash, kind	A13; B2, B12
Total agricultural laborers	or share is an agricultural/wage laborer.	
density		
Marginal agricultural laborers density	We expect lower cultivators per unit farm area to be positively associated with fallow land due to family labor shortage (typically	
Proportion of marginal	due to migration of males to urban areas for off-farm jobs due to risk	
agricultural laborers	aversion attitude of farmers). Family labor shortage also provides	
Female cultivators density	less incentive to invest in soil-water management, furthering	
Proportion of female cultivators		
Female marginal agricultural	We expect lower wage laborers per unit farm area to be positively	
laborers density	associated with fallow land because labor shortage tends to increase	
Male marginal cultivators	wage rates which increase the cost of cultivation keeping land fallow.	
density		
Proportion of male marginal		
cultivators	We accounted for imperfect labor markets by including gender-wise	
Male main agricultural laborers	variables. We also broadly accounted for income differences by	
density	splitting the variables as main and marginal workers. Marginal	
Proportion of main female	workers are those who had not worked for the major part of the	
cultivators	reference period (i.e. less than 6 months).	
Proportion of main male		
agricultural laborers	We expected higher proportion of marginal workers and wage	
Proportion of marginal female	laborers will increase the pressure on forests, due to higher	
agricultural laborers	economic dependence on forests.	
Density of community workers	Community workers include presence of governmental or non-	A17; B6, B7,
	governmental organization (NGO) that typically provides technical	B9, B13, B14;
	assistance and incentives (e.g. fertilizers) to agriculture. They also	D15, D18
	help with forest restoration efforts in collaboration with forest	
	department and local communities, among others.	
	We expect higher density of community workers to be negatively	
	associated with fallow land and forest loss, and positively associated	
	with forest gain.	

	Density of forestry workers	Forestry workers are people employed by forest department either on contract-basis or full-time employment. They are mainly involved in maintenance of forest, roads, wild life protection/census, wildlife watch, fire observation, manning of forest watch towers, interface with tourism, and extraction of grasses for army or other national use. We expect forestry workers to be negatively associated with forest area loss and positively to forest area gain as they are a proxy for level of protection.	D13
	Industrial & Construction workers density	We expect industrial and construction workers density to be positively associated to forest loss due to two reasons. First, the variable is a proxy for the intensity of forest conversion to built-up areas. Second, the worker density is also a proxy for the pressure exerted on forests due to their dependence on forest for domestic purposes.	C2, C4, C11, C17, C26, C27, C31, C36, C37, C38, C39, C41, C42; D3
	Mining/Quarrying worker density	We expect mining and quarrying worker density to be positively associated with forest loss due to direct forest conversions for the mining/quarrying activity and indirectly due to dependence of the worker community on forests for domestic purposes.	A15, A16, A20; B3; C2, C8, C21, C29, C30, C42
Level of Education	Illiterate population densityProportion of literate populationFemale literate populationdensityProportion of female populationthat is literateNumber of educational facilities	Evidence suggests two contrasting effects: Higher education level and exposure is negatively associated with fallow land because farmers make better investment decision, better adapt to new technology, and soil-water conservation. Higher education level and exposure is positively associated with	A6, A11; B1, B4, B5, B10, B13, B16; C35; D2, D5, D8, D9, D14, D16, D17, D18
Exposure	Access to information	fallow land because the literates tend to prefer off-farm jobs that provide higher and more stable income. The off-farm job typically is through urban migration (in which case causes family labor shortage converting land fallow) or by converting the farm land for other high-income purposes (e.g. brick kilns to serve near-by markets). With higher education levels farmers also perceive higher returns to investment on land. The effect of this perception on fallow land is unclear because. With higher perceived returns famers tend to use	A9, A10, A11; B1, B4, B5, B10, B12, B13, B16; D2, D5, D6, D8, D9, D14, D16, D17, D18, D20

Irrigation	Droportion of oronland irrigated	the land more effectively reducing fallow land. Concurrently, higher perceived returns tend to reduce their chances of meeting the expected returns that can lead to abandoning the land for better income opportunities. Higher education level and exposure is expected to be negatively associated with forest loss (or positively to forest gain) because the population is more aware of the long-term benefits of forest protection. Some studies have contrastingly suggested that higher literacy rates increase the pressure on neighboring forests to meet development needs furthering forest loss.	<u> </u>
Infrastructure	Proportion of cropland irrigated Proportion of area irrigated by government canal Proportion of area irrigated by private canal Proportion of area irrigated by well without electricity Proportion of area irrigated by well with electricity Proportion of area irrigated by tube well without electricity Proportion of area irrigated by tube well with electricity Proportion of area irrigated by tube well with electricity Proportion of area irrigated by tanks Proportion of area irrigated by rivers Proportion of area irrigated by lakes Proportion of crop area irrigated by other means Availability of well irrigation with electricity	We expect extension of irrigation facility to be negatively associated with fallow land in small farms. In Agro-Ecological Zones with large farms with low productivity, we expect irrigation extension to increase fallow land as it typically leads to directing more concentrated efforts on the irrigated area at the expense of other areas (in large). Along the same lines, some studies have also suggested that improvement (shift) in irrigation facility (from less stable to more stable and reliable irrigation source) also can increase fallow land due to concentrated efforts in small areas. We expect extension of irrigation facility to be negatively associated with forest loss, as it reduces the economic dependence of farmers on neighboring forests. At the same time, extension of irrigation infrastructure (e.g. dam construction) often leads to inundation of forest areas that can lead to forest loss. In such cases, we also tested for a cascading effect where government does compensatory afforestation (forest gain) by planting the uprooted trees or forest plantations in neighboring regions.	A1, A2, A3, A4, A5, A6, A9, A13, A14, A17; B2, B3, B5, B6, B7, B8, B9, B10, B11, B14, B15, B16; C8, C11, C12, C20, C38, C39, C41; D3

