## Toward "optimal" integration of terrestrial biosphere models

Christopher R. Schwalm<sup>1,2†</sup>, Deborah N. Huntinzger<sup>2,3</sup>, Joshua B. Fisher<sup>4</sup>, Anna M. Michalak<sup>5</sup>, Kevin Bowman<sup>4</sup>, Philippe Cias<sup>6</sup>, Robert Cook<sup>7</sup>, Bassil El-Masri<sup>8</sup>, Daniel Hayes<sup>7</sup>, Maoyi Huang<sup>9</sup>, Akihiko Ito<sup>10</sup>, Atul Jain<sup>8</sup>, Anthony W. King<sup>7</sup>, Huimin Lei<sup>11</sup>, Junjie Liu<sup>4</sup>, Chaoqun Lu<sup>12</sup>, Jiafu Mao<sup>7</sup>, Shushi Peng<sup>13</sup>, Benjamin Poulter<sup>14</sup>, Daniel Ricciuto<sup>7</sup>, Kevin Schaefer<sup>15</sup>, Xiaoying Shi<sup>7</sup>, Bo Tao<sup>13</sup>, Hanqin Tian<sup>12</sup>, Weile Wang<sup>16</sup>, Yaxing Wei<sup>7</sup>, Jia Yang<sup>12</sup>, Ning Zeng<sup>17</sup>

- Center for Ecosystem Science and Society, Northern Arizona University, Flagstaff, AZ 86011, USA
- [2] School of Earth Sciences and Environmental Sustainability, Northern Arizona University, Flagstaff, AZ 86011, USA
- [3] Department of Civil Engineering, Construction Management, and Environmental Engineering, Northern Arizona University, Flagstaff, AZ 86011, USA
- [4] Jet Propulsion Laboratory, California Institute of Technology, 4800 Oak Grove Dr., Pasadena, CA 91109, USA
- [5] Department of Global Ecology, Carnegie Institution for Science, Stanford, CA 94305, USA
- [6] Laboratoire des Sciences du Climat et de l'Environnement, LSCE, 91191 Gif sur Yvette, France
- [7] Environmental Sciences Division, Oak Ridge National Laboratory, Oak Ridge, TN 37831, USA
- [8] Department of Atmospheric Sciences, University of Illinois, Urbana, IL 61801, USA
- [9] Atmospheric Sciences and Global Change Division, Pacific Northwest National Laboratory, Richland, WA 99354, USA
- [10] National Institute for Environmental Studies, Tsukuba, Ibaraki 305-8506, Japan
- [11] Department of Hydraulic Engineering, Tsinghua University, Beijing 100084, China
- [12] International Center for Climate and Global Change Research and School of Forestry and Wildlife Sciences, Auburn University, Auburn, AL 36849, USA
- [13] Laboratoire des Sciences du Climat et de l'Environnement, LSCE, 91191 Gif sur Yvette, France
- [14] Department of Ecology, Montana State University, Bozeman, MT 59717, USA
- [15] National Snow and Ice Data Center, Boulder, CO 80309, USA
- [16] Ames Research Center, National Aeronautics and Space Administration, Moffett Field, Mountain View, CA 94035, USA
- [17] Department of Atmospheric and Oceanic Science, University of Maryland, College Park, MD 20742, USA

† Corresponding author: (Tel: +1-928-523-8413, Fax: +1-928-523-7423, christopher.schwalm@nau.edu)

### 1 Abstract

2 Multi-model ensembles (MME) are commonplace in Earth system modeling. Here we perform 3 MME integration using a 10-member ensemble of terrestrial biosphere models (TBMs) from the 4 Multi-scale synthesis and Terrestrial Model Intercomparison Project (MsTMIP). We contrast 5 optimal (skill-based for present-day carbon cycling) versus naïve ("one model – one vote") 6 integration. MsTMIP optimal and naïve mean land sink strength estimates (-1.16 vs. -1.15 Pg C 7 per annum respectively) are statistically indistinguishable. This holds also for grid cell values 8 and extends to gross uptake, biomass, and net ecosystem productivity. TBM skill is similarly 9 indistinguishable. The added complexity of skill-based integration does not materially change 10 MME values. This suggests that carbon metabolism has predictability limits and/or that all 11 models and references are misspecified. Resolving this issue requires addressing specific 12 uncertainty types (initial conditions, structure, references) and a change in model development 13 paradigms currently dominant in the TBM community.

#### 14 **1. Introduction**

15 Multi-model ensembles (MME) are common in Earth system modeling and are routinely 16 generated for model intercomparison projects (MIPs), e.g., CMIP3 [Meehl et al., 2007], C4MIP 17 [Friedlingstein et al., 2006], CMIP5 [Taylor et al., 2012], and ISI-MIP [Warszawski et al., 2013]. 18 Two central challenges associated with MMEs are integration (how individual ensemble 19 members are combined into a single ensemble value) and interpretation (how MMEs inform our 20 understanding of Earth system processes and their uncertainties) [Annan & Hargreaves, 2010; 21 Christensen & Boberg, 2012; Knutti, 2010; Hacker et al., 2011; Stephenson et al., 2012; von 22 Storch & Zwiers, 2013; Zhao et al., 2013]. Integration methods range from "model democracy" 23 or "one model - one vote" where ensemble integration is the mean across all models [Zhao et al.,

24 2013] to linear combinations of ensemble members informed by model error [Eckel & Mass, 25 2005], degree of independence [Abramowitz & Gupta, 2008; Abramowitz 2010; Masson & 26 Knutti, 2011] or model skill, e.g., Bayesian model averaging [Raftery et al., 2005], reliability 27 ensemble averaging [Giorgi & Mearns, 2002], and "superensembles" [Stefanova & 28 Krishnamurti, 2002]. Regardless of approach, integrated ensembles typically show higher skill 29 than all or most of the ensemble members [Raftery et al., 2008] and are often used as the "best 30 estimate" in climate change assessments [IPCC 2007; IPCC 2010; IPCC 2013].

31

32 Ensemble methods may also be used to explore the uncertainty in model simulations that arises 33 from internal variability, boundary conditions, parameter values for a given model structure, or 34 structural uncertainty due to different model formulations [Fisher et al., 2014; Hawkins & 35 Sutton, 2009; Huntzinger et al., 2013; Knutti et al., 2010]. Uncertainty is typically quantified as 36 some measure of spread across the ensemble, e.g., standard deviation. An important 37 consideration here is whether the ensemble is broad enough to represent uncertainty [Annan et 38 al., 2011]. "Broadness" relates to how well the ensemble samples representations of a particular 39 process. As an example, an ensemble that does not represent sub-grid scale cloud formation or 40 the soil moisture-precipitation feedback will not directly inform uncertainty related to these 41 processes.

42

Traditionally, MME studies have focused primarily on the atmospheric component of Earth system models. This is related to the legacy of numerical weather prediction (NWP), which serves as the basis for the atmospheric component of climate models [Leonardo et al., 2014; Lynch, 2008], and where leveraging ensemble forecasts has a long tradition [e.g., Epstein, 1969].

47 In contrast, analyses of MME integration and interpretation have received significantly less 48 attention for terrestrial biosphere models (TBMs) -the land component of climate or Earth 49 despite several large-scale model intercomparison system modelsprojects. e.g., 50 Vegetation/Ecosystem Modeling and Analysis Project (VEMAP) [VEMAP, 1995], Potsdam 51 NPP MIP [Cramer et al., 1999], the North American Carbon Program (NACP) Interim Site 52 [Schwalm et al., 2010] and Regional Syntheses [Huntzinger et al., 2012], the Trends in Net 53 Land-Atmosphere Carbon Exchange (TRENDY) [Piao et al., 2013], and the Multi-scale 54 synthesis and Terrestrial Model Intercomparison Project (MsTMIP) [Huntzinger et al., 2013].