	irrigation with electricity		
	Availability of irrigation facility		
	Availability of well irrigation		
	without electricity		
	Availability of tube well		
	irrigation without electricity		
	Availability of tank irrigation		
Infrastructure	Availability of power supply for	We expect provision of electricity supply for domestic purpose to be	C1, C6, C7,
(Electricity)	domestic purpose	negatively associated with forest loss as it will reduce the	C8, C9, C10,
		dependence on forest for firewood and building materials (especially	C11, C12,
		during cold seasons).	C14, C18,
			C20, C23,
			C30, C37
	Availability of power supply for	We expect provision of electricity supply for domestic purpose to be	A21
	agriculture	negatively associated with fallow land as it allows use of modern	
		equipment's for agriculture. The reliability (erratic) of power source	
		is also an important factor that we do not account for due to lack of	
		data.	
Poverty	Average income per capita	We expect lower income per capita to increase fallow land as it	A2, A4, A6,
Indicators		reduces the ability to invest in land owing to capital-intensive nature	A8, A9, A11;
		for adoption modern outputs (acquire assets and equipment's), and	B1, B2, B4,
		to cope with agricultural crisis.	B5; C1, C3,
			C6, C7, C8,
		We included scheduled caste and scheduled tribe populations as they	C9, C10, C12,
		are economically weaker sections of the community.	C13, C14,
			C18, C19,
		Evidence suggests two contrasting effects:	C20, C23,
			C24, C25,
		Lower per capita income are positively associated with forest loss as	C32, C37
	Tribal population density	they are dependent on forest for livelihood and subsistence (when	A9, A11,
	Backward caste population	extraction exceeds sustainable yields), conditional of lack of other	A12, A18;
	(scheduled caste + tribe) density	alternatives for livelihood.	B1, B2, B5;
	Proportion of tribal population		C14, C20,
	Proportion of backward caste	Lower per capita income is negatively associated with forest loss for	C23, C4, C24,
	population	two reasons. First, they tend to protect forests because forests are	C25, C32,
		important for their livelihood (attitude). Tribal population (proxy for	C37; D17

		low income groups) is culturally linked to forests and they are typically motivated by state forest department to jointly manage forest through protection, restoration of degraded forest, and enrichment plantations.	
Accessibility (Navigation & Irrigation source)	Availability of market facility in the village/ Market functioning frequency Distance to nearest town Availability of communication facility (e.g. bus, train) Approach to pucca road Approach to pucca road Approach to kachcha road Approach to foot path Approach to river Approach to canal Approach to waterways	Evidence suggests two contrasting effects: (rural infrastructure) Improved accessibility is negatively associated with fallow land as it improves the capital investment capacity of the household, exposure to use of new technology and knowledge, provides cost advantage of transportation of high value crops, their quick sales, and increased demand. Improved accessibility is positively associated with fallow land as it creases rural employment diversification due to new income opportunities (e.g. brick production, dairy industry) that can lead to conversion of cropland to fallow land among others. Based on case-study evidence, we expect improved connectivity to be positively associated with forest loss due to increasing demand	A8, A9, A10, A15, A16, A19, A21; B3, B5, B6, B12; C1, C6, C9, C15, C16, C34, C37, C40; D11
Critical support services	Number of agricultural credit societies/institutions Distance to nearest agricultural credit society/institution	Overall, we expect access to agricultural credit society to be negatively associated with fallow land as it drives the capital availability to invest in farming. However, the access to capital depends on asset base of the farmer, so may not benefit all farmers equally. We expect access to veterinary medical facilities to be negatively	A2, A11, A14, A17, A21; B1, B5; C8; A8, A11, A21
Income dependency: Binary	facilities Building/mining Materials	<ul> <li>associated with fallow land as it reduces oxen/livestock mortality rate, an key component of non-mechanized agriculture in India.</li> <li>We expect extraction of building (e.g. mud for bricks) and mining (e.g. coal) materials will be positively associated to forest loss and fallow land due to direct land conversions to conduct the activity</li> </ul>	A15, A19, A20; C2, C17, C21, C29
variables coded to indicate primary	Dairy/cattle/leather Wool/Woolen Blankets Poultry	We expect that livestock-based activities will be positively associated with forest loss due to increased chances of overgrazing and fodder collection.	C30, C42 C8, C12

occupations of	Coffee Production	We tested if villages dependent on specific plantations are prone to	C28, C31
each village.	Tea production	forest loss due to legal land conversions or illegal land encroachments.	C14, C17, C28
	Coconut Production		C31
	Rubber production		C14
	Forestry-related Products	We tested if villages dependent on forestry products for primary income are prone to forest loss due to over-extraction above sustainable yields. Forestry products includes but not limited to extraction of tendu leafs, making agarbathis/incense sticks, baskets match sticks, brooms, beedi/cigarettes, paper products, and gutka.	C7, C9, C10, C11, C13, C19, C23, C25, C27, C28
	Making of Wooden	We expect a positive association between wood furniture/timber	C6, C17, C18
	Manufacturing of wooden	We expect a positive association between villages making wooden	<u>C1</u>
	agricultural implements	agricultural implements and forest loss	CI
	Prawn harvesting	We tested if forest loss is positively associated to prawn harvesting, specifically due to conversion of mangrove forests to aquaculture farms.	C40
	80+ other binary-coded variables to capture the other common primary occupations in India relevant to the three land- cover conversions investigated in this analysis.	Example: We included several crops (including Arecanut, Cotton, Rice, Wheat, Sugarcane, Bajra, Jowar, Pulse, Maize, Pigeon Peas, and Groundnut) to test if any crop-specific village occupation is prone to more forest encroachment.	-
Climate	Average seasonal temperature; Four variables: T <sub>winter (avg)</sub> , T <sub>pre-</sub> monsoon (avg), T <sub>sw</sub> monsoon (avg), T <sub>post-</sub> monsoon (avg). Average seasonal precipitation;	See Table S14 for an explanation of these variables. Both temperature and rainfall are important factors influencing crop cover. Therefore, we tested for key seasonal variables to account for the impacts of climate change and variability on cropland	A2, A4; B3
	Four variables: $P_{winter (avg)}$ , $P_{pre-monsoon (avg)}$ , $P_{sw monsoon (avg)}$ , $P_{post-monsoon (avg)}$ . Squared average seasonal temperature; Four variables: $T^2_{winter (avg)}$ , $T^2_{monsoon (a$	conversion (to and from fallow land). We use squared variables to test for nonlinear effects of climate on cropland conversions. As we estimated LULCC from decadal Landsat imageries, they capture only the decadal changes in LULCC, and can mask within- decade variations in LULCC Especially inter-annual climate	