55

56 Apart from equal weighting, MME integration generally requires some basis (e.g., model skill, 57 error) to inform a linear combination of ensemble members. However, uncertainties or model 58 error are not routinely available for TBM outputs, e.g., perturbed-physics ensembles are rare 59 [e.g., Booth et al., 2012; Huntingford et al., 2009; Zaehle et al., 2005], and "truth" for TBMs, 60 especially at the coarse spatial resolutions that typify TBM output, is not well constrained. 61 Furthermore, total simulation duration for TBMs (years to centuries) is usually much longer than 62 for NWP (days to weeks), resulting in a longer validation cycle. Despite these ongoing challenges for TBM ensemble integration, there is a clear need to better compare TBMs to each 63 64 other and other independent estimates of land-atmosphere carbon dynamics to better constrain 65 the past and future evolution of the terrestrial carbon land sink.

66

In this study we develop a methodology that uses an MME to generate a "best estimate" of landatmosphere  $CO_2$  flux and its associated uncertainty. Our approach uses 10 state-of-the-art TBM simulations from a model intercomparison study with a prescribed simulation protocol [Huntzinger et al., 2013; Wei et al., 2014]. The principal goal of this study is to contrast the extent to which an "intelligent" skill-based integration differs from naïve integration. In the following section we describe the model ensemble and its integration with optimal weights derived using model-reference mismatch or benchmarking [Luo et al., 2012]. In section 3 we contrast the naïve case ("one model – one vote") with the optimal case. Lastly, in Section 4 we discuss the implications of our findings and suggestions for future research.

#### 76 2. Model Ensemble and Integration

77 The model ensemble is drawn from the Multi-scale synthesis and Terrestrial Model 78 Intercomparison Project [MsTMIP; Huntzinger et al., 2013]. MsTMIP uses a prescribed 79 simulation protocol to isolate structural differences in model output, with driving data, land 80 cover, and steady-state spin-up all standardized across models [Wei et al., 2014]. MsTMIP 81 global monthly model runs span a 110-year period (1901-2010) and use a semi-factorial set of 82 simulations where time-varying climate, CO<sub>2</sub> concentration, land cover, and nitrogen deposition 83 are sequentially "turned on" after steady-state is achieved [Huntzinger et al., 2013]. For this 84 study we use the simulation results from 10 TBMs (Table 1) released under MsTMIP Version 1 85 [http://nacp.ornl.gov/mstmipdata/mstmip simulation results global v1.jsp]. Here, simulations 86 have all factors enabled (MsTMIP simulation BG1). For the subset of models that do not include 87 a nitrogen cycle, SG3 runs (which exclude nitrogen deposition but are otherwise identical to 88 BG1) are used.

89

90 For model integration, i.e., combining ensemble members to a single integrated value, we 91 contrast two use cases: (i) the ensemble mean where each model is weighted equally (hereafter: 92 naïve case); and (ii) an optimal case where weights are derived using reliability ensemble averaging [REA; Giorgi & Mearns, 2002]. We apply these two use cases to four variables: net ecosystem exchange (NEE, i.e., land sink strength), gross primary productivity (GPP), vegetation biomass, and net ecosystem productivity (NEP). MsTMIP definitions for NEP and NEE are:  $NEP = GPP - R_h - R_a$  and  $NEE = R_h + R_a + E_{LUC} + P - GPP$ , respectively, where  $R_h$  is heterotrophic respiration,  $R_a$  autotrophic respiration,  $E_{LUC}$  emissions from anthropogenic activities (e.g., deforestation, shifting agriculture, biomass burning) that cause land use change [Le Quéré et al., 2013], and P is emissions due to harvested wood product decay.

100

The weights required for the optimal case are derived using REA. This method uses reference
data products and model-reference mismatch [Luo et al., 2012] as well as inter-model spread
[Giorgi & Mearns, 2002] to determine model reliability:

$$R_i = \prod_j f_j^{m_j} \quad [1]$$

where  $R_i$  is the model reliability factor for model *i* at a given land grid cell,  $f_j$  represents model 105 skill relative to reference factor j, and  $m_i$  is a weighting factor. The  $m_i$  exponent term gives the 106 107 relative importance of model skill for each reference factor *j* [Eum et al., 2012]. In this study, all  $m_j$  are initially assumed equal at unity and we calculate reference factors for gross uptake and 108 109 biomass. We note that while more directly observable quantities (e.g., evapotranspiration per 110 basin or the global residual carbon sink) are available we use gridded references to recovery the 111 spatial morphology of skill and reliability at the scale at which MsTMIP simulations are 112 executed.

113

For gross uptake we use the global GPP MPI-BGC product based on upscaled FLUXNET data [Beer et al., 2010; Jung et al., 2011]. GPP is the largest global carbon flux [Beer et al., 2010], the

116 dominant carbon input source for terrestrial ecosystems [Chapin et al., 2006], and is important in 117 model benchmarking as TBMs simulate carbon dynamics "downstream" of GPP, i.e., errors in 118 GPP propagate to errors in carbon stocks and other fluxes [Schaefer et al., 2012]. The MPI-BGC 119 GPP dataset is available monthly at 0.5° spatial resolution from 1982 to 2008 and is routinely 120 used in benchmarking [e.g., Anav et al., 2013; Piao et al., 2013]. While the MPI-BGC product 121 also includes NEE ( $-17.1 \pm 4.7$  Pg C per annum), it differs markedly from other estimates, e.g., -122  $2.6 \pm 0.8$  Pg C per annum from the Global Carbon Project [Le Quéré et al., 2013; 123 http://www.globalcarbonproject.org/]. This bias is also present in upscaled ecosystem respiration 124 and is related to processes not well-resolved [Jung et al., 2011] by FLUXNET (e.g., land use 125 change, fire emissions, post-disturbance recovery, export of carbon by biomass harvesting and 126 soil erosion [Regnier et al., 2013], and carbon emissions from reduced carbon species [Ciais et 127 al., 2008]).

128

129 The biomass reference is taken from the IPCC Tier-1 vegetation biomass product [Ruesch & 130 Gibbs, 2008]. This product is based on specific biomass (above and belowground) values for 124 131 carbon zones mapped using geospatial datasets of global land cover, continent, ecofloristic zone, 132 and forest age. On multi-decadal scales vegetation biomass contributes to net land-atmosphere 133 exchange of carbon [Houghton, 2005] and has direct implications for assessing forest 134 deforestation [Keith et al., 2009], especially reductions in emissions from deforestation and 135 forest degradation (REDD) in tropical forests [Gibbs et al., 2007]. This dataset is available for c. 136 2000 on a 10 minute global grid and is regridded using box averaging to 0.5° spatial resolution.

138 Using these two reference products, we derive, for each grid cell over the 1982-2008 period, 139 seven reference factors (Table S1) used to calculate  $R_i$ . These factors are bound by zero and unity, and quantify (i) bias in mean long-term GPP  $(f_{B,i})$ , (ii) bias in the standard deviation of 140 mean long-term GPP ( $f_{\sigma,i}$ ), (iii) convergence [Giorgi & Mearns, 2002] in simulated GPP ( $f_{C,i}$ ), 141 (iv) bias in GPP trend  $(f_{T,i})$ , (v) correlation in GPP  $(f_{\rho,i})$ , (vi) bias in biomass  $(f_{\beta,i})$ , and (vii) 142 convergence in simulated biomass  $(f_{\gamma,i})$ . The convergence factors address inter-model spread 143 144 whereby higher convergence indicates that simulation output is largely insensitive to TBM, i.e., a 145 robust signal is found across the majority of models [Giorgi & Mearns, 2002]. All reference factors (except  $f_{\rho,i}$ ) are based on normalizing uncertainty by the absolute difference between the 146 reference and simulation. Finally, all factors use well-established skill metrics from 147 148 intercomparison studies [e.g., Cadule et al., 2010; Exbrayat et al., 2013; Fisher et al., 2014; Luo 149 et al., 2012] and address both the distance between simulated and reference values as well as 150 their correlation and variability in time and space.

151

152 With each reference factor defined and equal importance Eq. [1] simplifies to:

153 
$$R_i = f_{B,i} \times f_{\sigma,i} \times f_{C,i} \times f_{T,i} \times f_{\rho,i} \times f_{\beta,i} \times f_{\gamma,i} \qquad [2]$$

154 These  $R_i$  values are then normalized to composite model reliability  $(\tilde{R}_i)$  for each model, i.e.,  $R_i$ 155 is scaled to sum to unity across all n models in the ensemble  $(\sum_{i=1}^n \tilde{R}_i = 1)$  for each grid cell. 156 These reliabilities,  $\tilde{R}_i$ , serve as optimal weights for MME integration:

157  $\tilde{F} = \sum_{i} \tilde{R}_{i} F_{i} \quad [3]$ 

where *F* is one of NEE, GPP, vegetation biomass, or NEP for model *i*, and  $\tilde{F}$ , optimallyintegrated *F*, is calculated for each vegetated grid cell, i.e., although  $R_i$  are derived using GPP and vegetation biomass they are used for all four variables. 161 To assess uncertainty of the optimal integration we generate 1000 bootstrap replicates by 162 randomly varying the relative importance of each reference factor  $m_j$  from zero (i.e., excluded 163 from reliability calculations) to seven (i.e., only factor considered). Uncertainty is given as either 164 a confidence bound (the 2.5<sup>th</sup> to 97.5<sup>th</sup> percentiles) or the standard deviation across all bootstrap 165 replicates where each represents an alternative, albeit plausible, optimal integration.

166 **3. Naïve vs. Optimal Cases** 

For global aggregates the naïve and optimal cases are indistinguishable despite strong spatial variability in composite model reliability (Figure S1) and individual reference factors (Figures S2-S11). Naïve case NEE is estimated as -1.15 vs. -1.16 Pg C per annum for the optimal case; values reference 1982-2008 means. This difference of -0.01 Pg C per annum is small (Figure 1) relative to the uncertainty of optimal integration (1 $\sigma$  across 1000 replicates: 0.09 Pg C per annum) and relative to interannual variability (1 $\sigma$  across 27 global annual values: 1.13 [naïve] vs. 1.02 [optimal] Pg C per annum).

174

For NEE the lack of significant difference occurs (i) despite variations in components included in
simulated NEE (Table 1), (ii) even though the reference flux GPP does not fully constrain NEE,
and (iii) despite smaller ranges in GPP and biomass compared to NEE (Table 1): GPP varies by a
factor of *c*. 2 (from 99 [ISAM] to 187 [GTEC] Pg C per annum) and biomass a factor of *c*. 2.5
(from 460 [ORCHIDEE-LSCE] to 1138 [BIOME-BGC] Gt C) whereas NEE ranges from +0.24
(a weak source; ISAM) to -3.63 (a strong sink; VISIT) Pg C per annum.

181

182 The lack of difference between naïve and optimal cases globally is supported by uniformly small 183 grid cell differences. The uncertainty of the optimal integration is greater than the difference between the cases for 84% of the vegetated land surface (Figure 1). Also, the spatial morphology of both cases shows a high degree of similarity without any region that skews the global integrals; only a weak tendency for slightly larger (albeit statistically insignificant) differences in tropical forests is present (Figure 2). This holds for composite model reliability as well as considering each reference factor singly (Figure S12).

189

In using TBM skill for GPP and biomass to estimate reliability for NEE we assume model skill is transitive, i.e., skill in the former is relevant for a model's ability to simulate the latter. As a test we evaluate integration differences for GPP and biomass as well. A result in contrast to NEE would violate this assumption. While there are larger magnitude differences between the optimal and naïve case for GPP (128 and 136 Pg C per annum for naïve and optimal respectively) and biomass (681 and 699 Gt C for naïve and optimal respectively), these differences are statistically insignificant relative to the uncertainty of the optimal case (Figure 1).

197

198 A key concern in the comparison of naïve and optimal values is the semantic differences in NEE 199 [Hayes et al., 2012]. While all TBMs adhere to the MsTMIP protocol not all TBMs are able to 200 simulate all components of NEE (Table 1). That is, if NEE is indistinguishable across naïve and 201 optimal integration this begs the question if the inclusion/exclusion of relevant NEE components 202 acts in a compensatory manner. Thus, as an additional check on the equivalence of naïve and 203 optimal cases we test the impact of variable NEE semantics directly using NEP. This test is 204 based on using the largest subset of NEE components simulated across the full ensemble. Here, 205 only gross uptake and gross loss are simulated by all TBMs. The disequilibrium between these 206 two fluxes is per definitionem NEP. As seen with GPP and biomass, which are also semantically equivalent across models, differences in NEP (5.32 and 5.76 Pg C per annum for naïve and
optimal respectively) are statistically insignificant relative to the uncertainty of the optimal case
(Figure 1).

210

Furthermore, the lack of difference in global integrals is, as seen for NEE, supported by the small magnitudes of grid cell difference between cases (Figure 1) and the high degree of similarity in spatial morphology across cases (Figure 2) for NEP, GPP, and biomass. No region skews the global values with only a weak tendency for slightly larger differences in tropical forests, especially for GPP. For NEP, GPP, and biomass the percent of grid cells where the difference between naïve and optimal values is less than the uncertainty of the optimal integration is 87%, 87%, and 86% respectively (Figure 1).

218

219 Does that lack of a significant difference in integrated values indicate that the naïve case is 220 "correct"? The naïve case presupposes equal weighting, i.e., "one model – one vote". For 221 composite model reliabilities  $(\tilde{R}_i)$  this implies weights of unity normalized by the number of 222 ensemble members, i.e., uncertainty bounds derived from the 1000 replicates must contain a global mean  $\tilde{R}_i$  of 0.1 for each model. This is the case for 8 of the 10 models; ISAM and 223 224 ORCHIDEE-LSCE are near-misses where the upper uncertainty bounds are just below this 225 cutoff (0.096 and 0.095 respectively). A similar pattern is seen with model rank, i.e., a one-226 number assessment of relative skill (Figure S13). Here, model ranks show considerable overlap 227 without any clear indication of "best" or "worst". Furthermore, even when focusing on a single 228 bootstrap replicate a higher rank does not demonstrate that one model is "good" per se. As 229 reliabilities do not exceed 0.25 (unity indicates perfect agreement between TBM and references)

a higher rank only shows that the predictive skill of a higher ranked model is marginally higher
than the next ranked model. Taken together, the equivalence in global model reliabilities and
rank strongly imply that the benchmarking and complexity inherent in optimal integration add no
value relative to the naïve case.

234

Collapsing  $\tilde{R}_i$  for each grid cell to ranks yields the preferred model (Figure 3). "Preferred" here 235 indicates the highest composite  $\tilde{R}_i$ . Applying this approach the most skilled TBM is GTEC 236 237 which is the preferred model for c. 23% of the vegetated land surface. However, the preferred 238 model is, as seen for global ranks, highly variable (Figure 3). Depending on reference factor 239 importance, c. 75% of all vegetated grid cells have between 4 and 7 different preferred models 240 (Figure 3, inset) with only 33 of 55,457 vegetated grid cells having the same preferred model 241 throughout. Lastly, while there is the suggestion (Figure 3) that some TBMs exhibit higher skill 242 levels, the associated variability emphasizes the equivalence of models (Figure 3, inset). That is, 243 a given TBM only posts higher reliability scores under a particular set of references and relative 244 importance of those reference factors. These conditions are not identifiable a priori such that 245 skill-based discrimination is not feasible as the signal (actual model skill) is dwarfed by the noise 246 (plausible approaches to asses actual model skill).

## 247 4. Implications

The equivalence of the naïve and optimal cases is a troubling but robust finding of this study. The difference between both integrations is small in magnitude and less than the uncertainty associated with the optimal integration. This holds for global aggregates and is the overwhelmingly dominant pattern on a grid cell basis. Equivalence also applies to both semantically identical (GPP, biomass, and NEP) and semantically diverse (NEE) simulation outputs. Taken together this indicates that TBM skill is largely indistinguishable as well as
malleable in that over a plausible set of skill assessments (i.e., the variants in REA from
bootstrapping) a model's reliability ranges widely.