	$\frac{\text{monsoon (avg), } \text{T}^2_{\text{post-monsoon (avg)}}}{\text{Squared average seasonal}}$ $\frac{\text{precipitation; Four variables:}}{\text{P}^2_{\text{winter (avg), }} \text{P}^2_{\text{pre-monsoon (avg), }} \text{P}^2_{\text{sw}}$ $\frac{\text{monsoon (avg), } \text{P}^2_{\text{post-monsoon (avg)}}}{\text{Variation in average seasonal}}$	variability causes fluctuations in fallow land. However, the conversions between cropland and fallow inferred between decadal end points will reflect only the climate-effect of end point. Therefore, we used 1994-1995 climate data to study cropland $\leftrightarrow$ fallow conversions during 1985-1995 decade, and 2004-2005 climate data to study cropland $\leftrightarrow$ fallow conversions during 1995-	A2, A4, A7,
	precipitation relative to long- term normal; Four variables: Pwinter (rel. to normal), Ppre-monsoon (rel. to normal), Psw monsoon (rel. to normal), Ppost-monsoon (rel. to normal)	2005 decade.	A8, A9
	Standard deviation in daily seasonal precipitation; Four variables: P <sub>winter (std)</sub> , P <sub>pre-monsoon</sub> (std), P <sub>sw monsoon (std)</sub> , P <sub>post-monsoon</sub> (std)		A2, A4, A7, A8, A9
	Annual Mean Temperature Mean Temperature of Wettest Quarter	We used key static bioclimatic variables to test for the effects of passive forces (e.g. climate-driven shifts in natural vegetation) on forest area change.	C3, C7, C9, C14, C15, C18, C19,
	Mean Temperature of Warmest Quarter Mean Temperature of Coldest Quarter	We also hypothesized that colder and wetter regions (especially those with low village infrastructure) will be positively associated with forest loss due to increased dependence on forests for fuel	C20, C23, C24, C32, C37; D7, D10
	Max Temperature of Warmest Month Min Temperature of Coldest Month	wood and building materials.	
	Annual Precipitation Precipitation of Wettest Quarter		
	Precipitation of Coldest Quarter Precipitation of Driest Quarter Precipitation of Warmest		
Edaphic	Quarter Cation Exchange Canacity	These factors determine the soil quality and extent of various forms	AQ A12. B2
Condition	(proxy for soil fertility)	of soil degradation, both crucial in determining crop and forest	B11, B16; C3,

	Soil erosion	productivity.	C8, C14, C15,
	Soil depth		C20, C23,
	Soil salinity	We expect increased level of soil degradation to be positively	C28, C32,
	Slope	related to fallow land and forest area loss. The degradation may	C34; D7
	Soil flooding	result from both natural and anthropogenic factors (e.g. sub-	
	_	standardized construction of roads, overuse of fertilizers).	
Elevation	Terrain	We expect positive association between topography and fallow land	B12; C16,
		because cropland management is expected to be more suited to flat	C26, C31,
		terrain or in areas with gentle slopes, also conducive to the	C32, C34; D7,
		construction of houses and infrastructure.	D11
		We consist a section section between the section of france large	
		we expect negative association between topography and forest loss	
Dalitiaal	State dumming/State fixed	See detailed explanation provided in Text S1 (sub section titled	A 9. C6 C9
Political	state dummes/state-fixed	"Betianel explanation provided in Text S1 (sub-section titled	$A\delta, C\delta, C\delta, C\delta, C11, C12$
boundaries	enects	Kationale for inclusion of state-fixed effects ).	C11, C12, C12
			C13, C17, C17, C19, C20
			C19, C20, C31, C33; D1
			$C_{31}, C_{33}, D_{1}, D_{1}, D_{2}$
			$D_2, D_3, D_3, D_3, D_6, D_8, D_{13}$
			$D_{0}, D_{0}, D_{15}, D_{15}, D_{16}$
			D13, D10, D17 D18
			D19, D20
			D22 D23
Other variables	Protected areas	We expect negative association between protected areas and forest	C1, C6, C8,
		loss (and positively associated with forest gain). Protected areas	C9, C10, C11,
		however render no information of the level of protection. We	C12, C13,
		included "density of forestry workers" as a variable proxy for the	C17, C20,
		level of protection and control.	C23, C24,
			C25, C26,
			C27, C28,
			C32, C37,
			C40; D1,
			D11, D12
	Mined-out areas	We expect positive association between mined-out areas and forest	
		area gain due to compensatory afforestation efforts by government	

	on mined-out areas to compensate for the forest loss. We expected a weak association because case studies suggest that the forest plantations do not survive over time in many cases, due to high levels of soil degradation caused from mining.	
Sacred groves	We expect positive association between sacred forest groves and forest area gain as the forests are typically protected by local community due to cultural and religious beliefs (however, not in all cases).	D6
Cattle density	We expect positive association between cattle density and forest loss because cattle's increases the animal pressure on forest from grazing. Due to data limitations, a static map (circa 2006) was used for land-conversion analysis during both decades. This is a minor concession as we are interested in the spatial variations of independent variables in our spatial regression model, rather than their absolute magnitude (note that we standardized all explanatory variables using <i>z</i> -score prior to running the regressions).	C1, C3, C5, C6, C7, C8, C9, C10, C11, C14, C19, C20, C22, C24, C25, C27
**Table S13**. Summary of various input datasets used in this study. Geographic Information System abbreviated as GIS. All are nationaldatabase, and brought to 1km x 1km resolution for this analysis.