256

257 To better understand the interplay between TBM skill, ensemble integration, and benchmarking 258 several innovations are needed: As with the atmospheric component of Earth system models, the 259 land component evaluated here must be regularly subject to perturbed-physics ensembles (where 260 parameterizations are varied within some tolerance). This is motivated by parameter tuning 261 [Bindoff et al., 2013; Flato et al., 2013] and the social anchoring tendency of models to regress to 262 the mean value of an existing ensemble or reference [Knuti, 2010; Sanderson & Knutti, 2012]. A 263 systemic exploration of parameter-based divergence in model outputs is needed to quantify and 264 isolate sources of uncertainty and "de-tune" models (i.e., uncover compensatory errors [Collins 265 et al., 2011]). A second innovation concerns steady-state spin-up. Models are routinely run to 266 equilibrium states, where change in carbon stocks is zero within some tolerance [e.g., Huntzinger 267 et al., 2013] prior to actual simulation. However, the resultant initial carbon pool sizes vary 268 dramatically both for fully-coupled Earth system models [Exbrayat et al., 2014] as well as 269 TBMs. For the MsTMIP ensemble evaluated here starting soil carbon pools range from 409 to 270 2118 relative to a reference value of 890 to 1660 Gt C [Todd-Brown et al., 2013]. Given the 271 interplay between carbon pool size and carbon flux insuring a model's equilibrated state is 272 similar to observations will materially affect TBM skill.

273

274 Systemically varying TBM structure [Curry & Webster 2011; McWilliams, 2007] is also a 275 needed innovation. This is especially warranted given the recent emphasis on more

276 comprehensive treatments of Earth climate system dynamics. This additional complexity does 277 not guarantee more accurate projections [Knutti & Sedláček, 2013], but represents another 278 structural component to assess. Here, a change in model building is needed such that discrete 279 subroutines can be altered systematically. Target subroutines must include known problematic 280 processes (e.g., phenology [Richardson et al., 2012], net land use flux [Pongratz et al., 2014], or 281 carbon allocation [De Kauwe et al., 2014]) as well as, in the case of MsTMIP, key processes 282 with uneven (or absent) structural representation [Huntzinger et al., 2014] such as carbon-283 nitrogen interactions [Zaehle et al., 2014], phosphorous limitation, fire emissions, forest 284 management, and forest age structure. Note that this is a refinement of the prescribed protocol 285 used in MsTMIP which fixes non-structural TBM characteristics but does not guarantee that the 286 ensemble range in structural characteristics equates to a systematic sampling of all possible 287 modeling algorithms.

288

289 A further protocol refinement concerns the use of offline runs. While this effectively controls for 290 model-specific implementations of atmospheric coupling it can be considered biased as 291 interactions between the surface energy budget and atmospheric conditions are missing. This 292 suggests a nested experimental design whereby the components of a fully-coupled Earth system 293 model (land, cryosphere, atmosphere, and ocean) are, in conjunction with the semi-factorial base 294 runs, systemically varied. A full factorial design with systematically toggleable subroutines 295 across all Earth system model domains, in turn, requires a deeper understanding of the trade-offs 296 between ensemble size, model complexity, and computational resources [Ferro et al., 2012]. A 297 corollary to this approach is to move model development toward using stochastic treatments of unresolved processes [Palmer et al., 2014] and the realization that treating ensemble spread as
uncertainty is an approximation [Curry & Webster, 2011; Parker, 2010].

300

301 Another key innovation concerns "ground truth" for gridded model outputs. Here, the analyst 302 must contend with multiple plausible references [e.g., Mitchard et al., 2014; Schwalm et al., 303 2013] and/or references with large uncertainty bounds [Todd-Brown et al., 2013]. For point-304 based data upscaled to gridded reference products, like the GPP product used here, 305 representativeness is a further concern [Schwalm et al., 2011]. The resultant ambiguity 306 surrounding "ground truth" can render model reliability a pliable construct. As such we suggest a 307 parallel track of MIPs and DIPs, i.e., data intercomparison projects where "data" encompasses 308 observationally-based reference products. Only when reference datasets themselves have been 309 reconciled and their uncertainty quantified at scales that typify TBM simulations can we 310 unambiguously assess TBM skill. This highlights an advantage of skill-based integration that generalizes to accommodate MIP- and/or DIP-based uncertainties (using  $\chi^2$ -based metrics 311 312 [Schwalm et al., 2010]) where available. MIPs and DIPs must also be viewed as necessary 313 vehicles to explicitly link TBM skill gradients to intrinsic model structural characteristics. 314 Effectively mapping uncertainty-aware skill gradients to structural attributes [Schwalm et al., 315 2010; Xia et al, 2013] has great potential to inform future development of TBMs by identifying 316 subroutines associated with higher skill.

317

Finally, it is important to emphasize that the TBM equivalence shown here is in the context of carbon metabolism for a given model ensemble with a given set of references. Previous work [Schwalm et al., 2013] showed similar results in model skill assessment using evapotranspiration 321 from fully-coupled CMIP5 runs and we expect this overall result to generalize across multiple 322 land surface processes, especially when "ground truth" is ambiguous. The equivalence between naïve and optimal cases is, however, not a reason to abandon skill-based integration or TBM 323 324 skill assessment in general. Advancing our understanding across the full taxonomy of 325 uncertainties is necessary to resolve actual model skill as well as issues of MME integration and 326 interpretation. This taxonomy includes uncertainty relative to parameterization, steady-state spin-327 up (i.e., initial conditions), structure, reference data, and forcing data (relatively well-established 328 in the land surface modeling community [e.g., Barman et al., 2014a,b; Fekete et al., 2004; 329 Haddeland et al., 2011; Jain et al., 2013]).

330

331 As is, the enduring popularity of the naïve case is based both on ease (e.g., no references are 332 needed) and the higher skill generally shown by the naïve case relative to most or all ensemble 333 members singly. While it is possible that land surface carbon metabolism has predictability limits 334 similar to atmospheric dynamics [Slingo & Palmer, 2011] –variously termed  $\sigma_{climate}$ , 335 "irreducible imprecision", or "irreducible ignorance" [McWilliams 2007; Walker et al., 2003]-336 only a full inventory of uncertainty types will allow an "intelligent" skill-based integration and 337 reveal if TBMs are subject to "reducible ignorance" (where additional insight and predictive skill 338 are achievable [Luo et al., 2014]) or "irreducible ignorance" (where predictive skill is limited).

339

#### 340 Acknowledgements

341 CRS was supported by National Aeronautics and Space Administration (NASA) Grants #NNX12AP74G, #NNX10AG01A, and #NNX11AO08A. JBF carried out this research at the Jet 342 343 Propulsion Laboratory, California Institute of Technology, under a contract with NASA. Funding 344 for the Multi-scale synthesis and Terrestrial Model Intercomparison Project (MsTMIP; http://nacp.ornl.gov/MsTMIP.shtml) activity was provided through NASA ROSES Grant 345 #NNX10AG01A. Data management support for preparing, documenting, and distributing model 346 347 driver and output data was performed by the Modeling and Synthesis Thematic Data Center at 348 Oak Ridge National Laboratory (ORNL; http://nacp.ornl.gov), with funding through NASA