Data Code	Data	Spatial Resolution	Temporal Resolution/Coverage	Remarks
Survey (Tab	ular data)		0	
1	Over 200 socioeconomic variables from 'primary census abstract' and 'village directory'	Village level (~630,000 units)	Two census years (1991, 2001)	Tabular data: <u>http://censusindia.gov.in/</u> This study ties the tabular data to village- level administrative boundaries.
Remote Sen	sing (all data included ground su	rveys for interpretation an	d validation)	
2	Land cover (Landsat MSS/TM)	30m (1:50k scale)	1985, 1995, 2005	Roy et al. 2015a
3	Sacred groves	23.5m (1:50k scale)	Circa 2005	Roy et al. 2015b; Satellite data interpreted using field maps from each state of India. Sacred groves typically are preserved over many decades.
4	Soil	Resampled to 1km in GIS (1:250k scale)	Static (1980-2001)	National Bureau of Soil Survey and Land Use Planning, India (NBSS&LUP 2002)
5	Mined-out areas	30m (1:50k scale)	1985, 1995, 2005	Roy et al. 2015, a; Variable culled from Level III classification.
6	Terrain	30m	Circa 2000	SRTM (http://glcf.umd.edu/data/srtm/); Gap filled using 10m CARTOSAT data sampled to 90m (Muralikrishnan et al. 2013).
7	Protected areas	Resampled to 1km (1:50k scale)	Two periods (1990s and 2000s)	Combination of data from natural features, GPS points, and inputs from states of India (WII 2012).
Climate data	a (Gridded from observations)			
8	Rainfall	Resampled to 1km in GIS (0.25°x0.25° lat/long)	Daily (1901-2014)	Pai et al. 2014; Gridded from ~7000 rain gauge stations (most comprehensive for India)
9	Temperature	Resampled to 1km in GIS (0.25°x0.25° lat/long)	Daily 1961-2007	APHRODITE (NCARS 2014)
10	Bioclimatic variables	1km x 1km	Static (contemporary conditions)	19 variables from Hijmans et al. 2005

Ancillary	y data			
11	Village/town boundaries of	wn boundaries of Resampled to 1km in GIS		Hard-copy maps: Survey of India
	India	(1:10k scale or finer)	2001	Digital version: This study
12	State boundaries of India	Resampled to 1km in GIS	Two census years	Official data from Survey of India
12	(for state dummies)	(1:250k scale)	(1991 and 2001)	Official data from Survey of fildia.
12	Agro-Ecological Zones of	Resampled to 1km in GIS	Static (contemporary	Caibbing and Mandal (2000)
15	India	(1:250k scale)	conditions)	Gajoniye and Mandal (2000)
14	Cattle population density	1km x 1km	Static (circa 2006)	Robinson et al. 2014

WII 2012, Data provided by Wildlife Institute of India (2012).

Downloaded from http://glcf.umd.edu/data/srtm/

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**Table S14.** List of model simulations with key model parameters. The 'elastic-net' parameters correspond to the 'best model' i.e. the model from *k*-fold cross-validation with maximum percent of null deviance explained (see Fig. S28 for an example). The hypothesized explanatory variables are provided in Table S12.

Land-cover	Spatial	Time	Buffer	Elastic-net Buffer parameters		Results		Sim
conversion	estimation	Time	area (km)	α	$Log(\lambda)$	Figure	% (of null) deviance explained	#
	National	1985-1995	6.6	0.8	-8.38	Fig. S5a	0.85	1
	Inational	1995-2005	7.3	0.9	-9.72	Fig. 3a	0.90	2
	4572	1985-1995	1.5	0.6	-10.08	Fig. S7a	0.95	3
Cropland $\rightarrow$ Fallow	ALL2	1995-2005	7.4	0.8	-4.59	Fig. S7b	0.97	4
land	4578	1985-1995	5.2	0.7	-6.80	Fig. S8a	0.86	5
	ALZo	1995-2005	1.2	0.6	-5.82	Fig. S8b	0.75	6
	AEZ4	1985-1995	2.6	0.7	-9.60	Fig. S9	0.75	7
	AEZ5	1995-2005	4.6	0.6	-7.02	Fig. S10	0.78	8
	National	1985-1995	7.7	0.8	-10.37	Fig. S5b	0.94	9
		1995-2005	7.7	0.7	-5.27	Fig. 3b	0.78	10
Fallow land $\rightarrow$	AEZ8	1985-1995	1.7	0.8	-5.85	Fig. S11a	0.93	11
Cropland		1995-2005	7.8	0.6	-5.02	Fig. S11b	0.78	12
	AEZ6	1985-1995	7.7	0.8	-4.95	Fig. S12	0.96	13
	AEZ2	1995-2005	4.1	0.7	-10.09	Fig. S13	0.80	14
	National	1985-1995	6.5	0.8	-8.06	Fig. S15	0.76	15
	Inational	1995-2005	1.6	0.8	-7.85	Fig. 4a	0.78	16
Gross forest grag	45710	1985-1995	3.7	0.8	-5.01	Fig. S17a	0.88	17
loss	ALZIU	1995-2005	7.4	0.7	-9.97	Fig. S17b	0.84	18
1055	AE712	1985-1995	6.4	0.6	-8.35	Fig. S18a	0.80	19
	ALL12	1995-2005	7.7	0.7	-6.46	Fig.S18b	0.93	20
	AEZ5	1995-2005	0.8	0.6	-6.81	Fig. S20	0.86	21

	AEZ19	1985-1995	5.4	0.9	-7.59	Fig. S19	0.87	22
	AEZ14	1995-2005	6.9	0.8	-4.53	Fig. S21	0.91	23
	National	1985-1995	7.5	0.8	-5.68	Fig. S22	0.79	24
	Inational	1995-2005	5.6	0.9	-4.86	Fig. 4b	0.91	25
	AEZ5	1985-1995	6.2	0.6	-5.29	Fig. S23a	0.91	26
Gross forest area		1995-2005	6.1	0.7	-5.68	Fig. S23b	0.81	27
gain	AEZ12	1985-1995	3.4	0.6	-6.92	Fig. S24	0.86	28
	AEZ14	1985-1995	5.4	0.9	-4.35	0.37 (low	explanatory power)	29
	AEZ4	1995-2005	1.8	0.6	-10.32	Fig. S25	0.72	30
	AEZ10	1995-2005	5.8	0.8	-10.61	Fig. S26	0.85	31

**Table S15**. Description of all variables that features in at least one of the figures in the results section presenting standardized regression coefficients.