- 349 ROSES Grant #NNH10AN681. Finalized MsTMIP data products are archived at the ORNL
- DAAC (http://daac.ornl.gov). This is MsTMIP contribution #5. Acknowledgments for specific
   MsTMIP participating models:
- 352 Biome-BGC: Biome-BGC code was provided by the Numerical Terradynamic Simulation Group
- 353 at University of Montana. The computational facilities provided by NASA Earth Exchange at
- 354 NASA Ames Research Center.
- 355 CLM: This research is supported in part by the US Department of Energy (DOE), Office of
- Science, Biological and Environmental Research. Oak Ridge National Laboratory is managed by
   UTBATTELLE for DOE under contract DE-AC05-00OR22725.
- 358 CLM4VIC: This research is supported in part by the US Department of Energy (DOE), Office of
- Science, Biological and Environmental Research. PNNL is operated for the US DOE by Battelle
   Memorial Institute under Contract DE-AC05-76RL01830.
- 361 DLEM: The Dynamic Land Ecosystem Model (DLEM) developed in the International Center for
- 362 Climate and Global Change Research at Auburn University has been supported by NASA
- 363 Interdisciplinary Science Program (IDS), NASA Land Cover/Land Use Change Program
- 364 (LCLUC), NASA Terrestrial Ecology Program, NASA Atmospheric Composition Modeling and
- 365 Analysis Program (ACMAP); NSF Dynamics of Coupled Natural-Human System Program
- 366 (CNH), Decadal and Regional Climate Prediction using Earth System Models (EaSM); DOE
- 367 National Institute for Climate Change Research; USDA AFRI Program and EPA STAR368 Program.
- 369 Integrated Science Assessment Model (ISAM) simulations were supported by the US National
- 370 Science Foundation (NSF-AGS-12-43071 and NSF-EFRI-083598), the USDA National Institute
- of Food and Agriculture (NIFA) (2011- 68002-30220), the US Department of Energy (DOE)
- 372 Office of Science (DOE-DE-SC0006706) and the NASA Land cover and Land Use Change
- 373 Program (NNX14AD94G). ISAM simulations were carried out at the National Energy Research
- 374 Scientific Computing Center (NERSC), which is supported by the Office of Science of the U.S.
- 375 Department of Energy under contract DE-AC02-05CH11231, and at the Blue Waters sustained-
- petascale computing, University of Illinois at Urbana-Champaign, which is supported by the
- National Science Foundation (awards OCI-0725070 and ACI-1238993) and the state of Illinois.
- LPJ-wsl: This work was conducted at LSCE, France, using a modified version of the LPJ version
  3.1 model, originally made available by the Potsdam Institute for Climate Impact Research.
- 380 ORCHIDEE-LSCE: ORCHIDEE is a global land surface model developed at the IPSL institute
- in France. The simulations were performed with the support of the GhG Europe FP7 grant with
- computing facilities provided by LSCE (Laboratoire des Sciences du Climat et de
   l'Environnement) or TGCC (Très Grand Centre de Calcul).
- VISIT: VISIT was developed at the National Institute for Environmental Studies, Japan. This
   work was mostly conducted during a visiting stay at Oak Ridge National Laboratory.
- 386

# 387 References

- Abramowitz, G. (2010). Model independence in multi-model ensemble prediction. Australian
   Meteorological and Oceanographic Journal, 59, 3-6.
- 390
- 391 Abramowitz, G. and Gupta, H. 2008. Towards a model space and model independence metric.
- 392 Geophys. Res. Lett., 35, L05705.
- 393

- 394 Anav, A., Friedlingstein, P., Kidston, M., Bopp, L., Ciais, P., Cox, P., ... & Zhu, Z. (2013).
- Evaluating the land and ocean components of the global carbon cycle in the CMIP5 Earth System Models. Journal of Climate, 26(18), 6801-6843.
- 397
- Annan, J. D., & Hargreaves, J. C. (2010). Reliability of the CMIP3 ensemble. Geophysical
  Research Letters, 37(2).
- 400
- 401 Annan, J. D., J. C. Hargreaves, and K. Tachiiri (2011), On the observational assessment of 402 climate model performance, Geophys. Res. Lett., 38, L24702, doi:10.1029/2011GL049812.
- 403
- Barman, R., Jain, A. K., & Liang, M. (2014a). Climate-driven uncertainties in modeling
  terrestrial energy and water fluxes: a site-level to global-scale analysis. Global change biology,
  20(6), 1885-1900.
- 407
- Barman, R., Jain, A. K., & Liang, M. (2014b). Climate-driven uncertainties in modeling
  terrestrial gross primary production: a site level to global-scale analysis. Global change biology,
  20(5), 1394-1411.
- 411
- Bindoff, N. et al (2013). "Detection and Attribution of Climate Change: from Global to
  Regional". In: Climate Change 2013: The Physical Science Basis. Contribution of Working
  Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change. Ed.
  by T. Stocker, D. Qin, G.-K. Plattner, M. Tignor, S. Allen, J. Boschung, A. Nauels, Y. Xia, V.
  Bex, and P. Midgley. Cambridge, United Kingdom and New York, NY, USA: Cambridge
  University Press.
- 418
- Booth, B. B., Jones, C. D., Collins, M., Totterdell, I. J., Cox, P. M., Sitch, S., ... & Lloyd, J.
  (2012). High sensitivity of future global warming to land carbon cycle processes. Environmental
  Research Letters, 7(2), 024002.
- 422
- 423 Cadule, P., Friedlingstein, P., Bopp, L., Sitch, S., Jones, C. D., Ciais, P., ... & Peylin, P. (2010).
  424 Benchmarking coupled climate-carbon models against long-term atmospheric CO2
  425 measurements. Global Biogeochemical Cycles, 24(2).
- 426
- Chapin III, F. S., Woodwell, G. M., Randerson, J. T., Rastetter, E. B., Lovett, G. M., Baldocchi,
  D. D., ... & Schulze, E. D. (2006). Reconciling carbon-cycle concepts, terminology, and
  methods. Ecosystems, 9(7), 1041-1050.
- 430
- 431 Christensen, J. H., & Boberg, F. (2012). Temperature dependent climate projection deficiencies
  432 in CMIP5 models. Geophysical Research Letters, 39(24).
- 433
- Ciais, P., Borges, A. V., Abril, G., Meybeck, M., Folberth, G., Hauglustaine, D., & Janssens, I.
  A. (2008). The impact of lateral carbon fluxes on the European carbon balance. Biogeosciences,
- 436 5(5), 1259-1271.
- 437

- 438 Collins, M., Booth, B. B., Bhaskaran, B., Harris, G. R., Murphy, J. M., Sexton, D. M., & Webb,
- 439 M. J. (2011). Climate model errors, feedbacks and forcings: a comparison of perturbed physics 440 and multi-model ensembles. Climate Dynamics, 36(9-10), 1737-1766.
- 441
- 442 Cramer, W., D. Kicklighter, A. Bondeau, B. M. III, G. Churkina, B. Nemry, A. Ruimy, and A.
  443 Schloss (1999), Comparing global models of terrestrial net primary productivity (NPP):
  444 overview and key results, Global Change Biology, 5(S1), 1-15.
- 445
- 446 Curry, J. A., & Webster, P. J. (2011). Climate science and the uncertainty monster. Bulletin of 447 the American Meteorological Society, 92(12), 1667-1682.
- 448
- De Kauwe, Martin G., Belinda E. Medlyn, Sönke Zaehle, Anthony P. Walker, Michael C.
  Dietze, Ying-Ping Wang, Yiqi Luo et al. "Where does the carbon go? A model-data
  intercomparison of vegetation carbon allocation and turnover processes at two temperate forest
  free-air CO2 enrichment sites." New Phytologist (2014).
- 453
- Eckel FA, Mass CF. 2005 Aspects of effective mesoscale, short-range ensemble forecasting.
  Weather and Forecasting 20 328–350.
- 456 457
- 457 Epstein, E. S. (1969). Stochastic dynamic prediction. Tellus, 21(6), 739-759.
- 458
- Eum, H., P. Gachon, R. Laprise, and T. Ouarda, Evaluation of regional climate model
  simulations versus gridded observed and regional reanalysis products using a combined
  weighting scheme, Clim. Dyn., 38, 1433–1457, 2012.
- 462
  463 Exbrayat, J.-F., Pitman, A. J., and Abramowitz, G.: Response of microbial decomposition to
  464 spin-up explains CMIP5 soil carbon range until 2100, Geosci. Model Dev., 7, 2683-2692,
  465 doi:10.5194/gmd-7-2683-2014, 2014.
- 466
- 467 Exbrayat, J. F., Viney, N. R., Frede, H. G., & Breuer, L. (2013). Using multi-model averaging to
  468 improve the reliability of catchment scale nitrogen predictions. Geoscientific Model
  469 Development, 6(1), 117-125.
- 470
- Fekete, B.M., Vörösmarty, C.J., Roads, J.O., Willmott, C.J., 2004. Uncertainties in precipitation
  and their impacts on runoff estimates. J. Climate 17, 294–304.
- Ferro, C. A., Jupp, T. E., Lambert, F. H., Huntingford, C., & Cox, P. M. (2012). Model
  complexity versus ensemble size: allocating resources for climate prediction. Philosophical
  Transactions of the Royal Society A: Mathematical, Physical and Engineering Sciences,
  370(1962), 1087-1099.
- 478
- 479 Fisher et al. (2014) Modeling the Terrestrial Biosphere, Annual Review of Environment and
  480 Resources, doi:10.1146/annurev-environ-012913-093456.
- 481
- Flato, G. et al. (2013). "Evaluation of Climate Models". In: Climate Change 2013: The Physical
  Science Basis. Contribution of Working Group I to the Fifth Assessment Report of the