Broad category	Explanatory variable name	Description	Variable type	Data source code
Farm Characteristics	Average farm size	The average size of a farm in each 1km grid cell	Continuous	1
Demographic factors	Proportion of female population	Ratio of female population to total (male + female) population	Continuous	1
Labor force	Proportion of marginal agricultural laborers	Ratio of marginal agricultural laborers to total (main + marginal) agricultural laborers. Includes both male and female agricultural laborers. A person who worked in another person's land for wages in cash, kind or share was regarded as an agricultural laborer. Such a person had no risk in cultivation but merely worked in another person's land for wages. An agricultural laborer had no right of lease or contract on land on which he worked.	Continuous	1
	Proportion of female cultivators	Ratio of female cultivators to total (male + female) cultivators. Includes both main (>6 months employment) and marginal (<6 months employment) cultivators. A cultivator if a person engaged either as employer, single worker or family worker in cultivation of land owned or held from government of held from private persons or institutions for payment in money, kind or share of crop. Cultivation included supervision or direction of cultivation. A person who had given out his/her land to another person or persons for cultivation or money, kind or share of crop and who did not even supervise or direct cultivation of land was not treated as cultivator.	Continuous	1
	Proportion of main female cultivators	Ratio of main female cultivators to total (main + marginal) female cultivators. Terminology: Marginal (<6 months employment), and Main (>6 months employment).	Continuous	1

	Male main agricultural laborers density	Density male agricultural laborers in each grid cell who has worked for more than 6 months a year (main worker).	Continuous	1
	Male marginal cultivators density	Density male cultivators in each grid cell who has worked for less than 6 months a year (marginal worker).	Continuous	1
	Density of community workers	Density of community workers in each grid cell. Community workers can include health workers, presence of governmental or non-governmental organization (NGO) that helps with restoration efforts in collaboration with forest department and local communities, among others. They also provide technical assistance in agriculture.	Continuous	1
	Density of forestry workers	Density of forestry workers in each grid cell. People who are employed by forest department either on contract-basis or full-time employment. They are mainly involved in maintenance of forest, roads, wild life protection/census, wildlife watch, fire observation, manning of forest watch towers, interface with tourism, and extraction of grasses for army or other national use. They also collect tendu leafs and other minor forest produce (for government agencies). Lastly, they are also involved in working plan preparation.	Continuous	1
	Industrial & Construction worker density	Density of workers employed in manufacturing and, building and construction industry in each grid cell.	Continuous	1
	Mining/Quarrying worker density	Density of workers employed in mining or quarrying activities in each grid cell.	Continuous	1
Level of Education	Illiterate population density	Density of illiterate population (> 6 years old) in each grid cell. Includes both male and female.	Continuous	1
	Proportion of literate population	Ratio of literate population to total (literate + illiterate) population above 6 years old. Includes both male and female.	Continuous	1
	Access to information	Binary variable indicating access to newspaper, magazines, etc.	Categorical	1

Irrigation Infrastructure	Proportion of cropland irrigated	Ratio of irrigated cropland area to total cropland area. Includes all types of irrigation.	Continuous	1
	Proportion of area irrigated by govt canal	Ratio of cropland area irrigated by government canal to total irrigated area.	Continuous	1
	Proportion of area irrigated by well w/o elec	Ratio of cropland area irrigated by well without electricity to total irrigated area.	Continuous	1
	Proportion of area irrigated by well w elec	Ratio of cropland area irrigated by well with electricity to total irrigated area.	Continuous	1
	Proportion of area irrigated by tube well w/o elec	Ratio of cropland area irrigated by tube well without electricity to total irrigated area.	Continuous	1
	Proportion of area irrigated by tube well w elec	Ratio of cropland area irrigated by tube well with electricity to total irrigated area.	Continuous	1
	Availability of well irrigation w elec	Binary variable indicating the presence or absence of well irrigation with electricity.	Categorical	1
	Availability of tube well irrigation w elec	Binary variable indicating the presence or absence of tube well irrigation with electricity.	Categorical	1
	Availability of irrigation facility	Binary variable indicating the presence or absence of any type of irrigation facility.	Categorical	1
Infrastructure (Electricity)	Availability of power supply for domestic purpose	Binary variable indicating the presence or absence of power supply for domestic use.	Categorical	1
	Availability of power supply for agriculture	Binary variable indicating the presence or absence of power supply for agriculture use.	Categorical	1
Poverty Indicators	Average income per capita	Average income of a person in the village. Includes unemployed population in the person count.	Continuous	1
	Proportion of tribal population	Ratio of scheduled tribe population to total population.	Continuous	1
	Proportion of backward caste population	Ratio of (scheduled tribe + schedule caste) population to total population. Both scheduled caste and tribes are considered lower caste and economically weak.	Continuous	1
Accessibility (Navigation and/or	Distance to town	Distance to nearest town. There are about 5160 towns in India and ~630,000 villages.	Continuous	1
Irrigation source)	Market frequency	Dummy-coded variable indicating the frequency of markets (daily, weekly, fortnightly, no markets). The category "no markets" is used as reference	Ordinal	1

		category.		
	Availability of communication facility	Binary variable indicating availability of bus stand train stations, etc. that connects to nearby towns.	Categorical	1
	Approach to <i>pucca</i> road	Binary variable indicating access to <i>pucca</i> road in the village. <i>Pucca</i> road is a black-topped road (all weather roads).	Categorical	1
	Approach to river	Binary variable indicating access to river in the village.	Categorical	1
	Approach to canal	Binary variable indicating access to government canal in the village.	Categorical	1
Critical support services	Number of agricultural credit societies	Total number of agricultural credit societies present in the village.	Continuous	1
Income	Distance to nearest agricultural credit society	Distance to the nearest agricultural credit society (the nearest credit society may be in the same village or nearby villages).	Continuous	1
Income dependency (Primary Occupation)	Occupation (Building/mining Materials)	Binary variable indicating if building (includes brick kilns, marble, granite) and/or mining (primarily stone and coal) as one of the top three primary occupations of the village.	Categorical	1
	Occupation (Dairy/cattle/leather)	Binary variable indicating if primary occupation related to raising livestock such as cattle, goat, and sheep and making related products including dairy (milk, ghee), and leather.	Categorical	1
	Occupation (Coffee)	Binary variable indicating if primary occupation is coffee growing.	Categorical	1
	Occupation (Coconut Production)	Binary variable indicating if primary occupation is coconut plantations.	Categorical	1
	Occupation (Forestry Products)	Binary variable indicating if primary occupation is related to forestry products. Forestry products includes but not limited to extraction of tendu leafs, making agarbathis/incense sticks, baskets match sticks, brooms, beedi/cigarettes, paper products, and gutka.	Categorical	1
	Occupation (Wool/Woolen Blankets)	Binary variable indicating if primary occupation is related to sheep shearing or making woolen	Categorical	1

		products.		
	Occupation (Bamboo Products)	Binary variable indicating if primary occupation is related to bamboo production and related products.	Categorical	1
	Occupation (Wooden Furniture/timber)	Binary variable indicating if primary occupation is related to making wooden furnitures (e.g. chair) or timber extraction.	Categorical	1
	Occupation (Wooden Agricultural implements)	Binary variable indicating if primary occupation is related to manufacturing wooden equipment's used for agriculture including axe, carts, and wooden ploughs.	Categorical	1
Climate	T <sub>sw monsoon (avg)</sub>	Temperature in each grid cell averaged over the southwest monsoon season (June-September; 'Rabi' season).	Continuous	9
	T <sub>post-monsoon (avg)</sub>	Temperature in each grid cell averaged over the post-monsoon season (October-November).	Continuous	9
	T <sup>2</sup> post-monsoon (avg)	Squared temperature in each grid cell averaged over the post-monsoon season. Squared values are used to account for non-linear response of climate to crop cover.	Continuous	9
	P <sub>sw monsoon (avg)</sub>	Precipitation in each grid cell averaged over the southwest monsoon season (June-September; 'Rabi' season).	Continuous	8
	P <sub>post-monsoon (avg)</sub>	Precipitation in each grid cell averaged over the post-monsoon season (October-November).	Continuous	8
	P <sup>2</sup> <sub>sw monsoon (avg)</sub>	Squared precipitation in each grid cell averaged over the southwest monsoon season. Squared values are used to account for non-linear response of climate to crop cover.	Continuous	8
	P <sub>sw monsoon</sub> (rel to normal)	Average southwest monsoon precipitation over a given time period relative (minus) to the long-term (1961-2005) average.	Continuous	8
	Ppost-monsoon (rel to normal)	Average post monsoon precipitation over a given time period relative (minus) to the long-term (1961- 2005) average.		8
	P <sub>sw monsoon (std)</sub>	Standard deviation in daily precipitation amounts in	Continuous	8

		each grid cell during the southwest monsoon		
		season.		
		Standard deviation in daily precipitation amounts in		0
	P <sub>post-monsoon (std)</sub>	each grid cell during the post-monsoon monsoon	Continuous	8
		season.		
	Annual Mean Temperature	Variable name is self-explanatory.	Continuous	10
	Mean Temperature of Wettest Quarter	Variable name is self-explanatory.	Continuous	10
	Annual Precipitation	Variable name is self-explanatory.	Continuous	10
	Precipitation of Wettest Month	Variable name is self-explanatory.	Continuous	10
	Precipitation of Wettest Quarter	Variable name is self-explanatory.	Continuous	10
	Precipitation of Coldest Quarter	Variable name is self-explanatory.	Continuous	10
Edaphic Condition		Dummy-coded variable indicating the level of		
1		cation exchange capacity (CEE) of soil (<10		
		cmol/kg, 10-20 cmol/kg, 20-30 cmol/kg, and >30		4
	Cation Exchange Capacity	cmol/kg). The level '<10 cmol/kg' is used as	Ordinal	4
	reference category. CEE is an indicator of soil			
		fertility.		
	Dummy-coded variable indicating the level of soil		Ordinal	
	erosion (None to very slight Slight Moderate			
	Soil erosion Severe Very severe) The level 'None to very			4
		slight' is used as reference category.		
		Dummy-coded variable indicating the level of soil		
		denth (Extremely shallow Very shallow Shallow		
	Soil Depth	oil Denth Moderately Shallow Moderately Deen Deen Very		4
	. T	deep). The level 'Extremely shallow' is used as		
		reference category.		
		Dummy-coded variable indicating the level of soil		
		salinity (Negligible (1-2 dS/m), Slight (2-4 dS/m),		
		Moderate (4-8 dS/m). Moderate strong (8-15	o 1. 1	
	Soil salinity	dS/m). Strong (15-25 $dS/m$ ). Severe (25-50 $dS/m$ ).	Ordinal	4
	Verv severe (>50 dS/m)). The level 'Negligible (1-			
		2  dS/m)' is used as reference category.		
		Dummy-coded variable indicating the slope level		
	Slope	(Level to nearly level (0-1%). Very gentle (1-3%)	Ordinal	4
	L	Gentle (3-8%), Moderate (8-15%), Moderately		

		steep (15-30%), Steep (30-50%), Very steep (>50%)). The level 'Level to nearly level (0-1%)' is used as reference category.		
Terrain/Slope	Terrain	Average elevation of land in each grid cell.	Continuous	6
Political boundaries	State dummies	Dummy-coded variable indicating which state administrative division each grid cell belongs to. We fix one state as the reference category relative (abbreviation: 'rel') to which we evaluate the effects. The following state names have been abbreviated as follows: MP (Madhya Pradesh), AP (Andhra Pradesh), UP (Uttar Pradesh).	Categorical	12
Other variables	Protected areas	Protected areas (national parks and wildlife sanctuaries) in each grid cell.	Continuous	7
	Mined-out areas	Area that was mined in each grid cell.	Continuous	5
	Sacred groves	Area of sacred forest groves in each grid cell.	Continuous	3
	Cattle density	Density of cattle population in each grid cell.	Continuous	14