- 484 Intergovernmental Panel on Climate Change. Ed. by T. Stocker, D. Qin, G.-K. Plattner, M.
- Tignor, S. Allen, J. Boschung, A. Nauels, Y. Xia, V. Bex, and P. Midgley. Cambridge, United
  Kingdom and New York, NY, USA: Cambridge University Press.
- 487
- 488 Friedlingstein, P., Cox, P., Betts, R., Bopp, L., Von Bloh, W., Brovkin, V., ... & Zeng, N. (2006).
- 488 Friedningstein, F., Cox, F., Betts, K., Bopp, E., Von Bion, W., Brovkin, V., ... & Zeng, N. (2000).
   489 Climate-carbon cycle feedback analysis: Results from the C4MIP model intercomparison.
   490 Journal of Climate, 19(14), 3337-3353.
- 491
- 492 Gibbs, H. K., Brown, S., Niles, J. O., & Foley, J. A. (2007). Monitoring and estimating tropical
- 493 forest carbon stocks: making REDD a reality. Environmental Research Letters, 2(4), 045023.
  494
- Giorgi, F., & Mearns, L. O. (2002). Calculation of average, uncertainty range, and reliability of
  regional climate changes from AOGCM simulations via the "reliability ensemble
  averaging"(REA) method. Journal of Climate, 15(10), 1141-1158.
- 498
- Hacker, J. P., HA, S. Y., Snyder, C., Berner, J., Eckel, F. A., Kuchera, E., ... & Wang, X. (2011).
  The US Air Force Weather Agency's mesoscale ensemble: Scientific description and performance results. Tellus A, 63(3), 625-641.
- 502
- Haddeland, I., Clark, D. B., Franssen, W., Ludwig, F., Vo, F., Arnell, N. W., ... & Polcher, J.
  (2011). Multimodel Estimate of the Global Terrestrial Water Balance: Setup and First Results.
  Journal of Hydrometeorology, 12(5).
- 506
- Hawkins, E., and R. Sutton, 2009: The Potential to Narrow Uncertainty in Regional Climate
  Predictions. Bull. Amer. Meteorol. Soc., 90, 1095-1107.
- 510 Hayes, D., & Turner, D. (2012). The need for "apples-to-apples" comparisons of carbon dioxide 511 source and sink estimates. Eos, Transactions American Geophysical Union, 93(41), 404-405.
- 512
- Houghton, R. A. (2005). Aboveground forest biomass and the global carbon balance. GlobalChange Biology, 11(6), 945-958.
- 515
- Huntingford, C., Lowe, J. A., Booth, B. B. B., Jones, C. D., Harris, G. R., Gohar, L. K., & Meir,
  P. (2009). Contributions of carbon cycle uncertainty to future climate projection spread. Tellus
  B, 61(2), 355-360.
- 519
- Huntzinger DN, Post WM, Wei Y et al. (2012) North American Carbon Program (NACP)
  regional interim synthesis: terrestrial biospheric model intercomparison. Ecol. Model. 232, 144–
  157.
- 523
- Huntzinger, D. N., Schwalm, C., Michalak, et al. (2013) The North American Carbon Program
  Multi-scale synthesis and Terrestrial Model Intercomparison Project Part 1: Overview and
  experimental design, Geosci. Model Dev., 6, 2121-2133, doi:10.5194/gmd-6-2121-2013.
- 527
- 528 Huntzinger, D.N., C. Schwalm, A.M. Michalak, K. Schaefer, Y. Wei, R.B. Cook, and A.
- 529 Jacobson. 2014. NACP MsTMIP Summary of Model Structure and Characteristics. Available

530 on-line (http://daac.ornl.gov) from ORNL DAAC, Oak Ridge, Tennessee, USA. 531 http://dx.doi.org/10.3334/ORNLDAAC/1228.

532

IPCC (2007), Solomon, S.; Qin, D.; Manning, M.; Chen, Z.; Marquis, M.; Averyt, K.B.; Tignor,
M.; and Miller, H.L., ed., Climate Change 2007: The Physical Science Basis, Contribution of
Working Group I to the Fourth Assessment Report of the Intergovernmental Panel on Climate
Change, Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA.

- IPCC, 2010: Meeting Report of the Intergovernmental Panel on Climate Change Expert Meeting
  on Assessing and Combining Multi Model Climate Projections [Stocker, T.F., D. Qin, G.-K.
  Plattner, M. Tignor, and P.M. Midgley (eds.)]. IPCC Working Group I Technical Support Unit,
  University of Bern, Bern, Switzerland, pp. 117.
- 542

537

543 IPCC (2013) Stocker, Thomas F., Q. Dahe, and Gian-Kasper Plattner, ed., Climate Change 2013:
544 The Physical Science Basis, Working Group I Contribution to the Fifth Assessment Report of the
545 Intergovernmental Panel on Climate Change, Cambridge University Press, Cambridge, United
546 Kingdom and New York, NY, USA.

547

548 Ito, A. (2010), Changing ecophysiological processes and carbon budget in East Asian 549 ecosystems under near-future changes in climate: Implications for long-term monitoring from a 550 process-based model, J. Plant Res., 123, 577-588, doi:10.1007/s10265-009-0305-x.

551

Jain, A. K., Meiyappan, P., Song, Y., & House, J. I. (2013). CO2 emissions from land-use change affected more by nitrogen cycle, than by the choice of land-cover data. Global change biology, 19(9), 2893-2906.

555

Jain, A. K., & Yang, X. (2005). Modeling the effects of two different land cover change data sets
on the carbon stocks of plants and soils in concert with CO2 and climate change. Global
Biogeochemical Cycles, 19(2).

559

Jung, M., Reichstein, M., Margolis, H. A., Cescatti, A., Richardson, A. D., Arain, M. A., ... &
Williams, C. (2011). Global patterns of land-atmosphere fluxes of carbon dioxide, latent heat,
and sensible heat derived from eddy covariance, satellite, and meteorological observations.
Journal of Geophysical Research: Biogeosciences (2005–2012), 116(G3).

564

Keith, H., Mackey, B. G., & Lindenmayer, D. B. (2009). Re-evaluation of forest biomass carbon
stocks and lessons from the world's most carbon-dense forests. Proceedings of the National
Academy of Sciences, 106(28), 11635-11640.

568

King, A.W., W.M. Post and S.D. Wullschleger. 1997. The potential response of terrestrial carbon
 storage to changes in climate and atmospheric CO2. Climatic Change 35:199-227.

571

572 Knutti, R. (2010). The end of model democracy?. Climatic change, 102(3-4), 395-404. 573

- 574 Knutti, R., R. Furrer, C. Tebaldi, J. Cermak, and G. A. Meehl, 2010: Challenges in Combining
- 575 Projections from Multiple Climate Models. Journal of Climate, 23, 2739-2758.

576

577 Leonardo Di G, S., Sira, E., Klapp, J., & Trujillo, L. (2014). Environmental Fluid Mechanics:
578 Applications to Weather Forecast and Climate Change. In Computational and Experimental
579 Fluid Mechanics with Applications to Physics, Engineering and the Environment (pp. 3-36).
580 Springer International Publishing.

581

582 Knutti, R., & Sedláček, J. (2013). Robustness and uncertainties in the new CMIP5 climate model
583 projections. Nature Climate Change, 3(4), 369-373.

584

585 Krinner, G., Viovy, N., Noblet-Ducoudre, N. de, Ogee, J., Polcher, J., Friedlingstein, P., Ciais,
586 P., Sitch, S., and Prentice, I. C (2005). A dynamic global vegetation model for studies of the
587 coupled atmosphere-biosphere system. Global Biogeochem. Cycles, 19, GB1015.