**Table S16**. AEZ-wise correlation analysis (2001 census) between average farm size and soil degradation (against two measures: erosion and salinization). All three variables: average farm size, soil erosion and soil salinity were ordered qualitative variables (Table S13). We used the Goodman-Kruskal gamma (g) statistic to measure the association between the ordinal variables. |g| = 1 indicates perfect correlation between the two variables. Negative g implies that soil erosion/salinization increases as farm size decreases, and vice-versa for positive gamma. All results reported at 95% confidence level from a one-sided test. Insignificant relationships are marked by hifen ('-'). We did the analysis at 1km x 1km resolution, and each grid was weighed by the average number of farms (grid crop area/average farm size). AEZs with negative 'g' are regions where smaller farms are prone to higher soil degradation.

	Soil Erosion			Soil Salinity			
Spatial Domain	Goodman- Kruskal's gamma statistic (g)	Goodman- Kruskal's asymptotic standard error	z-value	Goodman- Kruskal's gamma statistic (g)	Goodman- Kruskal's asymptotic standard error	z-value	
AEZ1	0.4733	0.0064	73.7361	-	-	-	
AEZ2	0.4164	0.0002	2265.7084	0.4212	0.0003	1643.0032	
AEZ3	0.0490	0.0005	106.5499	0.3622	0.0040	90.5521	
AEZ4	-0.2270	0.0001	-1603.4043	0.0123	0.0001	84.7032	
AEZ5	-0.1425	0.0002	-669.1248	0.1045	0.0003	301.3107	
AEZ6	-0.1961	0.0001	-1505.6446	-	-	-	
AEZ7	-0.0020	0.0002	-9.5359	-0.3545	0.0015	-240.0589	
AEZ8	-0.0489	0.0002	-257.1600	-0.0803	0.0009	-89.5300	
AEZ9	-0.1135	0.0004	-320.2257	-0.1488	0.0002	-658.9260	
AEZ10	-0.1492	0.0002	-687.7616	0.1708	0.0003	489.6151	
AEZ11	-0.0051	0.0004	-14.4712	-0.3381	0.0020	-170.2594	
AEZ12	0.1987	0.0001	1460.7426	0.8416	0.0002	4183.3123	
AEZ13	0.2800	0.0003	942.9212	-0.4896	0.0003	-1666.8518	
AEZ14	-0.1759	0.0004	-424.8247	-0.3320	0.0018	-186.3924	
AEZ15	0.2161	0.0003	755.6250	0.7128	0.0005	1471.9808	
AEZ16	-0.0473	0.0014	-34.8690	0.8520	0.0018	473.0399	
AEZ17	-0.2706	0.0008	-347.7385	-0.1786	0.0003	-137.8766	
AEZ18	0.0810	0.0003	265.2050	0.5389	0.0006	916.3367	
AEZ19	0.0155	0.0004	42.8529	0.4518	0.0009	501.7510	

#### Figures

**Figure S1.** Visualization of the seamless village-level boundaries of India prepared for this study. We manually digitized the village boundaries from publicly available village boundary maps (hard copy maps obtained from respective district headquarters) of each state of India. We first complied the digitized village maps to state level, and then combined from state to national level. The national boundaries are from Openstreet map (<u>opendatacommons.org</u>) distributed under the Open Database License (<u>http://www.openstreetmap.org/copyright</u>).



**Figure. S2.** Four examples illustrating the granularity of census data (2001). The adjacent panels compare the same data at two different spatial levels of disaggregation: village/town (used in our study), and taluka level (the subsequent administrative hierarchy). Four examples shown: (a) Total population data, (b) Total agricultural laborers density, (c) Mining/Quarrying worker density, and (d) Irrigation by tube well with electricity.

#### Sub-plot (a)



# Sub-plot (b)









# Sub-plot (d)



Figure S3. Location of the 102 studies included in our synthesis.

**Figure S4.** Extension to Fig. 2 showing the regional breakdown of key land-cover conversions. The bar plots show the percent contribution by Agro-Ecological Zones (AEZ) to the national total (national total shown besides bar; units in  $x1000 \text{ km}^2$ /decade and rounded to nearest integer). See Table S6 for definition of AEZs.



**Figure. S5.** Similar to Fig. 3 but for 1985-1995. Factors most prominent in explaining: (a) conversion of cropland to fallow land at national scale (1985-1995), and (b) vice-versa conversion i.e. conversion of fallow land to cropland at national scale (1985-1995).



**Figure. S6.** The series of 14 figures show changes (between 1991 and 2001) in spatial patterns of farm labor calculated from village level census database (~630,000 political units). The detailed breakdown is shown for broad interpretation of results, and also because this is the first time Indian farm labor force is being visualized at this level of spatial and demographic detail. The data is broken down by three broad components: (1) by gender, (2) agricultural laborers and cultivators, and (3) main and marginal laborers. Agricultural laborers are people who worked in another person's land for wages in cash, kind or share. Such a person had no risk in cultivation but merely worked in another person's land for wages. An agricultural laborer had no right of lease or contract on land on which he worked. Cultivators are people who was engaged either as employer, single worker or family worker in cultivation of land owned or held from government of held from private persons or institutions for payment in money, kind or share of crop. Cultivation included supervision or direction of cultivation. Main workers were those who had worked for the major part of the year preceding the date of enumeration i.e., those who were engaged in any economically productive activity for 183 days (or six months) or more during the year. Marginal workers were those who worked any time at all in the year preceding the enumeration but did not work for a major part of the year, i.e., those who worked for less than 183 days (or six months).