588

Le Quéré, C., Andres, R. J., Boden, T., Conway, T., Houghton, R. A., House, J. I., Marland, G.,
Peters, G. P., van der Werf, G. R., Ahlström, A., Andrew, R. M., Bopp, L., Canadell, J. G., Ciais,
P., Doney, S. C., Enright, C., Friedlingstein, P., Huntingford, C., Jain, A. K., Jourdain, C., Kato,
E., Keeling, R. F., Klein Goldewijk, K., Levis, S., Levy, P., Lomas, M., Poulter, B., Raupach, M.
R., Schwinger, J., Sitch, S., Stocker, B. D., Viovy, N., Zaehle, S., and Zeng, N.: The global
carbon budget 1959–2011, Earth Syst. Sci. Data, 5, 165-185, doi:10.5194/essd-5-165-2013,
2013.

596

Lei, H, M Huang, LR Leung, et al., 2014. Sensitivity of global terrestrial gross primary
production to hydrologic states simulated by the Community Land Model using two runoff
parameterizations, J Advances in Modeling Earth Systems, doi: 10.1002/2013MS000252.

600

Luo, Y., Keenan, T. F., & Smith, M. (2014). Predictability of the terrestrial carbon cycle. Globalchange biology.

603

Luo, Y. Q., J. T. Randerson, G. Abramowitz, C. Bacour, E. Blyth, N. Carvalhais, P. Ciais, D.
Dalmonech, J. B. Fisher, R. Fisher, P. Friedlingstein, K. Hibbard, F. Hoffman, D. Huntzinger, C.
Jones, C. Koven, D. Lawrence, D. J. Li, M. Mahecha, S. L. Niu, R. Norby, S. L. Piao, X. Qi,
P. Peylin, I. C. Prentice, W. Riley, M. Reichstein, C. Schwalm, Y. P. Wang, J. Y. Xia, S. Zaehle,
and X. H. Zhou (2012), A framework for benchmarking land models, Biogeosciences, 9(10),
3857-3874.

610

Lynch, P. (2008). The origins of computer weather prediction and climate modeling. Journal of
Computational Physics, 227(7), 3431-3444.

613

Masson, D., and R. Knutti, 2011: Climate model genealogy. Geophys. Res. Lett., 38, L08703,
doi:10.1029/2011GL046864.

616

617 Mao, Jiafu, Peter E. Thornton, Xiaoying Shi, Maosheng Zhao, Wilfred M. Post, 2012: Remote

618 Sensing Evaluation of CLM4 GPP for the Period 2000–09. J. Climate, 25, 5327–5342.

619 doi: http://dx.doi.org/10.1175/JCLI-D-11-00401.1

- 621 McWilliams, James C. (2007) Irreducible imprecision in atmospheric and oceanic simulations.
- Proceedings of the National Academy of Sciences 104.21 (2007): 8709-8713.
- 623

627

Meehl, G. A., Covey, C., Taylor, K. E., Delworth, T., Stouffer, R. J., Latif, M., ... & Mitchell, J.
F. (2007). The WCRP CMIP3 multimodel dataset: A new era in climate change research.
Bulletin of the American Meteorological Society, 88(9), 1383-1394.

- Mitchard, E. T., Feldpausch, T. R., Brienen, R. J., Lopez-Gonzalez, G., Monteagudo, A., Baker,
  T. R., ... & Pardo Molina, G. (2014). Markedly divergent estimates of Amazon forest carbon
  density from ground plots and satellites. Global Ecology and Biogeography, 23(8), doi:
  http://dx.doi.org/10.1111/geb.12168.
- 632
- Palmer, Tim, Peter Düben, and Hugh McNamara. (2014) Stochastic modelling and energyefficient computing for weather and climate prediction. Philosophical Transactions of the Royal
  Society A: Mathematical, Physical and Engineering Sciences 372.2018 (2014): 20140118.
- 636
- Parker, W. S. (2010). Predicting weather and climate: Uncertainty, ensembles and probability.
  Studies in History and Philosophy of Science Part B: Studies in History and Philosophy of
  Modern Physics, 41(3), 263-272.
- 640
- Piao, S., S. Sitch, P. Ciais et al. (2013), Evaluation of terrestrial carbon cycle models for their
  response to climate variability and to CO2 trends, Global Change Biology, 19(7), 2117-2132.
- Pongratz, J., Reick, C. H., Houghton, R. A., & House, J. I. (2014). Terminology as a key
  uncertainty in net land use and land cover change carbon flux estimates. Earth System Dynamics,
  5(1), 177-195.
- 647
- Raftery, A. E., Gneiting, T., Balabdaoui, F., & Polakowski, M. (2005). Using Bayesian model
  averaging to calibrate forecast ensembles. Monthly Weather Review, 133(5), 1155-1174.
- 650
- 651 Regnier, P., Friedlingstein, P., Ciais, P., Mackenzie, F. T., Gruber, N., Janssens, I. A., ... &
- 652 Thullner, M. (2013). Anthropogenic perturbation of the carbon fluxes from land to ocean. Nature
- 653 Geoscience, 6(8), 597-607.
- 654
- Ricciuto, D., A.W. King, D. Dragoni and W.M. Post. 2011. Parameter and prediction uncertainty
  in an optimized terrestrial carbon cycle model: Effects of constraining variables and data record
  length. Journal of Geophysical Research, Biogeosciences: 116, G01033,
  doi:10.1029/2010JG001400.
- 659
- Richardson, A. D., Anderson, R. S., Arain, M. A., Barr, A. G., Bohrer, G., Chen, G., ... & Xue,
  Y. (2012). Terrestrial biosphere models need better representation of vegetation phenology:
  results from the North American Carbon Program Site Synthesis. Global Change Biology, 18(2),
  566-584.
- 664

- Ruesch, Aaron, and Holly K. Gibbs. 2008. New IPCC Tier-1 Global Biomass Carbon Map for
  the Year 2000. Available online from the Carbon Dioxide Information Analysis Center
  [http://cdiac.ornl.gov], Oak Ridge National Laboratory, Oak Ridge, Tennessee.
- 668
  669 Sanderson, B., & Knutti, R. (2012). Climate Change Projections: Characterizing Uncertainty
  670 Using Climate Models. In Climate Change Modeling Methodology (pp. 235-259). Springer New
  671 York.
- 672
- Schaefer, K., Schwalm, C. R., Williams, C., Arain, M. A., Barr, A., Chen, J. M., ... & Weng, E.
  (2012). A model-data comparison of gross primary productivity: Results from the North
  American Carbon Program site synthesis. Journal of Geophysical Research: Biogeosciences
  (2005–2012), 117(G3).
- 677
- Schwalm, C. R., C. A. Williams, K. Schaefer et al. (2010), A model-data intercomparison of CO<sub>2</sub>
  exchange across North America: Results from the North American Carbon Program site
  synthesis, Journal of Geophysical Research, 115, G00H05.
- 681
- Schwalm, C. R., Williams, C. A., & Schaefer, K. (2011). Carbon consequences of global
  hydrologic change, 1948–2009. Journal of Geophysical Research: Biogeosciences (2005–2012),
  116(G3).
- Schwalm, C. R., Huntinzger, D. N., Michalak, A. M., Fisher, J. B., Kimball, J. S., Mueller, B., ...
  & Zhang, Y. (2013). Sensitivity of inferred climate model skill to evaluation decisions: a case
  study using CMIP5 evapotranspiration. Environmental Research Letters, 8(2), 024028.
- Sitch S, Smith B, Prentice IC, Arneth A, Bondeau A, Cramer W, Kaplan J, Levis S, Lucht, W,
  Sykes M, Thonicke K, Venevsky S 2003. Evaluation of ecosystem dynamics, plant geography
  and terrestrial carbon cycling in the LPJ Dynamic Vegetation Model. Global Change Biology 9:
  161–185.
- 694
- 695 Slingo, J., & Palmer, T. (2011). Uncertainty in weather and climate prediction. Philosophical
  696 Transactions of the Royal Society A: Mathematical, Physical and Engineering Sciences,
  697 369(1956), 4751-4767.
- 698
- 699 Stefanova, L., & Krishnamurti, T. N. (2002). Interpretation of seasonal climate forecast using
  700 Brier skill score, the Florida State University superensemble, and the AMIP-I dataset. Journal of
  701 climate, 15(5), 537-544.
- 702
- Stephenson, D. B., Collins, M., Rougier, J. C., & Chandler, R. E. (2012). Statistical problems in
  the probabilistic prediction of climate change. Environmetrics, 23(5), 364-372.
- 705
- Taylor, K. E., Stouffer, R. J., & Meehl, G. A. (2012). An overview of CMIP5 and the experiment design. Bulletin of the American Meteorological Society, 93(4), 485-498.
- 708

- Thornton et al. (2002) Modeling and measuring the effects of disturbance history and climate on
- carbon and water budgets in evergreen needleleaf forests. Agriculture and Forest Meteorology,113, 185-222.
- 712

Tian, HQ, G. Chen, C. Zhang, M. Liu, G. Sun, A. Chappelka, W. Ren, X. Xu, C. Lu, S. Pan, H.
Chen, D. Hui, S. McNulty, G. Lockaby and E. Vance. 2012. Century-scale response of
ecosystem carbon storage to multifactorial global change in the Southern United States.
Ecosystems 15(4): 674-694, DOI: 10.1007/s10021-012-9539-x.