Sub-plot captions are as follows: (a) Total agricultural laborers density, (b) Total cultivators density, (c) Main agricultural laborers density (male + female), (d) Marginal agricultural laborers density (male + female), (e) Male main agricultural laborers density, (f) Female main agricultural laborers density, (g) Male marginal agricultural laborers density, (h) Female marginal agricultural laborers density, (i) Main cultivators density, (j) Marginal cultivators density, (k) Male main cultivators density, (l) Female main cultivators density, (m) Male marginal cultivators density, and (n) Female marginal cultivators density. Positive values indicate an increase in population from 1991 to 2001, and negative values indicate the vice-versa.

## **Fig. S6 (Continued).** Sub-plots (a) and (b)



## **Fig. S6 (Continued).** Sub-plots (c) and (d)



## **Fig. S6 (Continued).** Sub-plots (e) and (f)



## Fig. S6 (Continued). Sub-plots (g) and (h)



# Fig. S6 (Continued). Sub-plots (i) and (j)



# Fig. S6 (Continued). Sub-plots (k) and (l)



## Fig. S6 (Continued). Sub-plots (m) and (n)





Figure. S7. Similar to Fig. 3a but for AEZ2 (a) 1985-1995, and (b) 1995-2005.



Figure. S8. Similar to Fig. 3a but for AEZ8 (a) 1985-1995, and (b) 1995-2005.

Figure. S9. Similar to Fig. 3a but for AEZ4 and for 1985-1995.



Figure. S10. Similar to Fig. 3a but for AEZ5 and for 1995-2005.





#### Figure. S11. Similar to Fig. 3b but for AEZ8 (a) 1985-1995, and (b) 1995-2005.

#### Figure. S12. Similar to Fig. 3b but for AEZ6 and for 1985-1995.



Figure. S13. Similar to Fig. 3b but for AEZ2 and for 1995-2005.


**Figure. S14.** The series of figures show changes (between 1991 and 2001) in spatial patterns of six key types of irrigation calculated from village level census database (~630,000 political units). (a) Irrigation by well with electricity, (b) Irrigation by well without electricity, (c) Irrigation by tube well with electricity, (d) Irrigation by tube well without electricity, (e) Irrigation by government canal, (f) Irrigation by tanks. The boundaries (black solid lines) show the state boundaries according to 2001 census. The dotted black lines indicate AEZ boundaries.

#### Sub-plots (a) and (b)



# Fig. S14 (continued) Sub-plots (c) and (d)



# Fig. S14 (continued) Sub-plots (e) and (f)



Figure. S15. Similar to Fig. 4a but for 1985-1995.



**Figure. S16.** Grouping of the total forest area loss by type of land protection (y-axis). Analysis is at national scale: (a) 1985-1995, and (b) 1995-2005. Central red line show mean estimate; error bars (blue) show 5% to 95% confidence interval from bootstrap resampling with 500 replicates (to account for spatial autocorrelation); whiskers show 25% to 75% confidence interval.



## Figure. S17. Similar to Fig. 4a but for AEZ10 (a) 1985-1995, and (b) 1995-2005.



## Figure. S18. Similar to Fig. 4a but for AEZ12 (a) 1985-1995, and (b) 1995-2005.



GROSS FOREST AREA LOSS (AEZ:19, YEAR:1985-1995) H Average farm size H Occupation (Wooden Agricultural Implements) + Precipitation of Coldest Quarter H Density of forestry workers н Proportion of cropland irrigated H Occupation (Coffee) Cation Exchange Capacity (20-30 cmol/kg) н Occupation (Coconut Production) H Mining/Quarrying worker density Protected areas -2 0 2 Standardized coefficients 6 4 -6

Figure. S19. Similar to Fig. 4a but for AEZ19 and for 1985-1995.

Figure. S20. Similar to Fig. 4a but for AEZ5 and for 1995-2005.



Figure. S21. Similar to Fig. 4a but for AEZ14 and for 1995-2005.



Figure. S22. Similar to Fig. 4b but for 1985-1995.





Figure. S23. Similar to Fig. 4b but for AEZ5 (a) 1985-1995, and (b) 1995-2005.

Figure. S24. Similar to Fig. 4b but for AEZ12 and for 1985-1995.



Figure. S25. Similar to Fig. 4b but for AEZ4 and for 1995-2005.



Figure. S26. Similar to Fig. 4b but for AEZ10 and for 1995-2005.



**Figure S27.** State-wise analysis of forest area diverted to built-up land and water bodies analyzed through Landsat data: (a) 1985-1995, and (b) 1995-2005. For 1985-1995 analysis, state boundaries correspond to 1991 census. For 1995-2005 analysis, state boundaries correspond to 2001 census. For Gujarat state, we have excluded changes within "Rann of Kutch" region which is predominantly covered by shallow wetland which submerges in water during the rainy season and becomes dry during other seasons. Therefore, the changes in water bodies areas observed in this region in our data is because of using Landsat scenes from different season across the decadal maps, thereby representing natural seasonal variations rather than human land conversions.

#### Sub-plot (a)







**Figure S28**. Example cross-validation curve from Simulation 1 (Table S14) for  $\alpha = 0.4$  (one value of the *10*-fold cross-validation). The sequence of  $\lambda$ 's used in the fits is shown in bottom x-axis. The top x-axis show the number of non-zero variables. The type of loss used for validation is binomial deviance (y-axis). The blue points are the mean cross-validated error along the grid of  $\lambda$  sequence; the grey error bars show the upper and lower standard deviation curves along the  $\lambda$  sequence. Two selected  $\lambda$ 's are indicated by the vertical yellow dotted lines. The left-most line is the value of  $\lambda$  that gives minimum mean cross-validated error ('best model' corresponding to the chosen value of  $\alpha$ ). The other  $\lambda$  is the most regularized model such that error is within one standard error of the minimum.