717

Todd-Brown, K. E. O., Randerson, J. T., Post, W. M., Hoffman, F. M., Tarnocai, C., Schuur, E.
A. G., and Allison, S. D. (2013) Causes of variation in soil carbon simulations from CMIP5
Earth system models and comparison with observations, Biogeosciences, 10, 1717–1736,
doi:10.5194/bg-10-1717-2013.

- 722
- VEMAP, M. (1995), Vegetation/ecosystem modeling and analysis project: comparing
   biogeography and biogeochemistry models in a continental-scale study of terrestrial ecosystem
   responses to climate change and CO2 doubling, Global Biogeochemical Cycles, 9, 407-437.
- von Storch, H., & Zwiers, F. (2013). Testing ensembles of climate change scenarios for
  "statistical significance". Climatic Change, 117(1-2), 1-9.
- Waggoner, PE. 2009. Forest inventories. Discrepancies and uncertainties. Discussion Paper RFF
  DP 09-29. Resources for the Future, Washington DC.
- Walker, W. E., P. Harremoes, J. Rotmans, J. P. van der Sluijs, M. B. A. van Asselt, P. Janssen,
  and M. P. Krayer von Krauss, 2003: Defining uncertainty: A conceptual basis for uncertainty
  management in model-based decision support. Integr. Assess., 4, 5–17.
- 736

Warszawski, L., et al. (2013) The Inter-Sectoral Impact Model Intercomparison Project (ISIMIP): Project framework. PNAS, 111, 9, 3228-3232.

739

Wei, Y., Liu, S., Huntzinger, D. N., Michalak, A. M., Viovy, N., Post, W. M., Schwalm, C. R.,
Schaefer, K., Jacobson, A. R., Lu, C., Tian, H., Ricciuto, D. M., Cook, R. B., Mao, J., and Shi,
X.: The North American Carbon Program Multi-scale Synthesis and Terrestrial Model
Intercomparison Project – Part 2: Environmental driver data, Geosci. Model Dev., 7, 2875-2893,
doi:10.5194/gmd-7-2875-2014, 2014.

- 745
- Xia, J., Luo, Y., Wang, Y. P., & Hararuk, O. (2013). Traceable components of terrestrial carbon
  storage capacity in biogeochemical models. Global change biology, 19(7), 2104-2116.
- 748

Zaehle, Sönke, Belinda E. Medlyn, Martin G. De Kauwe, Anthony P. Walker, Michael C.
Dietze, Thomas Hickler, Yiqi Luo et al. "Evaluation of 11 terrestrial carbon-nitrogen cycle
models against observations from two temperate Free-Air CO2 Enrichment studies." New
Phytologist 202, no. 3 (2014): 803-822.

- Zaehle, S., Sitch, S., Smith, B., and Hattermann, F. (2005) Effects of parameter uncertainties on
- the modeling of terrestrial biosphere dynamics Global Biogeochemical Cycles, 19 GB3020,
   doi:10.1029/2004GB002395.
- 757
- Zeng, N. et al. 2005: Terrestrial mechanisms of interannual CO2 variability, Global
  Biogeochemical Cycles, 19, GB1016, doi:10.1029/2004GB002273.
- 760
- 761 ZHAO, Z. C., LUO, Y., & HUANG, J. B. (2013). A review on evaluation methods of climate
- 762 modeling. ADVANCES IN CLIMATE CHANGE RESEARCH, 4(3), 137-144.

# 763 Tables

## 764

- 765 Table 1. Characteristics of terrestrial biosphere models and reference datasets. Native 0.5° spatial
- resolution for all TBMs. NEE components refer to aspects of biosphere-atmosphere exchange
- included in NEE: D, maintenance respiration deficit; F, fire emissions;  $E_{LUC}$ , land use change
- emissions; P, product decay emissions. VISIT does not include any of these components. The
   MsTMIP median model is used for convergence-based reference factors. Carbon fluxes and
- biomass model values are 1982-2008 global means.

		NEE	NEE	NEP	GPP	Vegetation	
Model	Run	Components	[Pg C yr <sup>-1</sup> ]	[Pg C yr <sup>-1</sup> ]	[Pg C yr <sup>-1</sup> ]	Biomass [Gt C]	Reference
BIOME-BGC	BG1	F	-0.38	6.46	138	1138	Thornton et al., 2002
CLM	BG1	$D/F/E_{LUC}/P$	0.16	4.46	142	668	Mao et al., 2012
CLM4VIC	BG1	$D/F/E_{LUC}/P$	-0.15	3.57	112	550	Lei et al., 2014
DLEM	BG1	$E_{LUC}/P$	-1.51	2.18	105	475	Tian et al., 2012
GTEC	SG3	Р	-2.79	9.67	187	986	King et al., 1997;
							Ricciuto et al., 2011
ISAM	BG1	$E_{LUC}$	0.24	1.49	99	642	Jain & Yang, 2005
LPJ	SG3	$F/E_{LUC}$	-0.53	10.55	138	536	Sitch et al., 2003
ORCHIDEE-LSCE	SG3	$E_{LUC}/P$	-1.84	6.68	118	460	Krinner et al., 2005
VEGAS2.1	SG3	$F/E_{LUC}/P$	-1.11	4.48	117	597	Zeng et al., 2005
VISIT	SG3	-	-3.63	3.63	122	763	Ito, 2010
MsTMIP Median	-	-	-	-	120	620	this study
FLUXNET-based GPP	-	-	-	-	119	-	Jung et al., 2011
IPCC Vegetation Biomass	-	-	-	-	-	491	Ruesch & Gibbs, 2008
Naïve Integration	-	-	-1.15	5.32	128	681	this study
Optimal Integration	-	-	-1.16	5.76	136	699	this study





778 Figure 1. Difference between optimal and naïve cases for NEE, GPP, biomass, and NEP. Left 779 column: histograms (gray), fitted normal distribution (black line), naïve case (blue line), optimal 780 case (dark red line), and optimal case uncertainty bounds (light dashed red lines) for global 781 values. Distributions of optimal case based on 1000 bootstrap replicates with varying reference factor importance. Uncertainty bounds are given by the 2.5<sup>th</sup> to 97.5<sup>th</sup> percentiles. Middle 782 column: difference map of optimal and naïve cases. Right column: black grid cells indicate 783 784 where the naïve is indistinguishable from the optimal case (values in parentheses show 785 percentage of indistinguishable grid cells for the vegetated land surface). All values reference 786 1982-2008 means.

NEP Difference [g C m<sup>-2</sup> month<sup>-1</sup>]





Figure 2. Spatial patterns of naïve and optimal cases. Maps show naïve and optimal case 1982-

794 2008 means for NEE, GPP, biomass, and NEP.

795 Figure 3 796



797 798

Figure 3. Preferred model. Upper panel: preferred model based on equal relative importance of all seven reference factors, the default optimal case. Values in parenthesis show fraction of vegetated land surface where a given model is preferred. A 3x3 majority filter is used for visualization purposes. Middle panel: number of unique preferred models across all bootstrap replicates, inset shows histogram. Lower panel: median reliability of preferred model across all 1000 bootstrap replicates; inset shows cumulative distribution (y-axis) over maximum (red), median (black), and minimum (blue) reliability (x-axis).





